

1 **The Impact of Vehicle Electrification and Autonomous Vehicles on Air Quality in the**
2 **United States**

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51 **ABSTRACT**

52 The impact of electric vehicles (EVs) on energy demand, emissions and air quality has been explored in a
53 number of studies, many of which assess EV impacts in the context of various energy supply scenarios
54 along with increased demand. Many however, do not take into account, the impact of self-driving vehicles
55 (Autonomous Vehicles (AV)) in quantifying EV effects. AV utilization is expected to increase significantly
56 in the future, along with electrification of the US fleet, which will result in increased vehicle miles traveled
57 (VMT) from Shared Automated Vehicles (SAVs), yet its impact on air quality is seldom explored within
58 the EV context. In this study, we assess the impact of EVs in future years under a Relaxed Energy Policy
59 (REP) where future aggressive emissions reductions have been relaxed across multiple emission sectors.
60 Here, the impact of vehicle electrification on light duty passenger vehicles under a less ambitious future
61 energy policy and 2050 projected meteorology under the Representative Concentration Pathway 4.5 in the
62 mobile sector is explored along with emission changes across other sectors for the month of July. Both 2050
63 future projection scenarios (with and without electrification), when compared with 2011 emissions showed
64 significant improvement for all primary and secondary pollutants, a result reflective of current regulations.
65 The impact of increased VMTs due to AV utilization between the two 2050 scenarios (with/without
66 electrification) also showed reductions due to fleet electrification in NO_x (max ~0.5ppb), O₃ (max~1ppb),
67 and daily maximum 8HR O₃ (max~1ppb) for the summer month of July.

68 **Keywords:** Air quality, Emissions, Fleet electrification, Autonomous Vehicles.

69
70 **INTRODUCTION**

71 The transportation sector has a significant influence on the environment, as it consumes about 29%¹ of all
72 energy use within the United States and is largely responsible for the bulk of emissions within cities^{2, 3}.
73 Mobile emissions from the transportation sector, such as particulate matter (PM_{2.5}), Nitrous Oxides (NO_x)
74 and Volatile Organic Compounds (VOCs) are known to have adverse environmental impacts and health
75 effects^{4,6}. The last two listed pollutants, are key in the formation of tropospheric ozone (O₃), which too has
76 adverse impacts on human health and the environment⁷. Cleaner standards imposed by the federal
77 government on Internal Combustion Vehicles (ICVs) have been effective at reducing primary emission
78 quantities of these pollutants^{8, 9}, and a study by Song et al. (2008)¹⁰ found that mobile emission reductions
79 as a result of federal regulations made a difference in daily maximum hourly ozone of -10ppb in a number
80 of case runs. While there is an ongoing and current trajectory in regulations for cleaner vehicles, a number
81 of future scenarios might impact or attenuate their regulatory impact. Firstly, there is the risk that energy
82 policies that pushed for clean fuel and combustion standards could be rolled back, stalled or dismantled in
83 the future by policy makers for various reasons¹¹. But more to the point, even in scenarios where such
84 policies remain, obtaining a neutral carbon footprint for climate mitigation solutions or obtaining zero
85 emissions will be near impossible with an ICV. This problem is further exacerbated with expected Vehicle
86 Miles Traveled (VMT) and population increases, which will happen as cities continue to expand¹².
87 Therefore, it is very likely that motor emissions will continue to have a substantial impact on city air quality.

88 Many states such as New York¹³ plan to achieve a zero carbon footprint by 2050, and a significant change
89 in auto fleet make up could play a major role in this regard. Achieving a zero carbon footprint and zero
90 emissions in urban cities can be achieved with the help of Electric Vehicles (EVs) which consist of Hybrid
91 Electric Vehicles (HEVs), Plug-in-Hybrid Electric Vehicles (PHEVs), and Battery Electric Vehicles
92 (BEVs). So far, EVs have shown potential, in a number of studies in reducing primary pollutant emissions
93 and secondary pollutants, although it's full effect in the context of tighter vehicle emission regulations are
94 somewhat modest. For instance, Nopmoncol et al. (2017)¹⁴ conducted a study where 2030 electrification of
95 the on-road and off-road mobile sector were evaluated and noted modest improvements in ozone of 1ppb
96 and PM_{2.5}. However, they found that the changes were largely attributed to improved regulations on on-
97 road ICV vehicles despite using a mix of cleaner fuels with the marginal increase on electricity grid demand
98 (~5%) from EVs. The study concluded that most of the improvements with electrification were seen from

99 the off-road sector vehicles like lawn mower riders and marine vehicles, not the on-road electrical vehicles,
100 the former of which had not been subject to regulations like on-road sector vehicles. Observing appreciable
101 improvements in secondary pollutant concentrations like ozone from electrification is further complicated,
102 as noted in Schnell et al. (2019)¹⁵ because it varies by season, region etc. Similar to other studies, Schnell
103 et al. (2015)¹⁵ found that ozone decreased more in urban centers but slightly increased in rural locations in
104 the summer and the opposite in the winter with an electrified fleet.

105 While there is general consensus that the criteria pollutants are generally reduced with fleet electrification,
106 especially if a clean mix of energy generation^{14, 16} is utilized, its effects on ozone and PM_{2.5}, in conjunction
107 with ongoing emission controls make it questionable to see how much of an impact we will see. Under
108 current energy mix scenarios, the impact of EVs might appear to be modest in conjunction with federal
109 policies unless energy generation shifts largely to renewable or cleaner sources¹⁷. However, under different
110 Representative Concentration Pathways (RCP) and warmer climate scenarios, the impact of EVs might be
111 more noticeable than ICVs, particularly in regard to ozone formation¹⁸. Further, EV effects depending on
112 the power train (i.e. HEV, PHEV and BEV) is a significant factor in emission reductions as well, as found
113 by Onat et al. (2015)¹⁷.

114 The future effects of EVs will not only be influenced by the energy mix and power train, but also by the
115 increased demand in EV charging above what would have been marginal levels¹⁶ when additional effects
116 of vehicle electrification, like Automated Vehicles (AVs) lead to an increase in VMT. Electrification
117 allows for automation, and with automation, will come the ability for many to utilize more traveling
118 options through the self driving feature of AVs and Shared Automated Vehicles (SAVs). Self driving
119 vehicles are expected to have larger market share (~ 36%)¹⁹ of electric vehicles by 2050²⁰ and the impact
120 of this projection is not only expected to change vehicle ownership in households, but could increase the
121 use of SAVs, and in doing so, give vehicle access to different social economic groups that may otherwise
122 not have access to such vehicles for multiple factors²¹. As SAV utilization via automation and
123 electrification is expected to increase annual VMTs¹⁹, the combination of electric cars in addition to
124 increased vehicle miles traveled could have a significant impact on emissions.

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126 Not many studies have looked at the impact of AVs and SAVs with electrification. Further, while some
127 look at the impact of electrification with increased VMTs in future years, not many address it with the full
128 impact of other sectors (point, area etc.) changes under relaxed energy policies, as well as the effect of
129 climate change and projected meteorology. Here, we do a full assessment in this regard and incorporate a
130 mix of electric vehicles types unlike other studies that largely focus on one or two types of electric vehicle
131 for a scenario in a limited scope. We focus on electrification of the light duty vehicle (LDV) fleet in the
132 year 2050 with a mix of power train technology (i.e. HEV, PHEV and BEV). We make use of Chemical
133 Transport Models (CTMs) and an EPA mobile emission simulator in this study to simulate air quality
134 under two temporal base line scenarios (2011 and 2050 without electrification) to compare the 2050
135 electrification scenario to. Our objective in this study is to answer the following:

- 136 1) How will increased vehicle miles from automation impact air quality in the future?
- 137 2) What will be the impact of electrification and power train of the vehicle fleet on air quality?
- 138 3) What will be the impact of meteorology on air quality in 2050?
- 139 4) What will be the impact of relaxed energy policies with fleet electrification?
- 140 5) What is impact of improved ICV efficiency on electrification impacts?

141

142 **METHODS**

143 The methods outlined in this section largely focus on scale up projections of vehicle miles traveled and
144 emission changes from the mobile sector for the electrification scenario in 2050 for the LDV fleet. To
145 produce these projections, we utilize EPA's 2011 NEI emission inventory, a national household survey and
146 EPA emission factors from MOVES.

147 *VMT and VPOP projections for 2050 electrification*

148 With the exception of the 2011 base case, emissions projection calculations were required for all 2050
149 scenarios. The 2011 National Emission inventory (NEI)²² was used for the base case of 2011, while 2050
150 projected emissions were scaled up using statistical projections of future energy demand and emissions
151 factors. While more details can be found in Shen et al. (in submission)²³, we briefly detail some specifics
152 here in subsequent paragraphs. To incorporate the impact of an electrified vehicle fleet on the 2050
153 projected emissions, a household survey dataset, developed by the Department of Civil, Architectural and
154 Environmental Engineering at University of Texas Austin was used to obtain vehicle miles traveled and
155 projected vehicle populations of the fleet by power train. We briefly describe the data set here but more
156 details can be found in Quarles et al. (2020)¹⁹ and Lee et al.(2020)²⁴.

157 The survey data was an analysis of US household adoption rates between 2017 and 2050, of electric and
158 automated vehicles as well as use of shared automated vehicles. A statistical representative sample size of
159 1414 US households was used in the survey and the description of survey data for each household covered
160 the annual number of miles traveled in each household if using an automated vehicle (AV) or a human
161 operated vehicle (HV). Also taken into account was the pricing of keeping and not keeping HV capabilities
162 present in the vehicle along with AV features to test the adoption rates. A total of 12 scenarios were
163 performed for 5%, 7.5% and 10% AV pricing reduction rates with HV option (i.e. AV with/without HV,
164 AV/HV and 3 price ranges). For purposes of our research, the 5% price adjustment with HV capability
165 retention scenario was used.

166
167 In addition to vehicle automation and its impact on adoption rate purchase, the power train makeup for the
168 vehicles in the survey consisted of the following a) Gasoline, b) Diesel, c) Plug in Electric Hybrid (PHEV),
169 d) Hybrid Electric Vehicles (HEV) and e) Battery operated vehicles (BEV). The survey results were
170 projected from 2017 to 2050 and included fleet turnover data and the number of miles driven with SAVs.
171 The survey showed a general decrease in household VMT (personal miles) driven and an increase in miles
172 driven with SAVs. A breakdown of the survey data after scaling up to national estimates is shown in figure
173 1.

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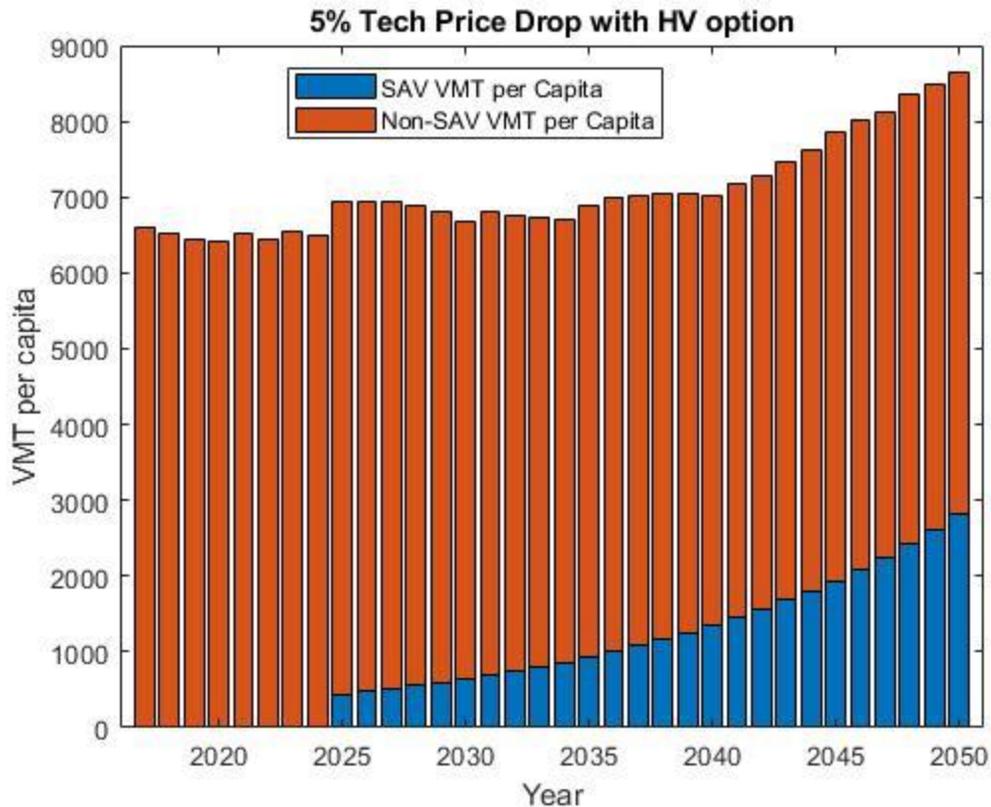


Figure 1: Break down of VMT per Capita Miles.

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178 Statistical projections of household and national population were used to scale up the survey data to obtain
 179 a national estimation of vehicle miles traveled by power train make up for 2050 electrification scenario.
 180 Projected household and population data tables from Statista^{25, 26}, together with 2011 NEI data were used
 181 here. The scale up to total actual VMT and VMT by power train distribution from the survey were obtained
 182 using the Statista tables in conjunction with the 2011 NEI data to get the temporal and Vehicle Population
 183 (VPOP) data. The month of July was chosen to evaluate the impact of ozone in the summer months and
 184 2050 was chosen to allow time for sufficient market share of EVs.

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Emission Estimates and scale up factors

188 The scaled up VPOP and VMT data were used as inputs into a mobile emission estimator to generate
 189 emissions for different pollutants. Here we used the EPA’s Motor Vehicle Emissions Simulator
 190 (MOVES2014b)²⁷ to develop scale up factors for mobile emissions in 2050 electrification scenario. The
 191 MOVES program has been used in similar studies such as those by Pan et al. (2019)²⁸ and Gunseler et al.
 192 (2017)²⁹. As described on the EPA website³⁰, MOVES is a ‘state-of-the-science emission simulator’ that
 193 captures emissions from mobile sources using different emission factors (EFs) for different vehicle types
 194 (i.e. motorcycles, LDVs) in a variety of automotive processes such as running exhaust or evaporative
 195 processes. Emission factors in MOVES are estimated or cataloged by the EPA in MOVES as far back to
 196 1960 to 2050 (although MOVES year input starts at 1990) for all vehicle types and power trains. The EFs
 197 also vary (for each vehicle) under different driving conditions (i.e. speed and road type) and meteorology
 198 (i.e. temperature and humidity). Due to emission controls and technological improvements, emission factors
 199 for all fuel types are expected to improve in future years and MOVES captures these changes. More
 200 information about MOVES can be found on the EPA site³⁰.

201 Although MOVES is used widely for mobile estimations, one of the short comings, in studies such as this
202 as noted by Guensler et al. (2017)²⁹, is that MOVES does not have a source category for HEV or PHEV
203 vehicles. Studies that tend to utilize MOVES, follow suit of Guensler et al. (2017)²⁹ in looking at HEVs by
204 treating them as gasoline vehicles and in many cases, will treat PHEVs as BEVs. However, while HEVs at
205 higher speeds and PHEVs (when not in electric mode) tend to run similar to gasoline vehicles, there is no
206 mechanism in MOVES to account for low speeds when HEVs engage in regenerative braking to run on
207 electric mode or when PHEVs deplete their electric battery power source and switch to gasoline. Another
208 short coming of using MOVES in this study is the lack of fuel economy when calculating fuel differences.
209 For instance, it is more efficient to directly convert electrical energy to mechanical energy as opposed to a
210 conventional gasoline vehicle where gasoline is converted to heat and pressure before mechanical energy,
211 thereby having many losses. Yet, as noted by Guensler et al., (2017)²⁹, the fuel economy for fully electric
212 vehicles and gasoline vehicles are listed as the same in MOVES.

213
214 As MOVES does not have emissions factors for hybrid or plug in hybrid electric cars, but for BEVs, we
215 develop a binning category to split the miles to account for some of the short comings described in
216 previous paragraph. For HEV vehicles, we split the VMTs proportions by speed and road type. Using
217 2011 NEI emissions, we calculate the proportion of VMTs driven on the average speed and road type. We
218 assume that HEVs will run primarily on the electric motor at a certain speed threshold and thus simulate
219 the proportion of miles as BEVs for that speed range and above that as gasoline cars. With PHEV cars,
220 we use a baseline that PHEV battery can drive up to a certain mile range before the gasoline engine is
221 utilized and split the VMTs based on the number of VMTs driven in households with one or two cars. For
222 one car households, we subtract the yearly average of miles driven for households and place the number
223 of miles above battery range as gasoline and assign the VMTs driven for the second car in the household
224 largely as BEV miles traveled.

225
226 We used a slightly different method to approach the final scale up from 2011 to 2050 than outlined in Pan
227 et al., (2019)²⁸. Where they modified EFs generated by MOVES to get spatially gridded emission input
228 files, we used the calculated VMT and VPOP obtained in the preceding steps as direct inputs into
229 MOVES to get 2050 emissions estimates. The MOVES output of emissions were then scaled with 2011
230 NEI totalized emissions to obtain emission scale up factors which were then used to multiply the Sparse
231 Matrix Operator Kerner Emissions (SMOKE)³¹ generated 2011 gridded emission files to get 2050 gridded
232 input field for the Chemical Transport Model (CTM).

233 234 *Meteorology Projections*

235 As outlined in Shen et al. (in submission)²³, climate and meteorology predictions were made using the bias-
236 corrected output data from the National Center for Atmospheric Research's Community Earth System
237 Model version 1 (CESM1)³² which were spatially downscaled to 36-km resolution using the Weather
238 Research and Forecasting Model version 3.8.1³³. The climate scenario we chose was the Representative
239 Concentration Pathway (RCP) 4.5, being representative of a climate scenario with moderate increase in
240 temperature. During the WRF downscaling, spectral nudging was applied to temperature, horizontal winds,
241 and geopotential heights, with a wave number of 3 in both zonal and meridional directions and a nudging
242 coefficient of $3 \times 10^{-4} \text{ s}^{-1}$ for all the variables.

243 244 *Energy and emission projections from other sectors*

245 The energy projection from the other sectors, such as residential, commercial, industrial, and power sectors
246 were estimated using the National Energy Modeling System operated at Georgia Institute of Technology
247 (GT-NEMS)^{23, 34, 35}. GT-NEMS is a computational general equilibrium model based on the 2018
248 distribution of the U.S. Energy Information Administration (EIA)'s National Energy Modeling System.
249 The estimates were conducted using less stringent Relaxed Energy Policies (REP). Biogenic emissions

250 were estimated using an updated version of Biogenic Emission Inventory System (BEIS)³⁶. To get the future
251 biogenic emissions, BEIS was driven by the 2050 meteorology. The simulation showed a 13% increase in
252 biogenic emission compared to the current levels. Additional details can be found in Shen et al.(in
253 submission)²³.

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255 *Air quality modeling with Chemical Transport Models (CTM)*

256 Similar to the study by Pan et al. (2017)²⁸, we used the SMOKE-WRF-CMAQ set up to model atmospheric
257 concentrations. SMOKE was used together with 2011 NEI emissions to generate gridded emissions together
258 with meteorology projections from Weather Research Forecasting Model (WRF)³⁷. Then scale up factors
259 (as outlined in previous section) were applied to the gridded SMOKE emissions to scale up to emissions in
260 2050 for all the emission sectors sources like area and point. Scale up factors computed by Shen et al. (in
261 submission)²³ were used to scale up emissions from other sectors in the 2050 REP base case. For the 2050
262 electrification scenario, the mobile sector was scaled up using computed emission factor results from
263 MOVES as outlined in the previous section. Of note, the 2050 REP base case mobile sector does not
264 consider electrified vehicles.

265 The Community Multiscale Air Quality (CMAQ) modeling system v5.0.2 with Chemical Bond (CB)
266 mechanism 5 was used to simulate the impact of atmospheric process (transport, deposition, reactions etc.)
267 and emission changes on air quality. Details for the model are documented in Byun et al., (2006)³⁸. The
268 simulation runs were conducted for the summer month of July at a 36km x 36km grid resolution over the
269 entire United States. To fully assess the impact of climate with emission changes, we use 2050 projected
270 meteorology and 2050 projected BEIS for all cases.

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272 *Scenario simulations*

273 The set up for the run is outlined below and in Table 1. We consider three scenarios with the following
274 specifications.

- 275 • Emissions Temporal: 2011 and 2050 (July)
- 276 • Meteorology: 2050 Projected Meteorology
- 277 • 2050 Energy Policy: 2050 Relaxed Energy Policy (REP)
- 278 • Resolution: 36 km X 36km grid size.

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280 3 Simulation Cases for emissions

- 281 1. 2011 Emission Base Changes with 2050 projected meteorology and 2050 BEIS.
- 282 2. 2050 Projected Emissions under REP with 2050 projected meteorology, 2050 BEIS, and 2050
283 emissions from transportation VMT but no fleet power train changes.
- 284 3. 2050 Projected Emissions under REP with 2050 projected meteorology, 2050 BEIS, and 2050
285 emissions from transportation VMT and fleet power train changes.

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287 *Data Analysis*

288 The changes in NO_x, SO_x, PM_{2.5} O₃-8HRMax, and ozone are assessed in this study under the three
289 scenarios. All scenarios are conducted under the same meteorology so that the impact of emissions changes
290 in the same climate scenario can be clearly assessed and to help quantify the effect of potential emission
291 reductions due to electrification. We not only explore the spatial profile of each pollutant, but we also assess
292 the spatial and nominal concentration differences between all three scenarios. As noted by Song et al.

293 (2008)¹⁰, the impact of emissions reductions are likely to be more significant between the 2011 base case
 294 and the 2050 projected scenarios due to the magnitude of emission differences based on the time involved
 295 and enacted regulation effects, than between both 2050 scenarios. So a ‘difference’ comparison between
 296 the two future scenarios will provide a slightly better quantification of electrification impact.

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<i>Case</i>	<i>1</i>	<i>2</i>	<i>3</i>
<i>Scenario Year</i>	2011	2050	2050
<i>Ref_Base Case</i>	NA	1	1/2
<i>Future Energy policy (REP/Aggressive)</i>	N/A	REP	REP
<i>Marginal Energy Adjusted for EV Charging on the grid</i>	NA	N/A	No
<i>Meteorology</i>	2050	2050	2050
<i>Climate: Representative Concentration Pathway</i>	4.5	4.5	4.5
<i>Biogenic emission file</i>	BEIS 2050	BEIS 2050	BEIS 2050
<i>Electrification Scenario</i>	None	None	5%_with HV

Table 1: Scenario runs

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303 RESULTS

304 Pollutant Concentration Spatial profile

305 We used CMAQ to generate output concentration for primary pollutants NO_x, SO_x, VOC and PM_{2.5} and
 306 the secondary pollutant O₃ for the month of July in 2011 and 2050 under the scenarios listed in the Methods
 307 section. The hourly and daily values were averaged over the entire month and plotted in figure 2 over the
 308 entire continental United States at a 36km x 36km grid resolution.

309 2050 projected emissions (2050 REP Base)²³ under the relaxed energy scenario are compared with 2011
 310 base emissions under the same meteorological conditions to assess the impact of emission changes under
 311 similar climate scenarios. Results in figure 2 show that despite increasing future demand, future emissions
 312 decrease substantially in 2050. This decrease reflects the impact of increasing efficiency controls and
 313 emission regulations over the last two decades in all sectors sources like point sources (i.e. Electrical
 314 Generating Units (EGUs)), to the mobile sector and area (i.e. residential homes) sector. The impact of these
 315 changes on both mobile and EGUs is particularly noticeable when looking at the spatial distribution of NO_x
 316 emissions in the NO_x plots (Figures 2a-c).

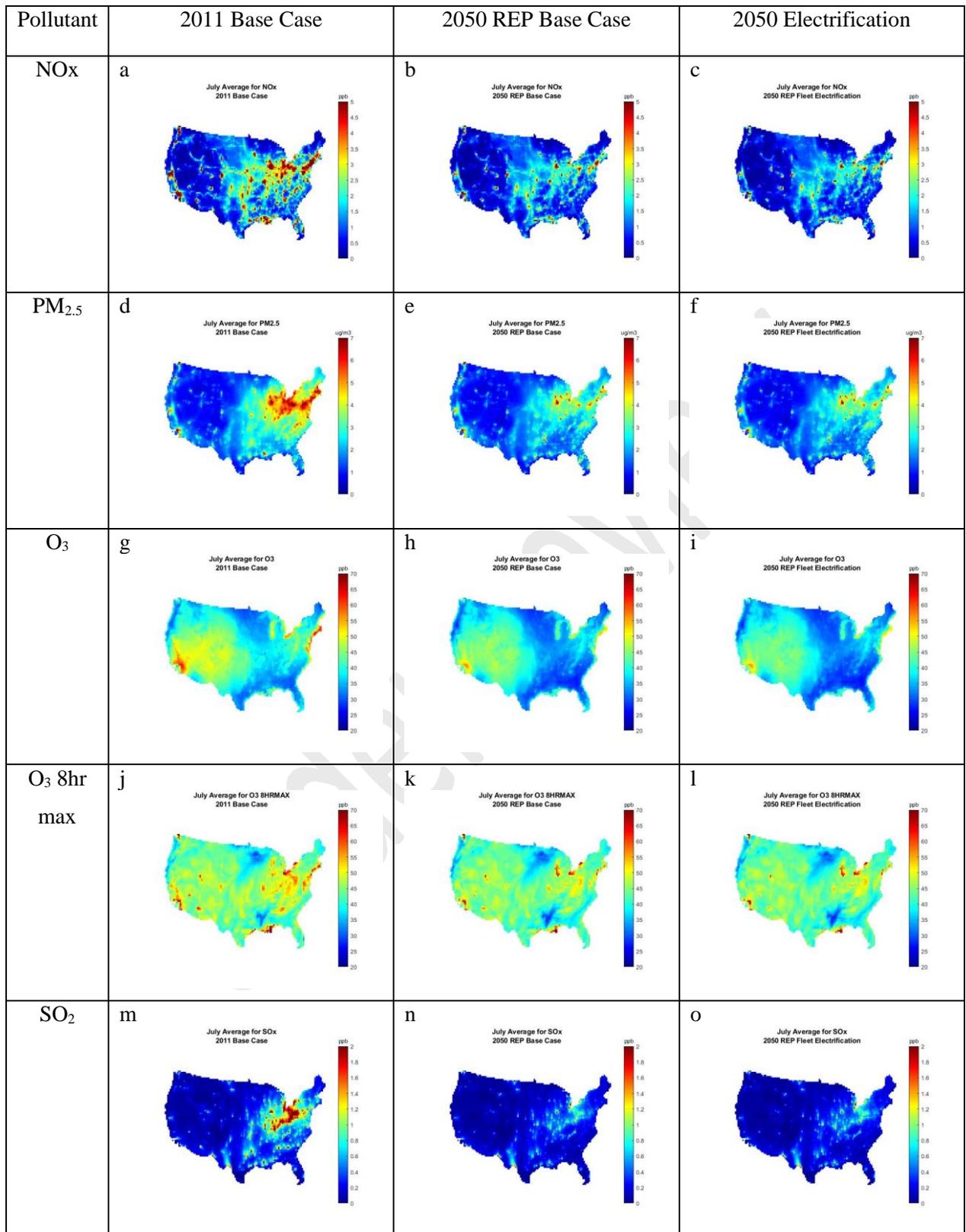
317 The impact of tighter regulations and controls on PM_{2.5} and SO₂ on the EGUs yielded improvements in this
 318 regard when 2050 scenarios were compared to 2011 Base Case (Figures 2d-f, Figures 2m-o). Most of these
 319 changes were observed in the southeastern region of the country and are also documented in Henneman et
 320 al. (2016)³⁹.

321 The regulations also had an impact on ozone, an effect which has been observed in other studies by
 322 Henneman et al.(2017, 2017)^{40, 41} as well and others⁴². The concentration and spatial distribution of monthly
 323 averaged ozone over the whole region and daily averaged 8 hour maximum ozone (O₃-8HRMax) show
 324 noticeable improvements in 2050 when compared to the 2011 base case, especially in the eastern and
 325 western regions. As both 2011 Base Case and 2050 REP Base Case runs were conducted using the same
 326 meteorology and biogenic emissions, it is clear that these results are largely a reflection of changing
 327 emissions.

328 Of note, the observations between 2011 Base Case and 2050 REP Base Case were spatially similar to the
329 2050 electrification scenario. The impact of electrified fleet of this scenario is not as notable with most of
330 the species with the exception of NO_x. From the plot of figure 2c, the NO_x spatial distribution captures the
331 impact of an electrified fleet in the future year scenario along major interstate roadways. While there is a
332 slight improvement in the fleet electrified scenario for ozone, this is mainly observed in the eastern region
333 for monthly averaged O₃ and not much difference was observed for the daily maximum 8-hour average
334 concentration with respected to the 2050 REP base case.

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Under Review



364 Figure 2: Plots show the monthly averaged spatial results for NO_x, O₃, PM_{2.5}, Maximum 8hr O₃ and SO₂
365 for the month of July in 2011 and 2050.

366 Emissions base comparisons

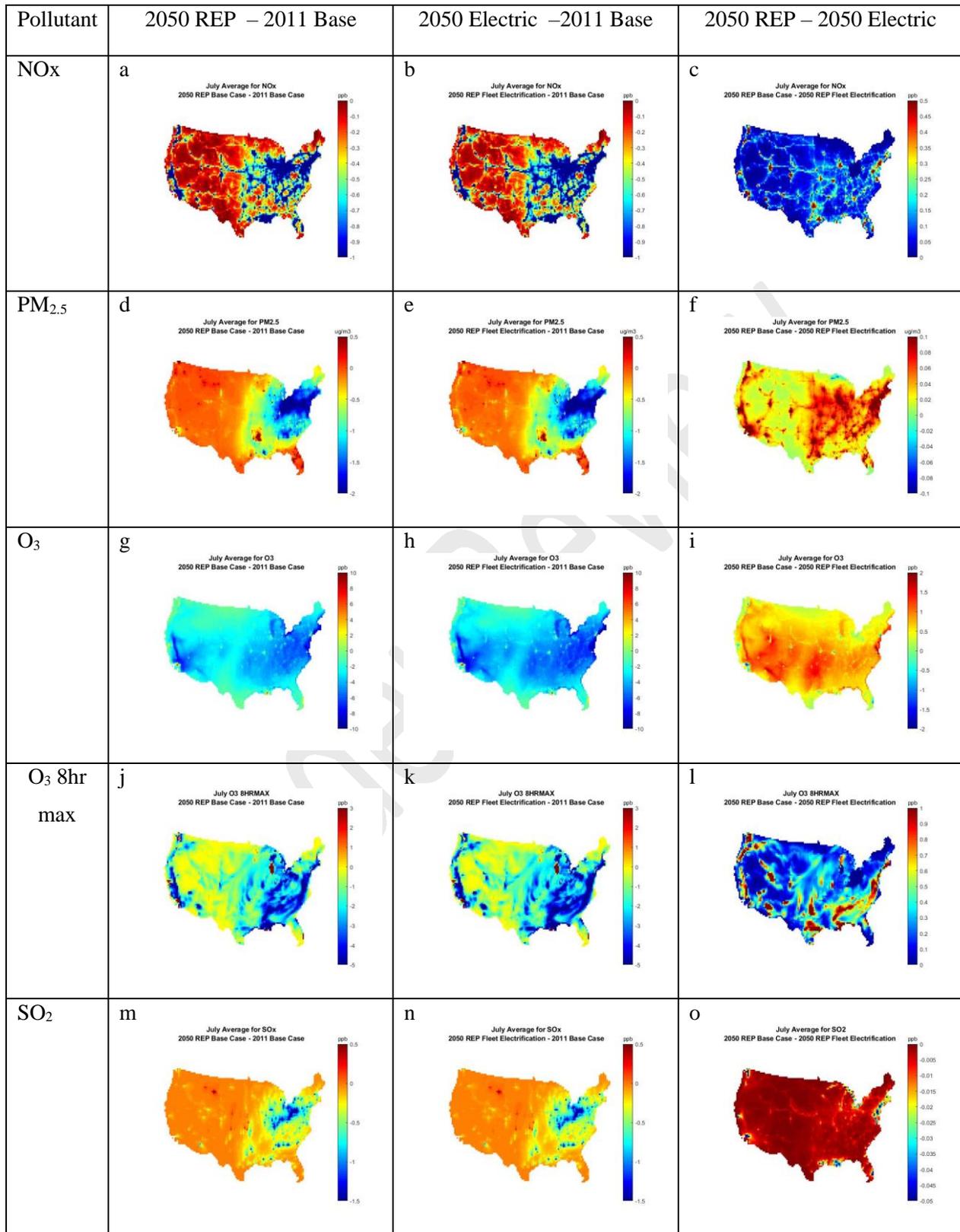
367 For this analysis, the 2050 electrification results are compared to the 2011 and 2050 REP base cases by
368 taking the difference between their respective concentration fields for each pollutant. The results here are
369 plotted in figure 3. As mentioned earlier, in the case of NO_x, there is a substantial reduction in emissions
370 for both 2050 future scenarios from the 2011 base case, despite expected demand. NO_x reductions range
371 from 0 to 1ppb across the country, with the highest reductions seen mainly in the southeast, which once
372 again reflects the regulation impact on EGUs. Between both future year scenarios, there are modest overall
373 reductions of NO_x emissions in the future electrified fleet scenario though mainly on roads. As seen in
374 figure 3c, the future year scenario, under an electrified fleet under the 5% adoption rate shows reduction
375 values along roadways as high as 0.5ppb from the 2050 REP base case.

376 Primary emissions differences of particulate matter show a different spatial pattern than NO_x between
377 future years and the 2011 base year. In the southeast, we see an obvious reduction in PM_{2.5} in orders of 2
378 ug/m³ for PM_{2.5} and a negligible change overall in other areas of the country between the 2011 and 2050
379 scenarios. Between the 2050 scenarios, electrification seemed to show a decrease in primary pollutants,
380 which was expected, however the change was miniscule, reflecting the efficiency in motor vehicle emission
381 regulations. However the reduction in PM_{2.5} from electrification covered a broader spatial extent and was
382 not restricted solely near roadways.

383 For the monthly averaged ozone concentration and daily averaged O₃-8HRMax, the impact of electrification
384 between the two future scenarios is more evident (Figures 3g-l). Overall, there is a about a 0-1ppb decrease
385 in daily 8hr maximum ozone through the map and we see a decrease of about 1-2ppb in monthly averaged
386 ozone. The direct impact of electrification ozone here is clear and similar results are observed in other
387 studies¹⁵.

388 Changes in sulfur dioxide spatial concentrations between the 2011 base year and future years are observed.
389 The spatial plots in figure 3(Figures 3m-o) show notable reductions in SO₂ emissions mainly in the south
390 east from regulations on power plants emissions and negligible changes elsewhere. However, between the
391 two 2050 future scenarios, there is a slight increase in SO₂ concentration. SO₂ was the only pollutant to
392 show an increase in concentration with the electrification scenario over the 2050 REP Base case. However,
393 this did not come from the electrification of the light duty passenger fleet, but more from increased vehicle
394 miles and emissions from heavy duty vehicles like buses and trucks that use diesel fuel. However, this
395 change is negligible and largely small (~ 0.005 ppb).

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415 Figure 3: Plots show the difference between base cases of monthly averaged spatial results for NO_x, O₃,
 416 PM_{2.5}, Maximum 8hr O₃ and SO₂ for the month of July in 2011 and 2050.

417 **DISCUSSION**

418 Similar to previous studies, modest decreases in pollutant species were observed with electrification except
419 for SO₂, although this increase was largely due to contribution from increased VMTs from diesel vehicles
420 and was minuscule in magnitude. However, the impact of electrification on SO₂ emissions might have been
421 more significant with marginal increases in electricity demand. However, studies by Pan et al., (2019)²⁸ and
422 Nichols et al.,(2015)¹⁶, show that increases in energy demand are expected to be miniscule and in light of
423 the observed effect of emission controls on EGUs, it is not expected to substantially change results here.

424 Though we did not consider the incremental demand on electricity consumption from PHEVs and BEVs
425 here, the increased electricity demand of the electrified fleet could increase SO₂ emissions and possibly
426 NO_x and PM_{2.5} with a less clean fuel mix⁴³. A study by Li et al., (2016)⁴⁴ which incorporated incremental
427 energy demand showed an increase in primary pollutants of SO₂ and NO_x from power plants with a less
428 clean fuel mix. Future work would incorporate the added demand load from SAV increased VMT on
429 electricity charging to evaluate the impact with different energy mixes.

430 The plots in figure 3 clearly show the effect of emission reductions and electrification of the vehicle fleet
431 between 2011 and 2050 on the pollutants. The results show reduced PM_{2.5} primary emissions, especially in
432 the east coast, and substantive NO_x reductions from both regulation and electrification of the fleet. The
433 2011 and 2050 reductions for NO_x, SO₂ and PM_{2.5} are largely noted in the south east due as a result of
434 tighter regulations on the energy center which is largely located in that region. Similarly, most of the
435 substantive ozone improvements between 2011 and 2050 largely appear to be regionally located, although
436 this appears to happen in both the south east and west coast. In general however, between years 2011 and
437 2050, there is a noticeable decrease in daily maximum 8Hr O₃ throughout the country.

438 The impact of fleet electrification in 2050 can be seen with NO_x along the interstate roadways. PM_{2.5}
439 reductions from fleet electrification are generally more spatially spread out in the south east, highlighting
440 the impact of dispersion and particulate formation in the atmosphere. While the impact of electrification on
441 PM_{2.5} is more spatially distributed, the magnitude of the reduction is minor (~ 0.1 ug/m³) as tail pipe
442 emissions from ICVs are also expected to be quite low in the future.

443 The electrification effect on ozone is quite evident in the results shown in Figure 3. While ozone is lower
444 in the future scenarios, electrification still yields modest reductions of about 1 to 2ppb. Even more modest
445 reductions in daily averaged maximum ozone are noted with an improvement of about 1ppb in most areas
446 in the electrification scenario. Of note, ozone reductions were observed throughout the contiguous land area
447 with electrification in 2050.

448 When comparing the results of the future years, the results show that EVs will not have a significant impact
449 with respect to current emission regulations in all sectors and with highly efficient ICVs. Similar results
450 were also observed by Brady et al., (2011)⁴⁵. In their study, they also observed that while EVs made an
451 impact in emission reductions, their overall changes were minimal. Given the current energy mix, if
452 marginal increments were to be taken into account, results could find that EV adoption might further
453 increase the amount of emissions, as has been noted in a few studies, although this is also highly dependent
454 upon the EV power train as well¹⁷. This becomes important if eventually all the cars become fully electric
455 as all transport will be powered by electrical grid. Under a relaxed energy policy scenario, this might result
456 in more pollution, although it is likely to be concentrated near the power energy sources.

457 Many studies show some impact of EVs (in regards to LCA GHG) for total life cycle compared to high
458 efficient ICV under less CO₂ intensive power mixes is further minimized⁴⁶. However, the impact under
459 even cleaner scenarios is more obvious. PHEVs and EVs in particular are shown to offer such benefits
460 under cleaner energy fuel mixes, although when compared to more efficient ICV vehicles could be modest.
461 The study by Wu et al., (2012)⁴⁷ illustrates this point by showing a much cleaner mix of energy would be
462 better to promote EVs mainly in areas with high coal combustion to have any benefit against efficient ICV
463 vehicles.

464 Under clean energy scenarios, meteorological and climate projections with different RCP pathways could
465 show an advantage of EVs over ICV vehicles however, especially in regard to secondary pollutants like
466 ozone and particulate matter. The spatial distribution of ozone and PM_{2.5} in figures 3f and 3i under the
467 electrified scenario highlight this potential benefit. In warmer climate and with cleaner fuel sources, there
468 is a potential for EV cars to reduce the number of peak ozone days under NO_x limited scenarios⁴⁸.

469 The impact of different EV adoption under more carbon intensive RCPs on air quality is potentially
470 significant. Studies by Shen et al. (in submission)²³ and Zhang et al. (2017)¹⁸ show that more ozone
471 exceedances are expected under warmer climates. The spatial distribution of positive ozone abatement
472 (figure 3i) in the electrified scenario highlights the benefits of minimizing NO_x on roadways. Therefore, it
473 is possible that EVs might be effective in mitigating ozone exceedances and ozone concentration in a more
474 adverse climate.

475 476 **CONCLUSIONS**

477 Across the board, the 2050 electrification scenario saw positive reductions in all primary pollutants except
478 SO₂ when compared with the 2050 REP base year. However, due to continuing emissions reductions in
479 EGUs with current emission standards, the benefits are modest, even under relaxed energy policies. Thus
480 quantifying future impact of EVs on overall net emissions may not be so noticeable. However, the effect
481 could be a beneficial change in spatial distribution of the pollutants as seen with particulate matter and
482 ozone where reductions are not necessarily regional. With different climate scenarios, the impact of EVs
483 might be more discernable in this regard.

484 Another potential benefit of EVs is the shift of mobile emissions from urban sources to the rural sectors
485 where the energy is more likely produced⁴⁹. This could either significantly reduced the human health
486 exposure by reduction in population, or create an inequity in exposure to pollution.

487 Although the changes and benefits for EVs depend on the energy mix and may not be obvious under
488 current emission regulations, its potential in producing zero emissions cities as power plants use more
489 renewable sources will likely increase its adoption into the market.

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494 495 496 **AUTHOR CONTRIBUTIONS**

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504 All authors reviewed the results and approved the final version of the manuscript.
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