1	ARE ELECTRIC VEHICLE TARGETS ENOUGH? THE
2	DECARBONIZATION BENEFITS OF MANAGED CHARGING AND
3	SECOND-LIFE BATTERY USES
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24 25	ABSIRACI Vilial alastification della destruction han for her simificantly immediate and it
23 26	venicle electrification delivers fast decarbonization benefits by significantly improving venicle
20 27	entremely and relying on ress carbon-intense recusiocks. As the power sector transitions away from
21 28	greenbouse gas savings may deliver upwards of a 75% reduction in many nations' current carbon
20	footprints Actual savings depend on many variables like power grid feedstocks charging rates
30	and schedules, driver behavior and weather A special synergy between power and transportation
31	sectors may come from managed charging of plug-in electric vehicles (PEVs) and repurposing
32	batteries for second-life use as stationary energy storage. This study reviews the added carbon and
33	energy savings that can come from these two strategies. If charging stations are widely available
34	at one's destination, utility-controlled managed charging could reduce EV-charging emissions by
35	one-third. And downcycling EV batteries for energy storage can lower peaker power plant use.
36	avoiding curtailment of renewable feedstocks, and lessen households' power-based carbon
37	footprints by half — or contribute up to 5% of grid power capacity.
38	
39	Keywords: managed charging, second-life battery storage, electric vehicles, decarbonization

40 pathway, charging strategy, emissions analysis

1 0. ABBREVIATIONS

BEVBattery electric vehicleBSSBattery storage systemBTMBehind-the-meterCO2Carbon dioxideDRDemand responseDSMDemand-side managementEIAU.S. Energy Information AdministrationEVElectric vehicleEVSEElectric subjects and the eminment
BSSBattery storage systemBTMBehind-the-meterCO2Carbon dioxideDRDemand responseDSMDemand-side managementEIAU.S. Energy Information AdministrationEVElectric vehicleEVSEElectric subjicle guardly equipment
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DSMDemand-side managementEIAU.S. Energy Information AdministrationEVElectric vehicleEVSEElectric sublicle supply conjunct
EIAU.S. Energy Information AdministrationEVElectric vehicleEVSEElectric vehicle supplies equipment
EV Electric vehicle
EVEE Electric vehicle events
EVSE Electric venicle supply equipment
GHG Greenhouse gas
GW Gigawatt
GWh Gigawatt-hour
GWP Global warming potential
HDV Heavy-duty vehicle
ICEV Internal combustion engine vehicle
IEA International Energy Agency
ISO Independent System Operator
kW Kilowatt
kWh Kilowatt-hour
LDV Light-duty vehicle
LIB Lithium-ion battery
MC Managed charging
MDV Medium-duty vehicle
MEF Marginal emission factor
MW Megawatt
MWh Megawatt-hour
NERC North American Electric Reliability Corporation
NHTS U.S. National Household Travel Survey
NREL National Renewable Energy Laboratory
OEM Original equipment manufacturer
PbA Lead-acid (battery)
PEV Plug-in electric vehicle
PHEV Plug-in hybrid electric vehicle
PV Photovoltaic
RES Renewable energy source
RPS Renewable portfolio standard
TOU Time-of-use
V1G A term for managed charging of electric vehicles
V2G Vehicle to grid: where electric vehicles can also send electricity back to the grid
VMT Vehicle-miles traveled
ZEV Zero-emission vehicle

1 1. INTRODUCTION

2 The U.S. transportation sector surpassed electricity as the largest emitter of carbon dioxide (CO₂) 3 in 2018 (1). The electricity sector reduced emissions largely due to economic and policy shifts that 4 resulted in less coal-powered generation and more low-carbon sources (like natural gas, solar, and 5 wind). Transportation demand increased during the last decade—vehicle-miles traveled (VMT) by 6 light-duty and heavy-duty vehicles (LDVs and HDVs) rose, and more consumers purchased larger 7 vehicles, like sport utility vehicles (SUVs) and crossover utility vehicles (CUVs). Although there 8 have been improvements in fuel economy and fuel content standards, petroleum products still 9 account for about 90% of total transportation sector energy use (1-3). In other developed countries, 10 such as the European Union member states, transportation sector CO₂ emissions have fallen to 11 levels seen before the Great Recession. In contrast, those from the largest emitter, the electricity 12 sector, have fallen 16.8% (4). Since LDVs contribute to nearly 60% of U.S. transportation's GHGs 13 (5, 6), electrifying these vehicles will significantly reduce emissions, even if other behaviors 14 persist¹ (e.g., rising VMT and SUV popularity).

15 Vehicle electrification is just one element of decarbonizing transportation and must be joined with land use policy to reduce daily VMT. For example, local governments can combine 16 17 transportation policy actions (e.g., investment in alternative transportation infrastructure, transit subsidies, vehicle registration and parking fees, and PEV incentives) with land-use changes such 18 19 as compact transit-oriented development and building efficiency requirements. Still, land use 20 strategies may not provide rapid decarbonization benefits that come with charging PEVs on a 21 renewable power grid (8-10) — which is necessary to stay below 1.5°C warming (11). Further, 22 long-term impacts from the COVID-19 pandemic on location and travel choices could inhibit greater transit use, vehicle occupancies, and land use densities. Given aversion to change, PEVs 23 24 may be the low-hanging fruit in decarbonizing the transportation sector since charging a PEV² on 25 the average U.S. grid results in carbon parity with an internal combustion engine vehicle (ICEV) after around 15,000 miles (12). With increasing governmental directives (and pledges) to transition 26 27 to renewable energy (13, 14), future grid emissions will likely decline, but the extent depends on 28 more stringent policy directives and technological advances.

29 Although PEVs have lower maintenance costs than ICEVs (15), higher upfront costs for 30 PEVs remain. However, falling lithium-ion battery (LIB) prices (16, 17) and competition among 31 original equipment manufacturers (OEMs) may allow battery electric vehicles (BEVs) to reach 32 purchase price parity with ICEVs in the 2020s. Though estimates vary, ICEVs may be more 33 expensive at the dealership than mid-range BEVs (e.g., about 150 to 250 miles) by mid-2020s and long-range BEVs (e.g., 250+ miles) by the late-2020s (18, 19). PEVs make up more than 2% of 34 total new LDV sales in the U.S. (up from 0.7% in the U.S. in 2015 (20)), 4.9% in China, and 3.5% 35 36 in Europe³ (22). Government targets vary around the world in both the rate of PEVs sold each year 37 and whether the target is binding. In the U.S., there is a voluntary new vehicle sales target of 50%

38 PEVs by 2030 (23), but states may adopt low-emission vehicle (LEV) or zero-emission vehicle

¹ One study determined that a feasible transportation pathway to stay below 1.5°C is a combined 20% reduction in VMT and the electrification of 70 million passenger cars (PCs) by 2030 (to reduce emissions by at least 45%) (7).

² PEV includes both the battery electric vehicle (BEV) and plug-in hybrid electric vehicle (PHEV). PHEVs have an electric motor for charge-depletion travel and an internal combustion engine for charge-sustaining travel, while BEVs only use a battery system. Most PHEV drivers can complete their daily trips on charge-depletion mode and not use gasoline.

³ Although the average in Europe is 3.5%, there is high variability due to policy actions. For example, over 90% of September 2021 new vehicle sales in Norway were electric. Data on new vehicle sales by member country are available for download (21).

1 (ZEV) standards. In 2020, 15 U.S. states and Washington, D.C. signed a joint memorandum of 2 understanding for a 100% target of medium-duty vehicle (MDV) and HDV sales of ZEVs by 2050 3 and an interim goal of 30% ZEV sales by 2030, aligning prior ZEV standards (24). Around the 4 world, China has a 40% PEV sales target by 2030 (25), and the European Union may pass new 5 legislation for 2030 PEV sales (21).

6 BEVs now outpace sales of plug-in hybrid electric vehicles (PHEVs) due to policy 7 incentives, improvements in battery capacity at lower prices⁴, and popular models (like the Tesla 8 Model 3) (27). There is also increasing investment and policy momentum for MDV and HDV 9 electrification (28). In addition to personal PEV adoption, commercial fleet owners are now 10 electrifying last-mile delivery vans, buses, and taxis (29–31). This vehicle class may electrify 11 faster than passenger cars (PCs) due to their duty cycles, scheduled downtime at depots, and bulk 12 order discounts (32).

13 PEVs' environmental benefits⁵ vary depending on a combination of driving and charging 14 patterns, weather, and the grid's carbon intensity (33, 34). However, most governments design 15 incentives to reach adoption targets, thereby only focusing on the number and type of PEV adopted, 16 opposed to charging behavior or supporting renewable energy generation capacity to offset added 17 EV load (35, 36). Moreover, some regulations meant to incentivize PEVs have weakened carbon accounting by using sales-averaged CO2 emissions that are diluted by PEV policy incentives (e.g., 18 19 super-credits) and omitting charging emissions (i.e., leakage effect) (37). Incentives and carbon 20 rules should prevent the regulatory dilution effect, which is critical if the number of PEVs 21 increases. In doing so, the actual emissions of PEVs may incentivize MC programs.

22 Aligning charging with renewable energy can reduce transportation emissions while providing co-benefits for the power sector's decarbonization. The grid can treat PEVs as 23 24 distributed, mobile storage since vehicles are parked 95% of the time, on average (38). Changing 25 traditional charging profiles from home-dominant charging in the evening to grid 'opportunistic' 26 charging can reduce renewable curtailment, better balance supply and demand of electricity, and 27 lessen the need for grid reliability solutions such as stationary storage (39-41). To maximize the 28 decarbonization benefits that come with adopting PEVs (i.e., helping the world stay below 1.5°C 29 warming), (42) reviewed how managed charging (MC) and repurposing decommissioned PEV 30 batteries for energy storage (B2U-ESS) might further reduce emissions. However, they do not 31 elaborate on critical modeling assumptions leading to emission reduction estimates nor explain how policymakers, planners, and engineers might collaborate on these two deep decarbonization 32 33 strategies. For example, utility companies, OEMs, and electric vehicle supply equipment (EVSE) 34 manufacturers would need to enable flexible charging regardless of the PEV and EVSE type, necessitating interoperable and open-source communication and billing procedures. When a 35 36 battery is retired from transportation use, there will need to be an established collection system 37 that includes OEMs, scrap yards, and repair shops sending batteries to repurposing manufacturers. The utility company could allow the B2U-ESS to participate in grid demand response or as a 38 39 generating unit or restrict usage to behind-the-meter (BTM), like managing power at public

⁴ Year-over-year declines in the range ratio (\$/km) and battery ratio (\$/kWh) were observed between 2018 and 2020 in the U.S., Europe, and China (*26*). The Chinese market has the lowest cost overall, and differences in the number of vehicles by vehicle class and region lead to anomalies in price patterns.

⁵ Argonne National Laboratory's GREET model can simulate energy use and emissions from different vehicle and powertrain combinations using a joint fuel-cycle model (i.e., well-to-wheel (WTW) for fuels) and vehicle-cycle model (i.e., raw material mining to disposal for vehicles). One analysis found a 54 kWh Tesla 3 reaches carbon parity with a Toyota Corolla ICEV within a year on an average U.S. grid where coal supplies 23% of electricity (*12*).

charging stations. Regardless of the exact roles, the motivation for this study is to understand the
 extent of decarbonization benefits from the literature to move away from electric vehicle targets
 towards policies that support MC and B2U-ESS.

4 From a grid perspective, MC is necessary given the time-scale difference between 5 homeowners adopting a PEV and the utility observing reduced hosting capacity, particularly for 6 the low-voltage distribution grid, and adjusting. The maximum power of a BEV can be higher than 7 a house's non-PEV load, thereby at least doubling the evening peak load from a single home if the 8 driver charges immediately upon returning home from work. Absent MC, the additional load may 9 overload aging distribution-level transformers and even lead to voltage drops⁶ (44-49). Also, 10 added demand may require more generational capacity or increase the grid's reliance on fast-11 response, inefficient peaker power plants. By shifting charging, PEV load may no longer be a 12 burden but an asset by absorbing intermittent renewable energy source (RES) generation, preventing curtailment, and minimizing charging emissions (41, 50–53). 13

14 Once PEV owners scrap their vehicle or replace the battery at the end of its warranty period 15 (often 10 years), the battery pack can still have upwards of 70% to 80% of design capacity (54, 55). Recent cycle data indicates that improvements in battery design and thermal flow could limit 16 17 capacity fade to just 10% (56). Repurposing scrapped battery packs for stationary storage is a 18 sustainable downcycling approach that can increase life-cycle emission benefits, afford society 19 time to commercialize LIB recycling, and lessen supply-side constraints of cobalt and other critical 20 minerals (57–59). These two strategies, managed charging and second-life battery use offer further 21 decarbonization benefits that should be pursued in conjunction with EV adoption targets and 22 appropriate policy support.

23 The existing literature lacks a comprehensive review of environmental benefits (if studies 24 mention them at all) and co-benefits of managed charging and second-life energy storage. As a result, policymakers may not have considered incentives to lower charging emissions and instill 25 26 MC behavior early in the transition to PEVs. Although there are a limited number of 27 decommissioned PEV batteries, there is little to no recycling ecosystem for other LIB products, 28 leading to lost residual energy capacity in PEV batteries that could be repurposed for stationary 29 energy storage. The purpose of this paper is threefold: (1) understand the environmental benefits 30 and co-benefits of these two strategies; (2) explain the difference in study designs that influence 31 benefits; and (3) discuss how the transportation and power sectors can decarbonize together. This 32 paper builds upon (42) through this focus on methodological differences, new literature, and 33 examples of B2U-ESS in practice. Journal papers, conference papers, policy papers, and technical 34 reports were reviewed and websites when other sources were not available. The collected 35 documents were screened according to their relevance and primary topic (e.g., MC and B2U-ESS 36 environmental benefits and co-benefits).

The paper is structured as follows: an overview of managed charging and its benefits is presented (Section 2), followed by second-life battery use cases (Section 3). A literature review presents key findings and compares the methodological differences in each strategy's section. A discussion of the studies reports high-level benefits and suggestions for policymakers and researchers to accelerate transportation decarbonization through PEVs (Section 4). Major conclusions and research gaps are presented last (Section 5).

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44 **2. MANAGED CHARGING**

⁶ Other issues include voltage instability, frequency variation, and power loss (43).

Managed charging (MC)⁷ minimizes the impact of added PEV loads on the grid and is considered a demand-side management (DSM) strategy. The objective for MC may be peak shaving, where the utility reduces the number of PEVs charging or the power flow⁸ during charging at peak times, or valley filling in regions with a 'duck curve,' like California. The utility may control charging behavior through pricing (e.g., demand charges or time-dependent rates) or direct utility control of charging (like other DSM devices like smart thermostats). Thus, MC has dimensions of control (direct versus indirect) and agency (utility versus vehicle owner) that are necessary to clarify⁹.

8 There are different combinations of control and agency in MC. Figure 1 shows that an MC 9 operator holding pricing information can use direct control to get better electricity prices while 10 still meeting mobility demands. Although studied in the literature, centralized control is not widely 11 implemented. In contrast, the most common form of MC control is decentralized price control, 12 such as time-of-use (TOU) rates for the meter or EV-specific rates applied at the EVSE. Centralized 13 charging requires knowing each vehicle's battery level and mobility needs, while price control 14 requires forecasts of charging demand to adjust pricing rates and windows. MC strategies were 15 categorized by (61) for a fleet operator and suggested a third option, transactive control. This 16 middle ground strategy equilibrates prices and mobility needs until all EV owners decide when to 17 charge.

Personal PEV owners tend to charge when convenient and cheap. In regions with TOU rates, studies observed a secondary peak from PEVs late at night at the start of the off-peak period (62). Thus, EV-specific electricity rates may better shift loads than whole-house TOU rates (27). Additionally, EV-specific pricing could lower charging emissions if time-dependent prices support RES generation (33, 51). Since TOU rates only manage the temporal aspect of charging, decentralized price control may create an unintended consequence of peak loads from PEVs that may be avoided with utility-controlled MC (62).



Fig. 1. Managed charging strategy directional flowchart of information (based on (61)).

In general, this paper defines MC to be when the utility or another third-party (e.g., grid aggregator) directly controls charging (via EVSE or the PEV) or indirectly through financial incentives (*61*). Centralized control requires low-cost communication systems and standardized messaging, which can be a barrier in MC pilots (*63*). Interested readers are referred to (*64*, *65*) for

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⁷ MC is also called smart charging, coordinated charging, and V1G.

⁸ Level 1 EVSE uses a 120-volt AC outlet and can charge a PHEV. As battery capacity increases, most drivers prefer to install Level 2 EVSE that uses a 240-volt AC outlet. The charge rate varies between 3-5 miles per hour and 25-30 miles per hour, respectively.

⁹ MC is "unidirectional power flow management," unlike bidirectional charging, known as vehicle-to-grid or V2G. V2G enables a PEV (specifically a BEV) to discharge energy to the grid (*60*).

detailed information about MC standards, requirements, and nuances in PEV-grid integration. Most MC pilots use an app as an interface for scheduling driver mobility needs (e.g., departure time, minimum battery state of charge (SOC)) so that the MC algorithm can be aware of mobility needs. Programs are typically designed to manage the added EV load by adopting an off-peak TOU price incentive or a utility-controlled peak shaving demand response event with an incentive. Although off-peak periods may naturally align with high renewable output, like nighttime wind in Texas, these programs are not designed to lower the charging emissions (66).

8 The literature finds that prospective and current PEV owners are more sensitive to price 9 incentives than any other savings (such as displaying estimated renewable savings) when engaging 10 with MC over an unmanaged approach (67-71). Those with MC experience are more willing to continue with this charging structure in the future, and those who had a utility-controlled MC 11 12 experience are likely to continue. However, the majority of owners prefer having an opt-out or 13 override option for MC to ease charging anxiety of not having enough range when needed (69). 14 During an MC pilot with 700 UK drivers, 67% did not request to opt-out when notified by app of a peak load shaving event. Moreover, only 20% of participants were responsible for 90% of these 15 16 opt-out requests. Participants with long-range BEVs (35 kWh+ battery packs) were more likely to 17 opt out (70).

A 2015-2016 demand response (DR) pilot in the San Francisco Bay Area between an OEM and utility required that the OEM provide the grid with 100 kilowatts (kW) of capacity by interrupting BEV charging or using backup power from repurposed BEV battery packs. BEVs contributed up to 50% of the capacity during nighttime events because the vehicles mostly charged overnight with existing EV-specific TOU rates. In a Toronto MC study of 30 PEVs, DR events were most successful in the evening (80% of capacity provided) since charging could resume later and meet the owner-set departure time (72).

25

26 2.1 Environmental Benefits & Co-Benefits of Managed Charging

Though many studies are examining the theoretical peak load reductions or system-optimal management of EV loads and those documenting MC pilots and surveys of willingness to participate in MC programs, there is a lack of literature exploring the environmental benefits of MC. The environmental benefits most often come by absorbing RES and reducing peak loads (and thus more expensive peaker fossil-fuel power plants). Co-benefits also come by deferring investments in stationary storage and avoiding electrical upgrades from smoothing EV loads.

33 There are two approaches that most studies take in deriving the environmental benefits of 34 managed PEVs. The first approach uses least-cost electric grid resource dispatch models (e.g., 35 Holistic Grid Resource Integration and Deployment Tool (HiGRID) or PLEXOS) by first adding 36 PEV loads (depending upon the charging profile scenario) to the net load for the grid. Then the 37 model attempts to find the minimum grid resources necessary to meet load requirements and return 38 values like levelized cost of electricity and grid emissions. This approach is an economic 39 generation-side solution and shows MC can allow the grid to meet renewable energy targets; 40 however, direct estimates on the environmental benefits for society are lacking. Table 1 summarizes the study methodology and key inputs used in this approach (Section 2.1.1). The 41 42 second approach is to pair historical travel survey data, real-world mobility and charging data, or synthesized travel behavior from an agent-based travel demand model with grid emissions factors 43 44 to understand emission benefits. The difference here is the priority on the transportation or grid 45 model, though some attempt to integrate the two fields (52, 73).

1 2.1.1 Priority on Grid Resource Dispatch Modeling

2 Many studies from a grid dispatch approach examine how MC may benefit California given the 3 existing 'duck curve' and growth of PEVs. An early study explored how an MC program might 4 leverage California's private BEVs in 2030 and 2050 to minimize upstream emissions (50). The 5 MC strategy, defined as minimizing the net load on the statewide power grid, found that charging 6 flexibility could allow for more renewable generation. The percentage of RES dispatched to meet 7 the load increased from 56.7% in the unmanaged charging 2050 scenario to 73%. Charging shifted 8 to daylight hours to capture solar power and prevent curtailment. If vehicles act as mobile energy 9 sources through vehicle-to-grid (V2G) whereby PEVs also discharge power, RES penetration 10 increases to 84%. A caveat is that the study assumed drivers had access to V2G-enabled chargers 11 at all destinations, which is likely unreasonable and could represent an upper bound for RES generated, all else constant. At the same time, not all drivers are likely to participate in V2G due 12 13 to range anxiety and shortened battery life, though this remains a research gap (74). Moreover, all 14 charging events were temporally and spatially flexible (subject to travel patterns and battery 15 constraints), meaning the driver was indifferent to when, where, and how long to charge the 16 vehicle.

17 An expansion of (50) compared the economic and emissions benefits of both decentralized 18 and centralized MC of PEVs (45). The strategy here minimized individual charging costs and 19 system-wide electricity costs, respectively. The decentralized MC strategy can result in equivalent 20 CO2 emission savings, minimum grid feedstock capacity requirements, and levelized electricity 21 costs (LCOE) as the centralized MC only when the grid receives predicted PEV loads no more 22 than every two hours. When charging information is less frequently exchanged, the grid relies on 23 more peaking power to balance demand and supply. Peaker power plants are generally less efficient 24 than load-following generational sources and have non-negligible start-up CO2 emissions. This study assumed that all vehicles are long-range (68 kWh battery), and Level 2 charging (10 kW) is 25 26 available at home and work locations. Although researchers expect growth in public chargers, there 27 will be heterogeneity in vehicle range and actual charging rates.

Reference	Methodology	g with a priority on grid resource dispatch modeling.
(50)		
(50)	 HiGRID balancing generation module dispatches generating units for statewide grid load profile based on EV charging load dispatch, renewable generation model, and system load demand. Post-process calculation of renewable penetration. 	 CAISO load data Renewable generation mix BEV efficiency (NREL FastSim), PEV composition Forecast, EVSE parameters, Charging strategies 2009 NHTS Data
(45)	• HiGRID balancing generation module dispatches load-following and peaking power plant units for statewide grid load profile based on EV MC dispatch strategy (centralized versus decentralized), renewable generation model, and system load demand.	 Load data and renewable generation mix (CA E3 PATHWAYS Straight Line Scenario) BEV efficiency, EVSE Parameters, Charging Algorithm
(53)	 Post-process calculation of GHG, NOX, and LCOE. PLEXOS dispatched generating units across the WECC area but focuses on CA dispatch, loads, and constraints. Post-process calculation of renewable curtailment, CO₂, total system costs, and EVSE installation requirements. 	 2009 NHTS Data Renewable generation mix Annual PEV Load CEC Load & non-CA Load Forecast Charging strategies
(52)	 BEAM agent-based travel demand model outputs utility-maximizing PEV charging sessions (max kW and total kWh) based on EVSE availability, costs, and mobility needs. PLEXOS dispatches generating units across the WECC area but focuses on CA dispatch, loads, and constraints. Post-process calculation of renewable curtailment. CO₂ total system costs 	 BEAM travel inputs (NREL SERA model for PEV Composition and Characteristics, ChargePoint EVSE locations, MTC & CARB VMT) CEC Load & non-CA Load Forecast Renewable portfolio Charging strategies
(41)	 V2G SIM estimates load shifting notential of PEV loads through valley filling 	CEC Load
(41)	and peak-shaving objectives	 CEC Load CEC PEV Composition & Characteristics Forecast
	 SWITCH model develops statewide feedstock investments based on EV charging load, system load scenarios, and power plant constraints. 	 2017 NHTS Data
	• GridSim model minimizes grid operations while ensuring RPS goals are met based partially on MC strategies.	
	• Post-process calculation of renewable curtailment and deferred stationary energy storage.	
(73)	• GEM model minimizes operational costs of charging private EVs & SAEVs while all demand served, energy is served, and generation units are dispatched in merit order. RISE model & EVI-Pro models used to adjust assumptions.	 2017 NHTS Data 2016 eGRID & EPA NEEDS (v5.15) generator attribute data & non-EV load data
	• GOOD model dispatches least-cost generation units for nation-wide grid based	 EVI-Pro private EV load data
	on EV charging loads & system loads.	• Future grid mix (NREL)
	• Optimization reveals fleet size, battery size, charger levels, number of chargers, GHGs, and energy use given adoption rate of SAEVs and MC.	 StreetLight Data for trip volumes & speed data EV attributes (efficiency, costs, lifespan), Sharing propensity, costs
(75)	 PERSEUS-EU bottom-up model minimizes total system cost for electricity generation, capacity, and exchange across 28 European countries. EV charging demand is added based on charging strategy to reveal which generation sources power added demand. 	 2015 calibrated PERSEUS-EU model Power plant data (WEPP), CO₂ prices (IEA) EV adoption (REFLEX), EV attributes (efficiency, costs. lifespan)

- Post-process analysis of charging emissions within LCA that includes ٠ production emissions.
- Annual mileage ٠ Charging strategies ٠

Abbreviations not previously used (aside from modeling acronyms): CAISO = California ISO, CEC = California Energy Commission, CARB = California Air 1

Resources Board, WECC = Western Electricity Coordinating Council, MTC = San Francisco Bay Area Metropolitan Transportation Commission, RPS = renewable

2 3 4 portfolio standard, GEM = Grid-integrated Electric Mobility model, GOOD = Grid Operation Optimized Dispatch, SAEVs = Shared Autonomous Electric Vehicles,

RISE = Routing and Infrastructure for Shared Electric Vehicles, WEPP = World Electric Power Plants Database, PERSEUS-EU = Programme-package for Emission

5 Reduction Strategies in Energy Use and Supply-Certificate Trading – European Union.

1 One study considered how MC (50% and 100% of 3 million PEVs) could help meet the 2 state's 56% RPS compared to unmanaged charging (53). The authors used two grid scenarios (i.e., 3 high solar & minimum mandated storage buildout versus diverse RES with 2x the storage capacity) 4 to reflect uncertainty in grid feedstocks. Aggregate PEV loads became inputs for a commercially 5 available power sector dispatch model (PLEXOS). The emphasis of this study was how MC could 6 impact production costs, peak load, curtailment, and CO₂ emissions. They found a 3% to 5% 7 decrease in CO₂ emissions owing to a 13% reduction in curtailment. Since MC shifted charging to 8 cheaper electricity, production costs fell by 3% to 8% (\$210-\$660 million). When all PEVs are 9 under MC, 95% of the PEV load is supplied during working hours, necessitating a minimum of 10 3.8 million workplace and public charging stations in California alone. Since parked PEVs are 11 connected to a charger but not necessarily charging, the lifetime cost for each EVSE must be less than \$1,000 for an assumed 10-year life to reach break-even (assuming a grid with high solar & 12 13 minimal stationary storage).

14 An integrated transportation-power sector model obtained the economic and curtailment 15 benefits from two MC strategies (e.g., utility-controlled and TOU pricing) (52). Outputs of an 16 agent-based simulation of San Francisco Bay Area PEV drivers gave a range of maximum power 17 and total energy delivered per charging session for an unmanaged base case. PEV loads were added 18 to the PLEXOS model, as done in (53). They assumed a 50% RPS in 2025 and different PEV 19 adoption scenarios (0.95-5 million PEVs). The utility-controlled MC strategy toggled power flow 20 within each charging session (as opposed to over a whole day) to minimize statewide dispatch 21 costs. Up to \$690 million could be saved in statewide grid operating costs by both lowering peak 22 loads and the frequency at which expensive generators are dispatched and shifting more PEV load 23 to zero marginal cost RES. Relative to unmanaged charging, the total curtailment reduction is 40% 24 (or annual energy required to power 39,000 U.S. homes) — which is sizeable given a 50% RPS and just 5 million PEVs. An overnight TOU pricing policy can reduce charging costs but leads to 25 26 the most curtailment of renewable energy. This is problematic for California, with a more dramatic 27 'duck curve' net load profile each year, which leads to more peaking demand. Unlike other studies, 28 this study's utility-maximizing charging behavior mimics an agent's decision to charge at home 29 even though public and workplace charging may be available.

The latest 60% RPS by 2030 target with both V1G and V2G was used to study the deferred infrastructure investments of stationary storage (*41*), expanding upon similar studies by (*52*, *76*). They found that MC (V1G only) with California's 3.3 million PEVs could offset \$16 billion investment in storage. PEVs' storage is equivalent to the GWh of energy generated by PV in 2019 and could help fill the net load and reduce the reliance on peaker power plants (*77*).

35 Compared to California-specific studies, (73) examined a U.S.-wide adoption of private 36 EVs and/or a system of shared autonomous electric vehicles (SAEVs) within an integrated 37 transportation-power sector model. The integrated modeling framework (GEM) combined vehicle 38 trips (mobility data) with an electricity least-cost dispatch model (GOOD) to endogenously 39 determine the allocation of SAEV fleet size, battery size, charging infrastructure (power 40 distribution and number of plugs), and charging schedules to meet the demand for trips not served by private EVs (an input percentage). They assumed all SAEVs use MC while the percentage of 41 42 private EVs that use MC is an input. A transition to 100% private EVs with MC reduces GHG 43 emissions by 53% compared to gasoline vehicles (assuming fixed efficiencies). However, this scenario does not capture efficiency gains from sharing vehicles and removing embodied carbon 44 45 in charging infrastructure or LDV stock that comes from relying on a fleet of SAEVs. SAEVs 46 could serve all trip demands with just 9% of the LDV stock used each day and with only 2.6 million

chargers, compared to an estimated 195 million needed for 100% private EVs. Though GHG minimization is not an objective of the model, renewable dispatch has zero marginal cost and MC of EVs helps to avoid solar power curtailment by about one-third. Serving all trips with SAEVs could improve GHG reductions by up to 70%. If the outlook on grid feedstocks suggested higher costs for fossil fuel generation and additional renewable energy sources, the GHG reduction estimates from MC could be higher.

7 A bottom-up optimization model for a 28-country European energy system estimated the 8 life-cycle emissions of different charging strategies in 2050 (75). Uncontrolled charging is 9 compared to V1G and V2G (whereby 50% and 100% of EVs are available) to determine the least-10 cost grid portfolio mix and dispatch. A life-cycle approach estimated the share of emissions from charging compared to production emissions. Life-cycle emissions are 6% lower for V1G and 17% 11 lower for V2G relative to uncontrolled charging because flexibility in charging can increase RES 12 13 and lessen the need for natural gas. Expected increases in battery density and lifespan can reduce 14 GHG emissions (up to 42% from current assumptions), necessitating a continuous examination of 15 MC benefits. However, the study cautions that total electricity use will increase with V2G due to 16 efficiency loss and, more importantly, a greater reliance on cheap PV – which has higher life-cycle 17 emissions than alternative sources like wind.

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19 2.1.2 Priority on Modeling with Real-World Travel Datasets

20 In contrast to grid models, other studies pair travel datasets and assumed charging profiles with grid carbon intensity profiles to obtain environmental costs of charging. An early paper examined 21 22 how MC to minimize CO2 emissions varies across all eight North American Electric Reliability 23 Corporation (NERC) regions (78). Each region's average daily urban VMT was taken from the 24 2009 National Household Travel Survey (NHTS) to obtain PEV load demand. The carbon intensity of the grid came from each region's summer and winter monthly marginal emission factors (MEFs) 25 26 by the time of day. A sensitivity analysis was performed across three PEV model types and three 27 pre-timed charging scenarios-daytime public or workplace charging (12-6 pm), evening post-28 work charging (6 pm - 12 am), and overnight charging for grid valley-filling (12 am - 12 pm). This 29 was compared to MC over the entire day. Over a vehicle's lifetime (i.e., 100,000 miles), MC could 30 reduce between 8% to 39% of charging emissions relative to pre-timed charging, depending upon 31 the region and PEV type. V2G could further reduce emission by up to 59%, but the authors caution 32 that CO2 emissions could increase if the PEV stores carbon-intense energy and later discharges it 33 when the average grid carbon intensity is lower. V2G in this study assumes separate discharging 34 and charging cycles and does not capture possible minute-to-minute changes in power flow.

Another study compared a cost-effective PHEV charging strategy that minimized daily operational costs (assuming real-time electricity prices) with an eco-friendly strategy that minimized the social cost of carbon from PHEVs (79). Like (78), emission rates and energy mixes came from the eight NERC regions, and the 2009 NHTS was used. Instead of only urban travel, this study examined all personal travel by an individual within their home state. They found the NERC regions where drivers may be willing to increase their charging cost for a greater reduction in emissions.

42 Unsurprisingly, carbon reduction estimates in (78) and similar work are inherently region-43 specific. One study of PEVs in the greater Toronto region found that MC could provide a 97% 44 GHG reduction compared to ICEVs; however, most of the grid's power comes from nuclear and 45 hydroelectric plants (80). Thus, policymakers would be wise to set MC decarbonization targets 46 that are achievable given the RES of the region. Moreover, the type of PEV and when the vehicle is charged can lead to unintended consequences. Long-range BEVs may have higher "tailpipe"
emissions than PHEVs if daily driving distances are covered with the charge-depletion mode of
the PHEV and the BEV is charged with inefficient peaker power plants (81).

4 Some initial MC pilot programs examining PEV effectiveness during demand response 5 (DR) events have been examined to include emissions savings. A 15-month program in Toronto 6 with 30 PEV drivers¹⁰ estimated the potential for peak shaving and annual emissions saving for 7 shiftable load that was identified in their data set (72). Given a pool of 1,000 PEVs, about 1.2MW 8 of power could be shed during a typical weekday night in December if needed, suggesting that 9 flexible loads can reduce the need to dispatch inefficient power plants to reduce emissions. If a 10 California grid is assumed, each PEV could reduce over 10% of their annual CO_{2e} emissions. A 11 joint utility-OEM study recorded driving records from nearly 400 PEV owners in Northern 12 California and discovered an additional 32% GHG emissions could be reduced if all charging 13 sessions were managed (60, 83). This assumption requires that all trip destinations had access to 14 utility-controlled EVSE and mobility constraints were met and known in advance.

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16 **3. SECOND-LIFE BATTERY USES**

17 Electrification of LDVs (and MDV/HDVs) alone will not eliminate transportation emissions. 18 Increasing renewable generational capacity with MC can provide synergies for the grid, which has 19 increasing responsibility in decarbonizing transportation emissions in the future. MC can help to 20 alleviate distributional grid strains and defer investments in stationary storage. The latter is critical 21 if both the transportation and power sector sharply increase their demand for batteries under 22 aggressive decarbonization targets (84). One bottom-up modeling approach has suggested mineral demand for clean technologies could rise by 4 to 6 times of 2020 demand by 2040 (59). Moreover, 23 24 existing and in-development mines could only meet 50% of the expected 2030 lithium and cobalt 25 demand and 80% of copper demand.

26 At the same time, the worldwide supply of decommissioned PEV batteries may closely 27 follow the estimated demand for utility-scale LIB storage (85, 86). The annual growth rate of LIBs 28 between 2020 and 2030 is at an estimated 24.20%, with LDVs capturing the greatest use in all 29 years (87). Since the lifespan of PEV batteries is upwards of 8 years, repurposing companies might 30 expect smaller battery capacities since early models are expected to have near 100% end-of-life 31 disposal by 2030. However, sales-adjusted volume estimates of decommissioned batteries reveal 32 more than two-thirds of capacity will be from model years 2020 to 2030 due to warranty 33 replacement or battery upgrades (87). The volume of decommissioned batteries is intricately linked 34 with the adoption of PEVs, which in turn is driven partially by policy support. For example, 40% 35 of new vehicle sales in the U.S. are in states that have adopted California's ZEV mandate, and 36 three more states may follow (88).

Absent supply-side constraints that incentivize repurposing batteries to meet stationary energy storage demand, there are environmental benefits that come with reuse. Repurposing PEV batteries for second-life applications creates a third step in the life cycle before end-of-life recycling or disposal (Figure 2). Repurposing, also called downcycling, is a circular economy concept originating in the sustainability field wherein a product is re-assembled and repurposed to

¹⁰ This study partnered with the local utility and recruited PEV drivers by offering a free Level 2 charger and the use of a cellular fleet-grade vehicle travel logger, which could be traded in for a personal Bluetooth vehicle logger. Drivers had the option of (1) unlimited opt-out of managed charging for 24-hours, (2) setting the time for each day of the week when full charge is needed, (3) setting an automatic opt-out of managed charging given a minimum battery state of charge (SOC) level. Each pilot may differ depending on utility goals and the driver's willingness to participate (*82*).

a new product of lesser value. In this application, B2U-ESS is an intermediate product between the original PEV battery and products from a battery recycling plant. Repurposing reduces the environmental impact of battery manufacturing by extending the lifespan of the battery and may provide OEMs and end-of-life recycling companies¹¹ time to develop a cost-competitive closedloop recycling process (58, 89). Since the potential supply of LIBs is growing at a nearly 25% rate, added time is necessary if less than 5% of the LIB¹² waste stream is actually recycled (90, 91).



Fig. 2. Battery life-cycle stages with new B2U-ESS (blue = current system, green = B2U-ESS component)

25 The sustainability advantage of downcycling is three-fold: the embodied carbon of the existing PEV battery pack is extended over several more years, using repurposed battery defers 26 27 the environmental cost of creating a new battery pack (mine-to-outlet), and B2U-ESS can 28 minimize emissions at a low-carbon cost (since the battery would otherwise be retired¹³). Although 29 early studies estimated that PEV batteries may have 20-30% capacity fade (54, 55), recent data suggests battery improvements may allow for just 10% capacity fade over the same number of 30 31 cycles (56). The embodied carbon of batteries ranges from 61 to 106 kg CO₂ equivalent per kWh 32 (92), with original manufacturing accounting for 40% of embodied carbon (93, 94). In addition to 33 avoiding manufacturing emissions in new BSS, the environmental, social, and natural security 34 concerns of raw materials can be reduced. For example, it can take up to 750 tons of mineral-rich 35 brine to produce 1 ton of lithium, affecting water availability in mining regions (58). When using 36 repurposed PEV battery packs for energy storage, the only added emissions come from battery 37 testing, reassembly, and transportation. Relative to an ICEV, a BEV battery with second-life energy 38 storage use can reduce GHG emissions by 56% over an expected 18-year lifespan (95). The study 39 uses a modestly cleaner grid (Ontario) than most developed nations, which suggests greater 40 benefits for regions that are more dependent on non-renewable energy sources.

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42 **3.1 Pilot Projects & Power Sector Use Cases for Second-life BSS**

¹¹ Partnerships announced include (but are not limited to) Ford and Redwood Materials, General Motors and Li-Cycle, Honda and Battery Resources.

¹² This study focuses on LIB, though future advances may lead to alternative battery types, which could be repurposed and recycled.

¹³ This bears some resemblance to carbon credit schemes that pay landowners not to log or develop forests.

Early studies predicted that B2U-ESS would support load-scale uses (e.g., behind-the-meter (BTM) in residential or small commercial settings) primarily in storing excess on-site PV, offering backup power, or lowering demand charges for commercial customers (96). To date, most pilots are "proof of concept" and seek to understand technical requirements and the economic case. However, some pilots are deploying large-scale tests and interacting with the grid. For example, a German utility retrofitted a decommissioned coal power plant building to store 40 MWh of B2U-ESS and take advantage of on-site transmission line infrastructure (97, 98).

8 Examples of smaller pilots include specific use cases of powering streetlights, elevators, 9 and providing backup power for a data center (99, 100). The data center pilot integrated five PEV 10 battery packs with a combined 76 kW of solar and wind power to provide up to four hours of backup power (54). A small Portuguese island integrated two PEV battery packs with renewables, 11 12 but also with 22 BEVs and V2G-enabled chargers, as part of a project to decarbonize the island 13 and reduce the reliance on imported fuel (101). The joint 2015-2016 DR pilot in the San Francisco 14 Bay Area using BEVs also explored the potential for homeowners to store excess PV in a BSS that could partially charge their BEV, but no results were provided (83). These three projects all use 15 16 on-site RES, while the last two combined BSS with charging PEVs¹⁴. Depending on regional 17 battery supply and the shape of the power grid's net load (i.e., removing RES generation), B2U-ESS may provide valuable ancillary services such as grid frequency and peak shaving up to 18 19 preventing curtailment. Table 2 summarizes pilots with known BSS capacity/power and purpose 20 where information was publicly available.

 Table 2. Summary of known second-life BSS pilots and key characteristics.

			Power	Capacity	
Location	Opened	# PEV Batteries	(MW)	(MWh)	Purpose
San Francisco, USA	2012	5	0.025	0.05	RES integration
Osaka, Japan	2014	16	0.6	0.4	RES integration
Yellowstone, USA	2014	208	-	0.085	-
Milford, USA	2015	5	-	-	RES integration
San Francisco, USA	2015	8	0.1	0.225	DR
Davis, USA	2016	864	0.06	0.26	MG
Elverlingsen, Germany	2016	1,878	20	21	GF
Hamburg, Germany	2016	2,600	2	2.8	GF
Indianapolis, USA	2016	-	0.05	0.015	-
Lünen, Germany	2016	1,000	12	12.8	GF
Malaga, Spain	2016	4	-	0.0372	RES integration
Aachen, Germany	2017	6	-	0.096	-
Paris, France	2017	12	0.144	0.192	EA
Paris, France	2017	-	-	0.088	-
San Diego, USA	2017	-	-	-	-
Sunderland, UK	2017	3	-	0.048	RES integration
Terni, Italy	2017	-	-	0.066	RES integration
Hannover, Germany	2018	3,240	13	17.4	GF

¹⁴ The data center and island pilot study could be considered microgrids, which are connected to the grid but can operate independently. Microgrids often include small RES and can be used to achieve partial energy independence, provide backup power, and even lower costs (*102*). B2U-ESS is also a type of distributed energy resource (DER), alongside rooftop solar, microturbines, and V2G-enabled PEVs.

Los Angeles, USA	2018	2	0.03	0.044	-
Amsterdam, Netherlands	2019	148	3	2.8	EA, BP
Berlin, Germany	2019	20	1.25	1.9	PS, BP, V2G, GF
Newark, USA	2019	-	0.2	-	V2G
Douai, France	-	-	-	4.7	-
Kempten, Germany	-	6	-	0.095	MG
West Sussex, UK	future	-	-	0.36	-
West Sussex, UK	future	10,000	-	14.5	-
Porto Santo, Portugal	Future	-	-	-	RES integration

Abbreviations not previously used: GF = Grid Frequency, PS = Peak Shaving, BP = Backup Power, EA = Energy Arbitrage, and MG = Microgrid use

Arbitrage, and MG – Microgr

4 **3.1 Environmental Benefits & Co-Benefits of Second-Life BSS**

5 While initial studies examined the economic justifications, environmental benefits, and logistical 6 barriers of B2U-ESS (55, 95, 103–105), more recent work has proposed life-cycle assessment 7 (LCA) methods to study the emissions of PEV batteries with B2U-ESS. In addition to this LCA 8 approach, others have estimated the emission reduction potential of BSS pilots or maximum 9 savings under optimization-based scenarios. Similar to the MC benefits, B2U-ESS use benefits 10 come from supporting RES, smoothening loads, and providing grid storage to offset peaker power 11 plants (106, 107).

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13 3.1.1 LCA Approach to Benefits of BSS

Using an LCA approach can help to understand how second-life applications can provide environmental benefits, but they can yield differences in estimates due to methodological differences. For example, a study reviewed previous LCA system boundaries (e.g., geographical differences in embodied carbon and life-cycle stages), the scope of the downcycling system (e.g., BTM purpose versus grid-scale storage), and ranges of assumptions that influenced previous studies (*107*). They found few carry out energy modeling of the second-life BSS phase, partially due to limited collected data on this stage.

21 One estimate found a 25% reduction in GHGs but did not account for the remanufacturing 22 step that adds additional energy costs (57). Transportation costs depend on the spatial distribution 23 of repurposing and if there are exclusive partnerships between OEMs and companies, like end-of-24 life recycling. Additional energy costs include state of health testing and assembly (107). The 25 carbon intensity of the grid during the primary (transportation) and secondary (second-life use) 26 will have an impact on the reduction in GHGs (108). Accordingly, it may not be beneficial to use 27 a BSS to shift flexible loads given battery efficiency losses unless there are significant changes in generation mixes by the time of day. Environmental benefits accrue when the BSS is paired with 28 29 RES, given efficiency loss and the potential for the battery to store electricity during carbon-30 intense periods and discharge during low-carbon times (106). When the repurposed BSS is grid-31 connected to a house with a rooftop photovoltaic (PV) array, up to 57% reduction in global 32 warming potential (GWP) is possible compared to using a new BSS (107). If the second-life use 33 of the BSS reduces the reliance on peaker power plants, then repurposing could double the GHG 34 savings from PEVs, but capacity fade during primary use in PEVs is a significant factor in total 35 life-cycle emission savings (93). A similar methodology as (106) compared a repurposed LIB to a 36 new lead-acid (PbA) BSS, which could be useful for utilities developing stationary storage projects 37 (109). Downcycling the LIB reduced GWP by 15 to 70%, depending on the repurposing energy

1 2 requirements and condition of the packs.

3 3.1.2 Simulation Modeling of Different BSS Use Cases

4 An alternative to LCA approaches is to model how repurposed batteries can be used at both the 5 generation and load level. A simulation for California used BSS to store decentralized solar and 6 wind, respectively, and a joint centralized solar and wind scenario (110). They focused on the 7 energy use and GHG impacts of just the second-life use, ignoring the other life-cycle components 8 shown in Figure 2. Their joint model of the BSS and the grid captured battery dynamics such as 9 thermal effects and degradation for each battery, while the grid model accounts for the 10 displacement of fossil-fuel generation due to BSS. Starting at the base year of 2015, the model projected the supply of spent PEV packs based on different adoption curves provided by the EIA 11 and different PEV disposal assumptions. The second life uses cases include storing decentralized 12 13 solar and wind, respectively, and a joint centralized solar and wind scenario. Due to the scope of 14 this study, it is the first study known to the authors to include transportation costs in a model with 15 realistic geographies¹⁵. They estimated that B2U-ESS could power 5% of California's projected 16 load in 2050, thereby eliminating up to 7 metric tons of CO_{2e} annually. The reductions come by 17 discharging the batteries to abate generation by fast-response natural gas peaker power plants.

18 In contrast to (110), a new study compared the economic and environmental differences in 19 using second-life LIBs to new LIBs under three use cases: utility-level PV integration, utility-level 20 peak shaving, and residential BTM storage (111). To account for regional variations in carbon 21 intensity, they studied five U.S. cities – Portland, Los Angeles, Phoenix, Detroit, and New York 22 City. A net present cost energy system model (Homer Pro) found the optimal power system (including capacity and (dis)charge decisions). The GWP of the batteries was calculated using grid 23 24 fuel feedstocks and power decision variables from the energy model. Since the optimal system for 25 each scenario was the lowest annualized net cost, homeowners adopted second-life LIBs to 26 maximize benefits from their rooftop PV, which decreased emissions by 22% to 51% compared to 27 no BSS. Compared to using new LIBs, the carbon emission savings ranged from 7% to 31% across 28 all scenarios when on-site storage was economically justified.

29 The ability and extent to which GHG emissions are reduced depend on efficiency loss and 30 temporal variation in the grid's carbon intensity (112, 113). Higher losses not only require more 31 electricity but higher variation in carbon intensity to warrant using the BSS. Thus, benefits are 32 greatest when the repurposed BSS is paired with RES (106). One simulation paired 45 anonymized 33 Austin, Texas household load profiles from 2018, including solar generation if rooftop PV was 34 present, with the region's grid emission factor at a 15-minute time scale (114). Annual power-35 related emissions were compared to theoretical savings if all homes used a 6 kWh BSS. Homes 36 with PV could have reduced 2.42 metrics tons of CO2e in 2018 with a BSS - which is not 37 insignificant. However, if more homes use a BSS to either store low-carbon power from the grid 38 or excess rooftop PV, fewer inefficient peaker power plants may be dispatched and would impact 39 usage of the BSS (i.e., a feedback loop is missing).

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41 **4. DISCUSSION**

42 The urgency in reaching carbon neutrality to limit global warming to 1.5 °C and transportation's

¹⁵ The study sited repurposing facilities through a facilitation location problem (i.e., maximum coverage location problem) across California weighted by the number of spent PEV batteries per county, assuming they are collected only at car dealerships. Batteries were sent to decentralized RES sites according to the share of generation.

dependence on petroleum motivated a review of decarbonization benefits afforded by PEVs. 1 2 Government targets and policy interventions have sought to increase PEV adoption, especially to 3 nudge early adopters through various demand and supply-side approaches (35, 36, 115). However, 4 with growing PEV adoption in countries like Norway, there is an urgency to examine how to 5 further decrease CO₂ emissions, both in the transportation and electricity sectors. This study 6 reports the benefits of both managed charging (focusing on V1G) and second-life applications of 7 PEV batteries in stationary storage. There are clear environmental and co-benefits for both sectors 8 when these two strategies are pursued.

9 MC provides direct environmental benefits and co-benefits to an increasingly renewable 10 grid (e.g., demand response, grid frequency, voltage regulation). Environmental benefits include aligning shiftable PEV load with low-carbon generation periods, such as midday in solar-rich 11 California or nighttime in wind-rich Texas. Increasing renewable generation can lead to increasing 12 13 curtailment in markets where energy storage is insufficient, and loads are currently inflexible. For 14 example, the California ISO (CAISO) reported a curtailment of 961 GWh in 2019 (a two-fold 15 increase from 2018 and a three-fold increase from 2016) (116). If PEV load is left unmanaged, 16 existing TOU rates and charging behavior may add to the baseload peak demand and increase the 17 need for natural gas peaker power plants. If generational capacity is added to meet new demand 18 (e.g., from an increase in PEVs) and to compete with more expensive dispatchable generation 19 sources, then MC can help to reduce over-generation curtailment. Additionally, if all PEV load is 20 shifted to lower baseload peak demand, V2G may be necessary for further peak shaving and to 21 abate natural gas peaker power plants.

22 The total emission reduction potential from MC depends on many factors, including but 23 not limited to the electricity grid mix, electricity rate structures (TOU versus EV-specific), the 24 availability of MC-enabled EVSE and PEVs, and the flexibility of charging demand. A study using real-world mobility and charging data from San Francisco Bay Area drivers estimated that MC to 25 26 minimize CO₂ emissions could reduce charging emissions by a third if chargers are available at all 27 destinations and drivers are willing to use utility-controlled MC (83). When PEV loads are added 28 to a wholesale power dispatch model such that vehicles serve as a flexible grid resource when 29 parked, California's grid emissions could fall by 3% to 5% in 2030 (with an existing 56% RPS), 30 but this relies on an additional 3.8 million public chargers (53).

31 Co-benefits for the grid incudes avoiding multi-billion-dollar investments in stationary 32 storage (41, 52, 76), which could instead be used to subsidize V1G-enabled public and private 33 EVSE. Due to RES portfolios and weather patterns, shifting PEV loads may require different 34 EVSE infrastructure outcomes. If the region has significant nighttime wind (e.g., Texas), 35 expanding residential charging is necessary. Governments can require new residential buildings to have the electrical infrastructure and wall outlets needed for long-range BEVs (i.e., EV-ready 36 37 policy) by amending building codes or offer monetary incentives like rebates and tax credits for 38 residential charger installation (117, 118). The latter may help spur charger installations for multi-39 family renter-occupied units. On the other hand, in solar-rich regions like California, studies 40 indicate a need for more public and workplace EVSE (83). Government policies to subsidize public charger investment, require chargers at large commercial developments, and requiring a minimum 41 42 number of utility-owned charging stations may help. Although vehicle and EVSE rebates are 43 necessary for the transition to PEVs, purchase-price parity and an increase in charging demand may create sufficient market conditions to transition away from rebates to incentives that 44 45 compensate PEV drivers for MC (42). Additional research is necessary to understand best practices 46 of utility-controlled MC since the alternative, TOU pricing, can lead to renewable curtailment, and

drivers may not be willing to accept utility-control MC without overrides that may be abused (82).
Pursuing MC will require OEMs and EVSE suppliers to adopt interoperable, open-source charging
across a range of vehicle types and charging equipment and minimize inputs from the driver that
add a delay (e.g., payment information, mobility needs). For more information on communication
protocols, interested readers are referred to (64).

6 While policymakers pursue MC, continued investment in end-of-life disposal is necessary. 7 Less than 5% of LIBs are recycled compared to more than 95% of PbA batteries. In addition to 8 significant investment in developing commercial LIB recycling methods to capture critical 9 minerals (119), further research into battery state of health testing, standardization of battery sizes 10 and identification barcodes, and collection schemes can prepare the nascent reuse and recycling industry (58). The number of exploratory pilot programs repurposing PEV batteries BTM (a few 11 kWh) or at utility-scale (up to 40 MWh) is increasing. Partnerships between OEMs, utilities, and 12 13 third-party researchers have been the driver behind these schemes. One may expect that OEMs 14 play a role in battery collection schemes by coordinating with dealerships and scrappage yards.

15 Applications have historically centered primarily on small-scale concepts, but recent 16 utility-scale projects in Europe suggest possible OEM and utility partnerships to downcycle 17 decommissioned batteries. Newer PEV batteries may have less capacity fade (56) and state of health testing during reassembly can provide updated capacity and power ratings to alleviate 18 concerns about B2U-ESS performance. Repurposed batteries provide GHG savings by discharging 19 20 low-carbon power during peak periods to abate peaker plants, spreading out the manufacturing impact over an extended lifespan, and offsetting a new battery for each repurposed battery 21 22 installed. For example, a repurposed LIB provides 7% to 31% lower emissions than a new LIB 23 when paired with residential PV (111). Due to efficiency losses, B2U-ESS may offer greater 24 benefits when paired with intermittent RES. From a review of the literature, there is a need to better capture the performance and energy usage of PEV batteries during the second-life stage to 25 26 improve LCA studies (120). Moreover, the authors are unaware of studies that jointly consider MC 27 and B2U-ESS within a least-cost power dispatch model, which may exist in a deep decarbonization 28 world. One study estimated that B2U-ESS could provide 5% of California's energy demand in 29 2050 (110). Additionally, a MC study found that the total energy of PEVs was equivalent to 30 California's 2019 PV generation (41).

In addition to carbon emission benefits, downcycling batteries may help to reduce supply-31 32 side constraints in the push to decarbonize both transportation and electricity sectors together. 33 According to the IEA, only half of lithium and cobalt required in 2030 decarbonization plans can 34 be supplied with existing and planned mines (59). One component, utility-scale LIB storage 35 demand, could reach 183 GWh, which could be matched by an estimated supply of second-life 36 PEV LIBs (112-227 GWh) (85). Though some faulty battery packs would be discarded in the 37 assembly phase of the B2U-ESS system, repurposing spent batteries may lessen the demand for 38 raw minerals that goes to stationary energy storage and preserve minerals for PEVs.

39 In summary, the studies cited used different methods to obtain environmental and co-40 benefits associated with MC and B2U-ESS technologies. Scientists should be aware of limitations 41 and strengths associated with each approach when making generalizable assumptions about the 42 pathways to net zero. In the MC field, there are integrated transport-power dispatch models, while 43 others prioritize their respective sectors. Regardless of the model used, assumptions on charging 44 behavior and vehicle characteristics are not trivial. There is evidence that motorists prefer to charge 45 at home, and models that assume charging where EVSE is available or that workplace/public 46 charging will be available all the time will obtain environmental estimates that are practically

infeasible. However, the studies suggest maximum attainable benefits under certain investments in EVSE and charging incentives. In the B2U-ESS section, different LCA approaches, and assumptions had a similar effect on GHG and GWP reduction estimates. There is also uncertainty in the lifespan of B2U-ESS systems since there are remaining difficulties in battery state of health testing and no standardization of testing procedures. The condition of used PEV batteries is important in estimating the percentage of batteries recycled outright and the emission reduction benefit of repurposing batteries.

8

9 5. CONCLUSION

10 This study highlighted U.S. case studies and characterized transportation-related emissions in the 11 U.S. Wherever possible, perspectives from other developed countries were used, though lessons 12 learned from the U.S. are often transferrable to other states. For perspective, the transportation 13 sector accounts for 23% of globally energy-related CO₂ emissions and is often in the top two largest 14 emitting sectors in developed countries (11). As a sector, petroleum products provide 92% of the 15 final energy demand for the wide range of modes, suggesting challenges for decarbonization 16 targets (121). PEVs offer decarbonization benefits from enhanced energy efficiency (3x that of 17 ICEVs) and less carbon-intense fuel, which will improve as the electricity sector decarbonizes. 18 Although there are numerous policy incentives designed to encourage the adoption of PEVs, there 19 is little emphasis on measuring the decarbonization benefits of these vehicles once purchased.

20 Policymakers should look closely at charging emissions, not only to obtain more accurate carbon budgets but also to use PEVs to restructure the interaction between the transportation and 21 22 electricity sectors. Managed charging of PEVs can reduce the curtailment of renewables, shift the 23 additional load to avoid the use of peaker power plants, and possibly make tailpipe emissions truly 24 carbon-free. Investments in charging equipment in locations where parked vehicles can absorb time-dependent renewable energy can offset investments in stationary energy storage systems and 25 26 preserve critical raw materials for the transition to PEVs. At the same time, investing in 27 downcycling of PEV batteries, first in repurposing and later in recycling, will sustainably manage 28 this new waste stream. The anticipated supply of used PEV batteries for transportation is expected 29 to align closely with the demand for utility-scale LIBs, offering a pathway for second-life batteries 30 to decarbonize the electricity grid.

It is wise to move beyond PEV adoption targets and traditional policy levers to achieve deep decarbonization benefits. Aligning subsidies in charging infrastructure with MC incentives is both economically and environmentally justified. Developing a sustainable end-of-life management ecosystem for PEV batteries can capture the remaining capacity of batteries after their transportation use and provide years of benefits for the grid. In pursuing these two strategies, perhaps the world can have plentiful, carbon neutral travel.

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46 **7. AUTHOR CONTRIBUTION STATEMENT**

The authors confirm contribution to the paper as follows: Matthew Dean: conceptualization,
 methodology, investigation, writing – original draft, review & editing. Kara Kockelman:
 supervision. All authors reviewed the results and approved the final version of the manuscript.

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