

# **Spatial Analysis Exercise**

## **GIS in Water Resources**

### **Fall 2012**

**Prepared by David G. Tarboton and David R. Maidment**

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## **Goal**

The goal of this exercise is to serve as an introduction to Spatial Analysis with ArcGIS.

## **Objectives**

- Calculate slope from a grid digital elevation model
- Apply model builder geoprocessing capability to program a sequence of ArcGIS functions
- Use raster data and raster calculator functionality to calculate watershed attributes such as mean elevation, mean annual precipitation and runoff ratio.
- Interpolate data values at points to create a spatial field to use in hydrologic calculations

## **Computer and Data Requirements**

To carry out this exercise, you need to have a computer, which runs ArcGIS for Desktop 10.1. The necessary data are provided in the accompanying zip file,

<http://www.ce.utexas.edu/prof/maidment/giswr2012/Ex3/Ex3Data.zip>

## **Readings**

Handout on "Computation of Slope" <http://www.ce.utexas.edu/prof/maidment/giswr2012/Docs/Slope.pdf>

# Part 1. Slope calculations

## 1.1 Hand Calculations

Given the following grid of elevations. Calculate by hand the slope and aspect (slope direction) at the grid cell labeled **A** using

- (i) The standard ESRI surface slope function (see lecture 7 slides 50-52 in SpatialAnalysis.pptx)
- (ii) The 8 direction pour point model (see lecture 7 slides 53-54 in SpatialAnalysis.ppt)

This subject is also described in pp. 5-7 of the Slope handout

<http://www.ce.utexas.edu/prof/maidment/giswr2012/Docs/Slope.pdf>

Refer to the slides (<http://www.neng.usu.edu/cee/faculty/dtarb/giswr/2012/SpatialAnalysis.pptx>) from lecture 7 to obtain the necessary formulas for each of these methods. Refer also to the "Computation of Slope" readings for a deeper understanding of slope.

### Grid cell size 10m

47.5	48	47.7	50.6	48.3
45.1	45.8	46.8 A	48.6	47.6
45	46.1	46.4 B	47.9	47.4
45.4	46.1	47	48.6	47.7

Comment on the differences and indicate which you think is a better approximation of the direction of water flow over the surface.

*To turn in: Hand calculations of slope at point A using each of the two methods and comments on the differences.*

## 1.2 Verifying calculations using ArcGIS

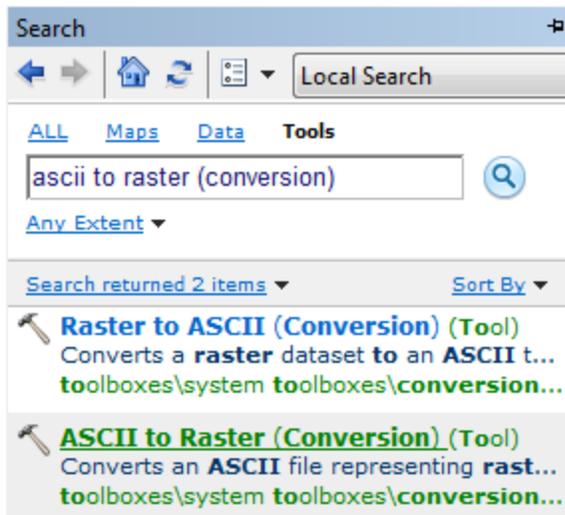
Verify the calculations in (1.1) using ArcGIS Hydrology and Surface Toolbox functions.

Save the following to a text file 'elev.txt' (This file is also included in <http://www.neng.usu.edu/cee/faculty/dtarb/giswr/2012/Ex3Data.zip>)

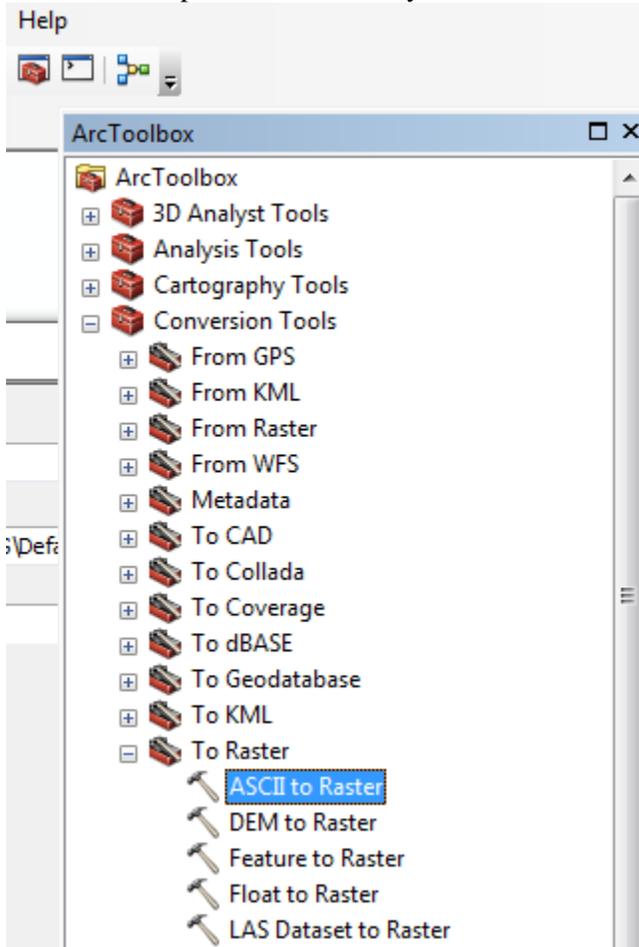
```
ncols      5
nrows      4
xllcorner  0
yllcorner  0
cellsize   10
NODATA_value -9999
47.5  48    47.7  50.6  48.3
45.1  45.8  46.8  48.6  47.6
45    46.1  46.4  47.9  47.4
45.4  46.1  47    48.6  47.7
```

This shows how raw grid data can be represented in an ASCII text format that ArcGIS can import. Knowing how to get raw information into a form where it can be imported and analyzed using GIS is a useful skill.

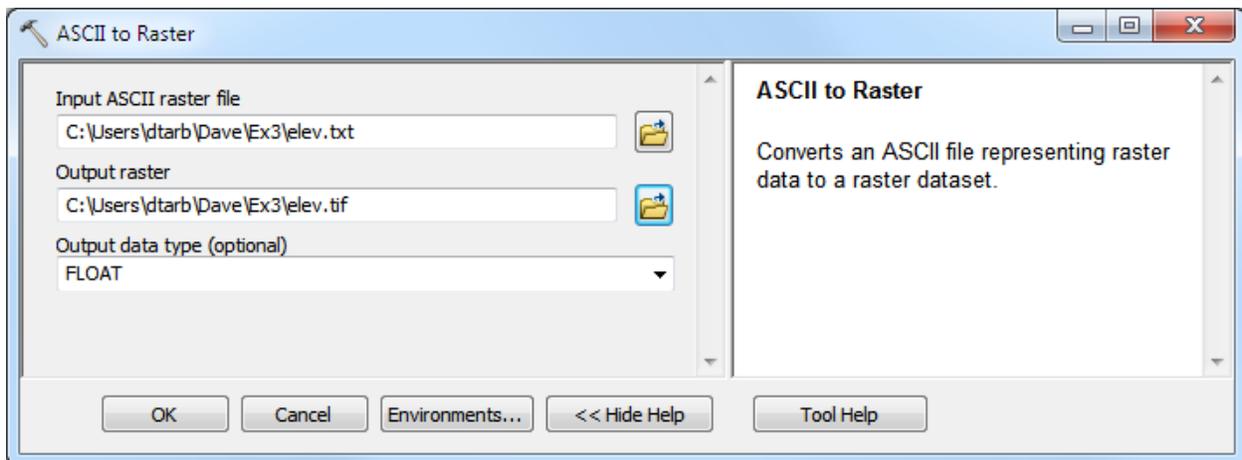
Open **ArcMap** and **Search** for **Tools** and find the function **ASCII to Raster (Conversion)**



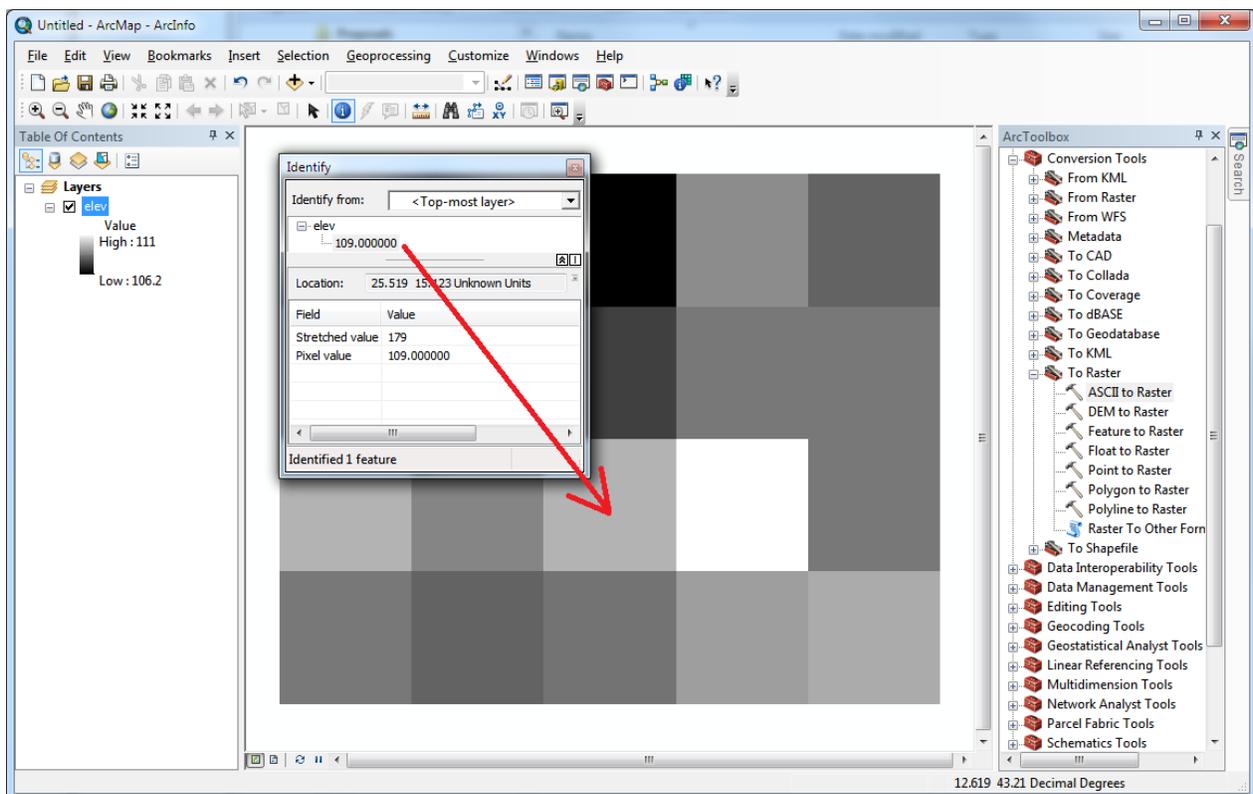
You can also open this tool directly from Arc Toolbox:



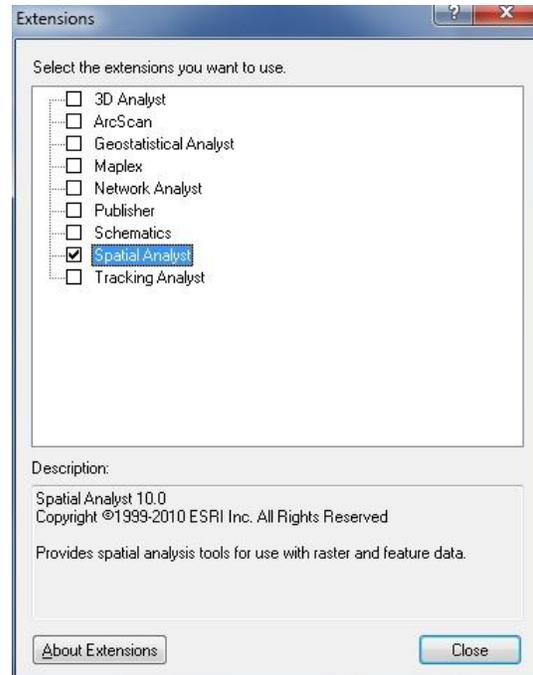
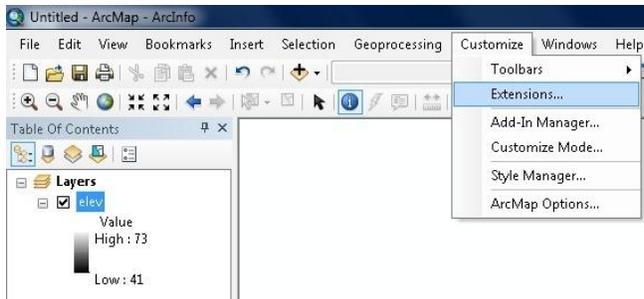
Specify the name of the Output raster as **elev.tif** and give it a disk location. (Note that the extension specifies the grid file format, .tif for a TIFF file, .img for an ERDAS IMAGINE file, or no extension for an ESRI GRID raster format.) Specify the Output data type as **FLOAT** because the given elevation data includes decimal values.



You can use the identify button  on the grid created to verify that the numbers correspond to the values in the table above.

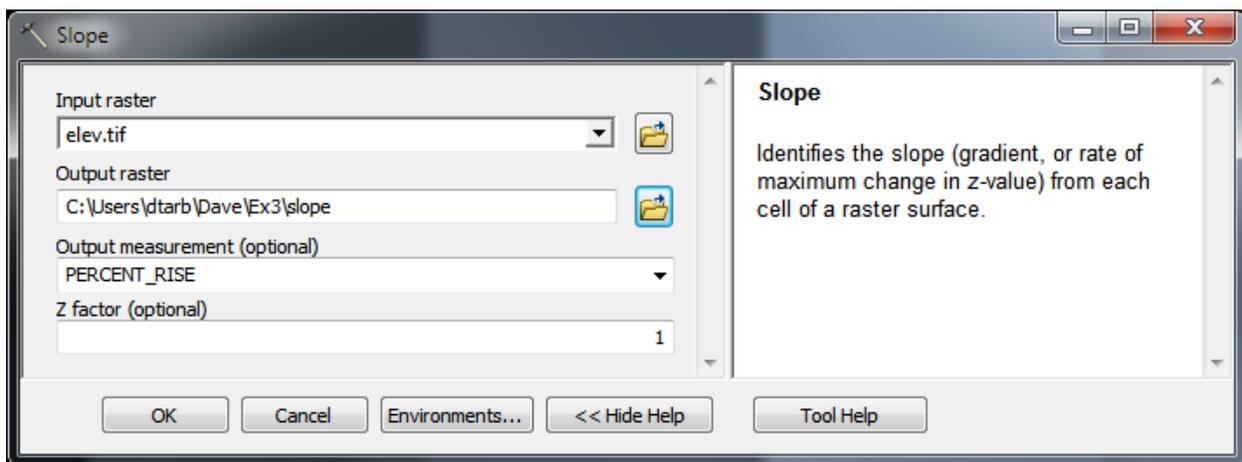
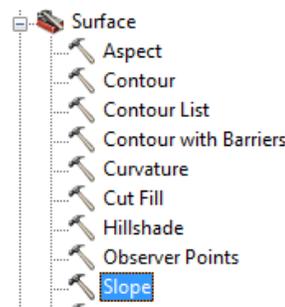


Open **Customize** → **Extensions** and verify that the Spatial Analyst function is available and checked. This is where the spatial analyst license is accessed, so if Spatial Analyst does not appear you need to acquire the appropriate license.



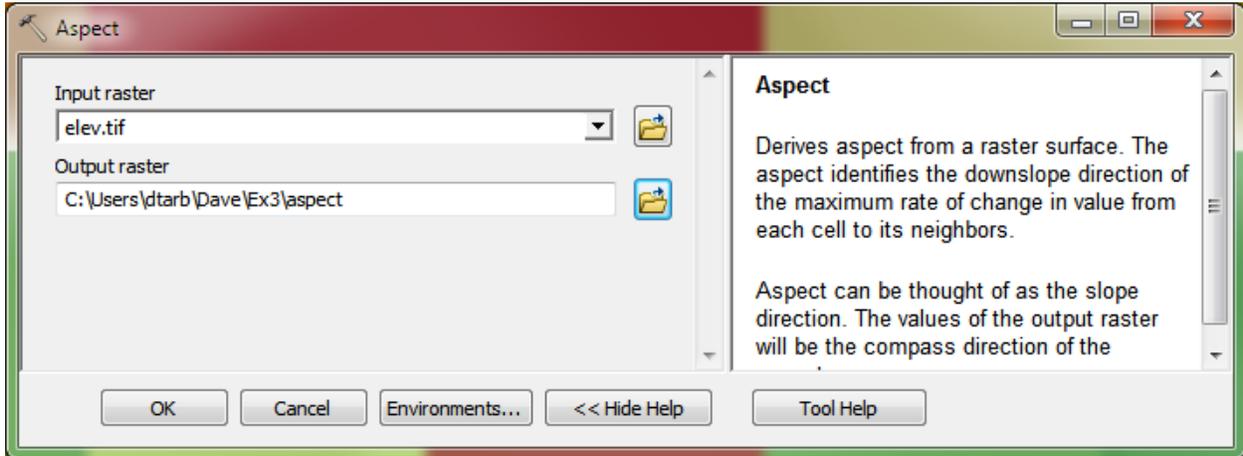
Open the tool **Spatial Analyst Tools** → **Surface** → **Slope**

Click on the **Show Help>>** and **Tool Help** button to read details on this tool. Note that when you click on each field in the dialog box the help part of the dialog to the right explains the content of the file or option. Select **elev.tif** as the input raster and specify names for the output raster (e.g. **Slope**). Note that raster file names cannot exceed 13 characters. Set the Output measurement to **PERCENT\_RISE** and leave the Z factor at 1. Click OK.



The resulting Slope grid should be added to the display. Use identify to verify your hand calculation for grid cell A and note the value of slope for grid cell B.

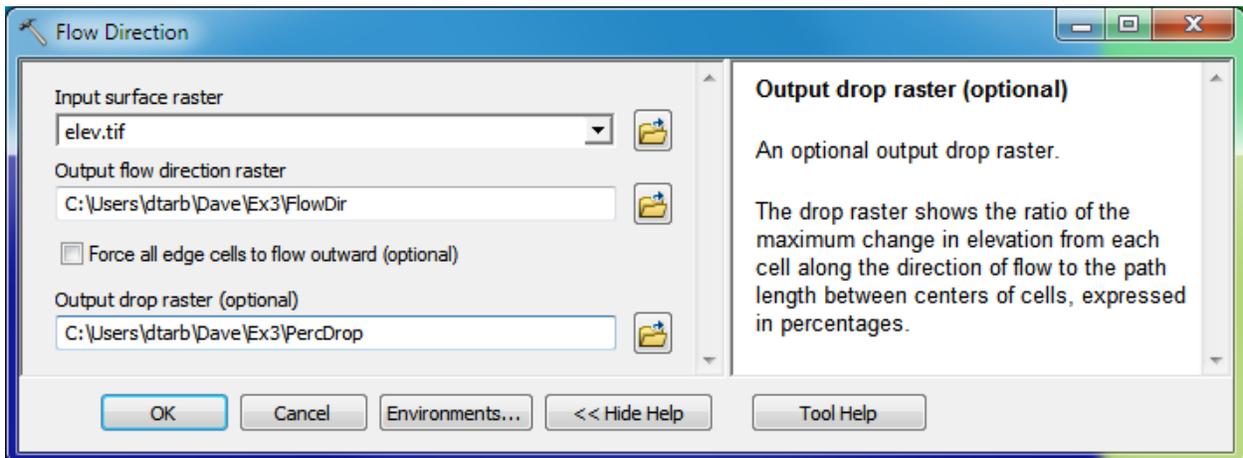
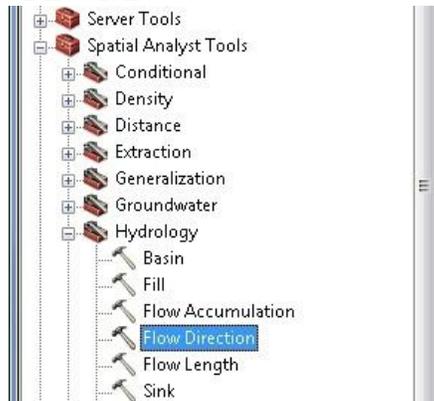
Open the tool **Spatial Analyst Tools** → **Surface** → **Aspect**. Select elev.tif as the Input raster and specify a name for the output raster (e.g. **Aspect**). Click OK.



The resulting Aspect grid should be computed and added to the display. Use identify to verify your hand calculation for grid cell A and note the value of aspect for grid cell B.

Open the tool **Spatial Analyst Tools** → **Hydrology** → **Flow Direction**

Select **elev.tif** as the input raster and specify names for output rasters (e.g. **FlowDir** and **PercDrop**). Note that the help explanation that appears when click on the output drop raster field in the dialog box explains that the Output drop raster is really the slope expressed as a percentage. Click OK



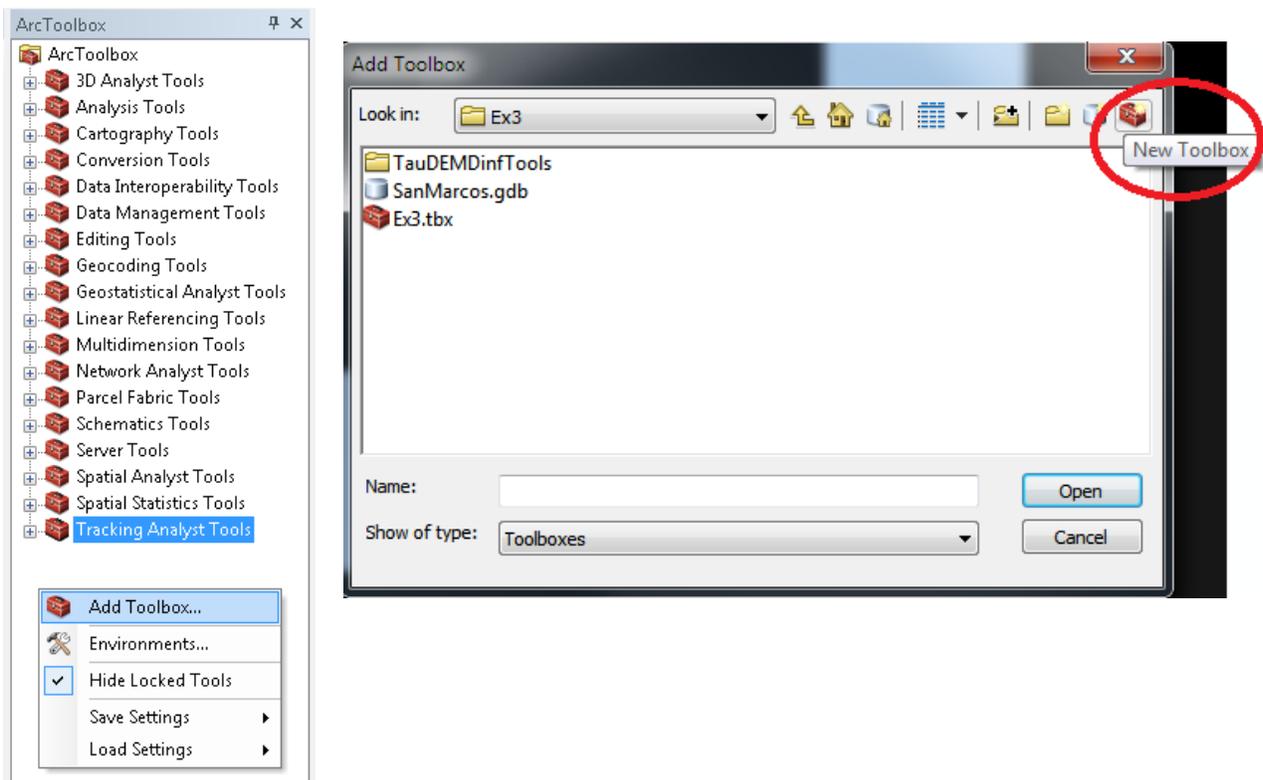
Use the identify button on the FlowDir and PercDrop grids that are created to verify that the numbers correspond to the values you calculated by hand and resolve or reconcile any differences. Record in a table the ArcGIS calculated flow direction and hydrologic slope (Output drop) at grid cells A and B.

*To turn in: Table giving slope, aspect, hydrologic slope and flow direction at grid cells A and B. Please turn in a diagram or sketch that defines or indicates what each of these numbers means for the specific values obtained for cells A and B.*

### 1.3 Automating procedures using Modelbuilder.

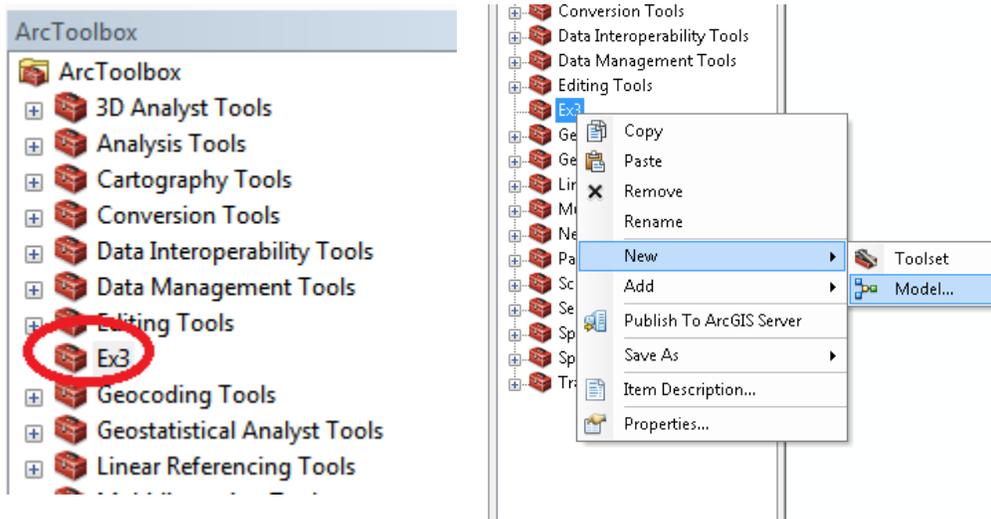
Modelbuilder provides a convenient way to automate and combine together geoprocessing tools in ArcToolbox. Here we will develop a Modelbuilder tool to automate the importing of the ASCII grid and calculation of Slope, Aspect, Hydrologic Slope and Flow direction.

Right click on the whitespace within the ArcToolbox window and select Add Toolbox. Navigate to a folder where you want to store your work (e.g. Ex3). In the opened window, click on the New Toolbox icon and name it **Ex3.tbx** (or something else you might like). Select the new toolbox and click Open.



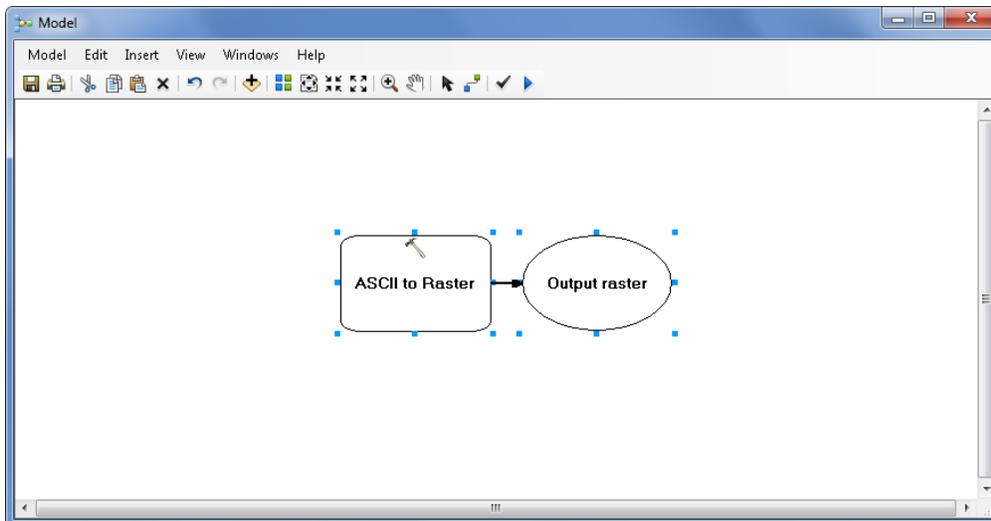
This is a little bit awkward, so if you have difficulties doing this, don't worry, we did too! If you try it over a couple of times, you should get it right.

A new toolbox should now appear in the list of tools in ArcToolbox. Right-click on the new toolbox and select new model.

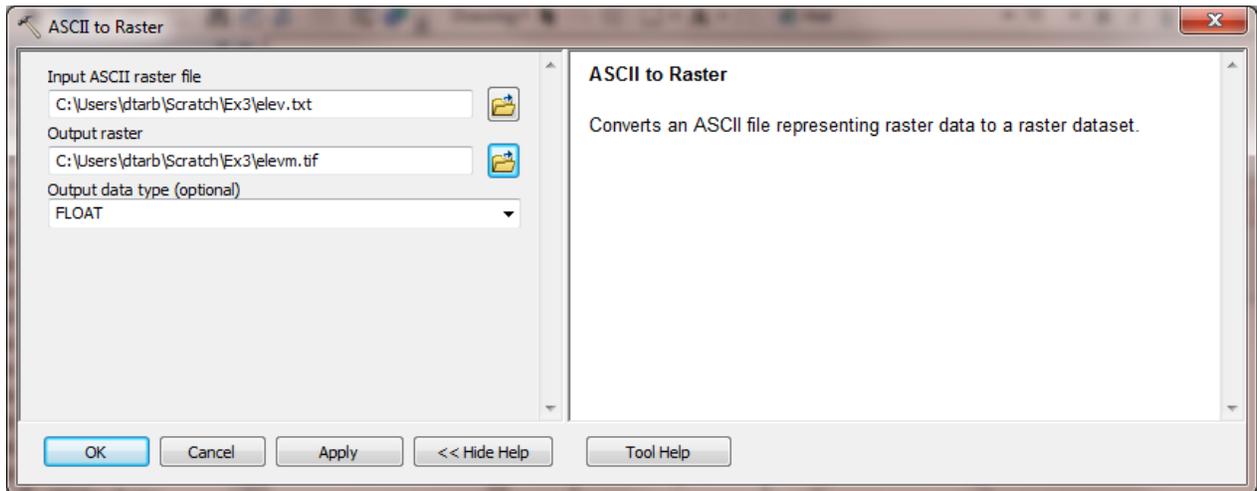


The model window should open. This is a window where you can drag, drop and link tools in a visual way much like constructing a flow chart.

In the Toolbox window browse to **Conversion Tools → To Raster → ASCII to Raster**. Drag this tool onto the model window.



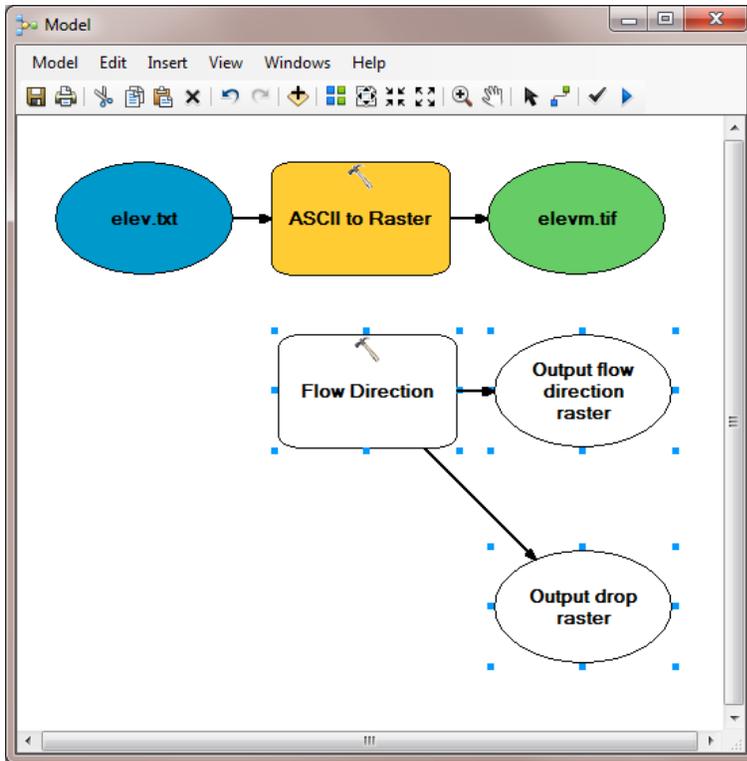
Double click on the **ASCII to Raster rectangle** to set this tool's inputs and outputs.



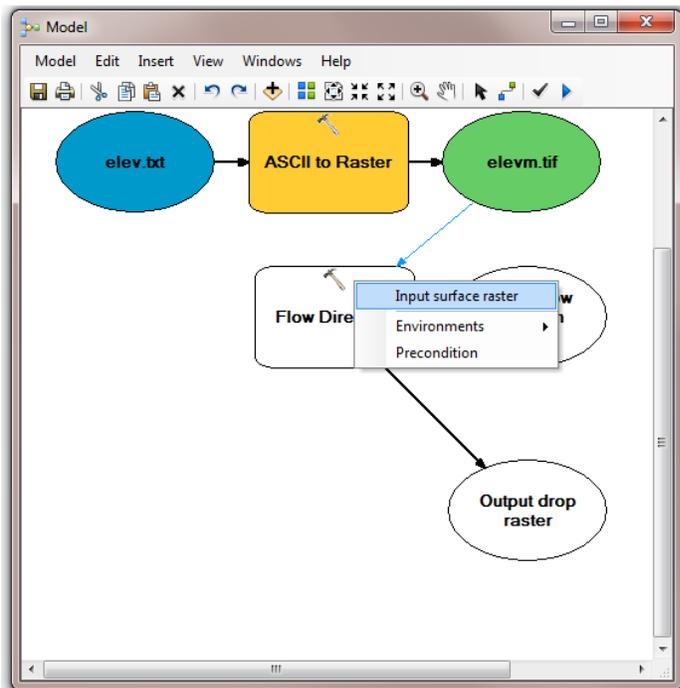
Set the Input ASCII raster file to **elev.txt** and Output raster to **elevm.tif** (I used elevm.tif so as not to conflict with elev.tif that already exists). Set the output data type to be FLOAT. Click OK to dismiss this dialog. Note that the model elements on the ModelBuilder palette are now colored indicating that their inputs are complete.



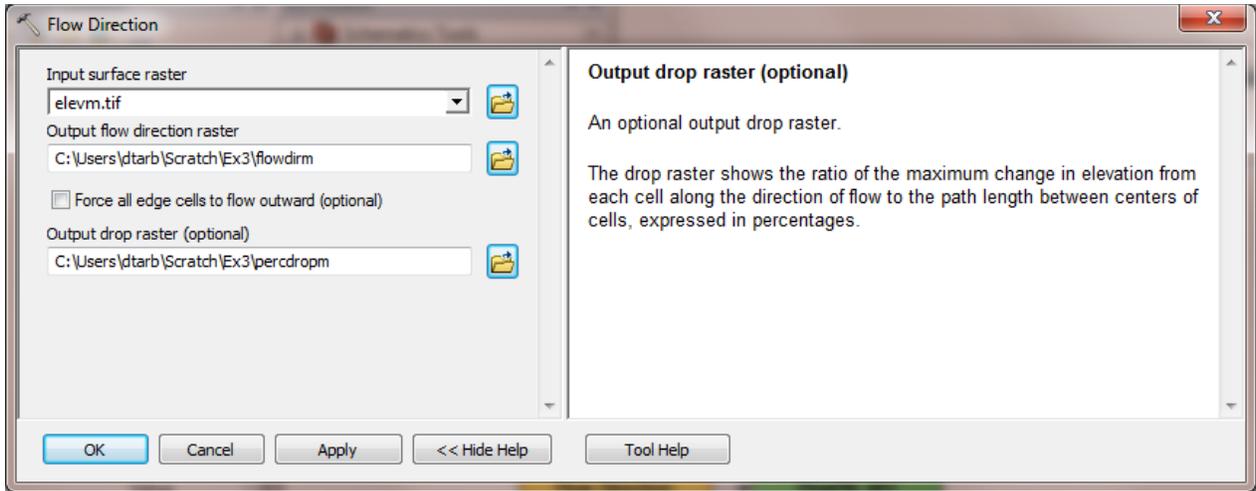
Locate the tool **Spatial Analyst Tools → Hydrology → Flow Direction** and drag it on to your window. Your window should appear as follows.



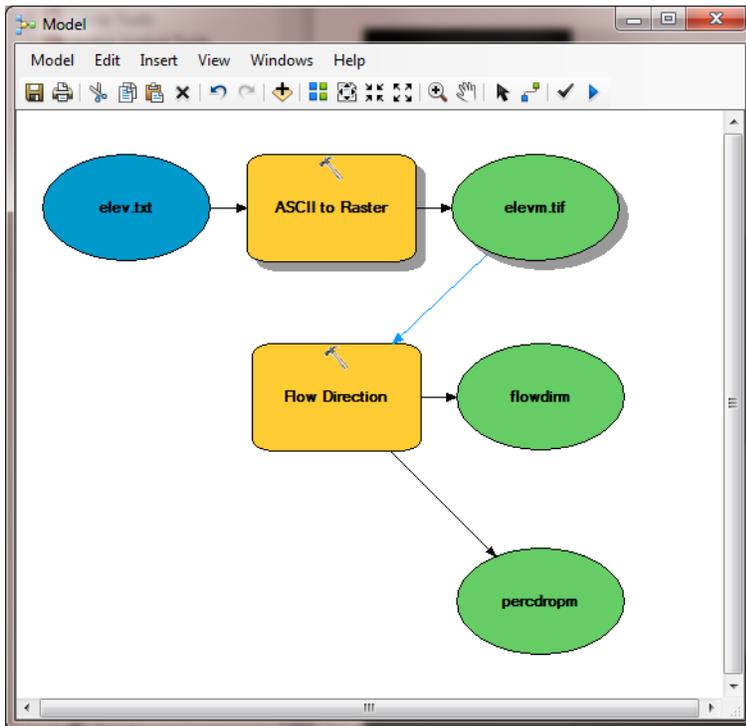
The output from the ASCII to raster function needs to be taken as input to the Flow Direction function. To do this use the connection tool  and draw a line from **elevm.tif**, the Output raster of ASCII to Raster, to the Flow Direction tool rectangle. At the dialog that pops up select **Input surface raster** to indicate that elevm.tif is to be used as the input Surface raster for the Flow Direction tool.



Notice that the "output drop" oval is hollow. This is because this is an optional output that has not been specified. Double click on the Flow Direction tool and specify names for both the Output flow direction raster and optional Output drop raster.



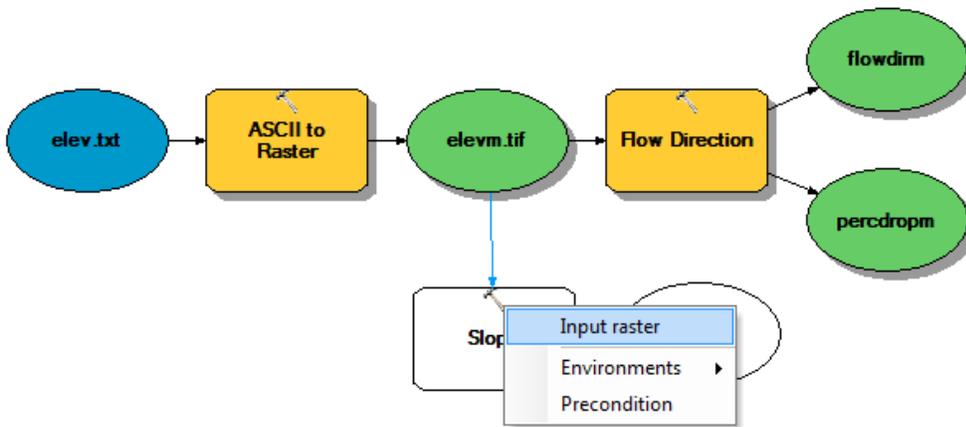
Click OK. Alternatively you could double click on output ovals individually to specify the output rasters. The model is now ready to run. Run the model by clicking on the run button .



The orange boxes briefly flash red as each step is executed. The Model progress box opens and the progress bar indicates when the model completes. You can then add the outputs to the map and examine the results.

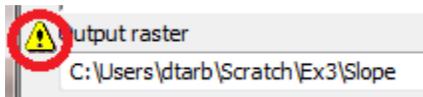
In the model, use the layout tool  to organize the layout.

Add the **Spatial Analyst** → **Surface** → **Slope** tool to your model by dragging it onto the model window. Connect the elevm.tif output to this tool, specifying that it is the Input Raster for the Slope Tool.

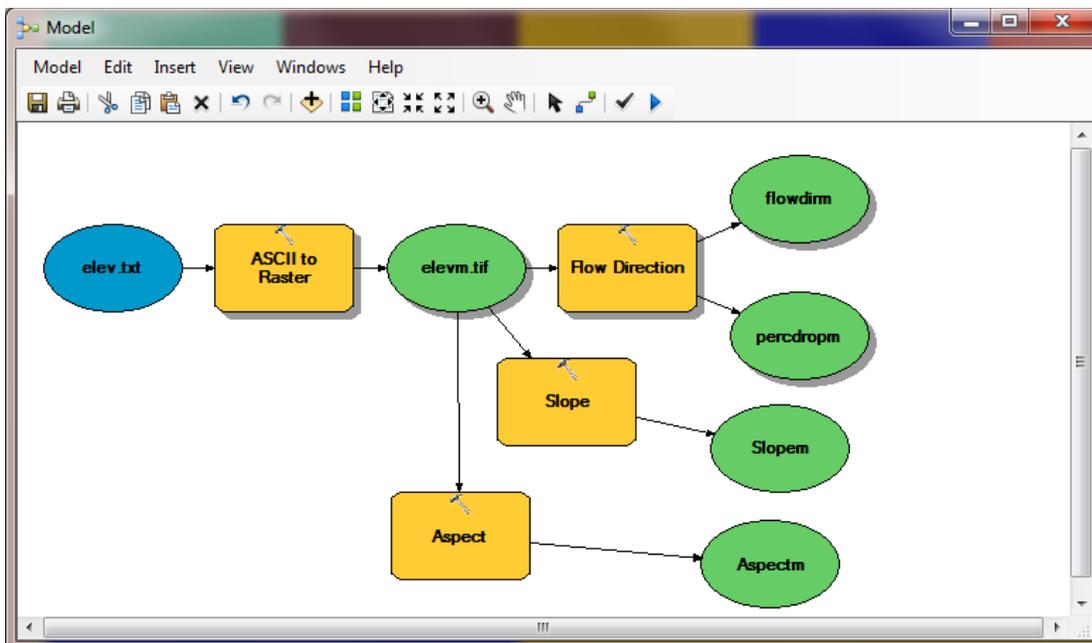


Add the **Spatial Analyst** → **Surface** → **Aspect** tool to your model connecting it to elevm.tif as an input in a similar way. Double click on the Slope and aspect tool outputs and specify file names for the outputs.

When setting names you need to be careful that you do not use a name of a grid that already exists, or else you will get a yellow warning sign in the display and the model will not run, as shown below:

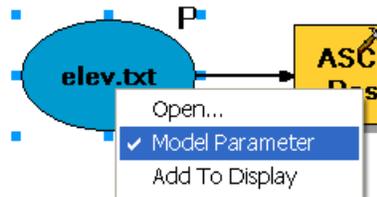


Double click on the Slope tool and set the Output measurement to PERCENT\_RISE. Your model should appear as follows.

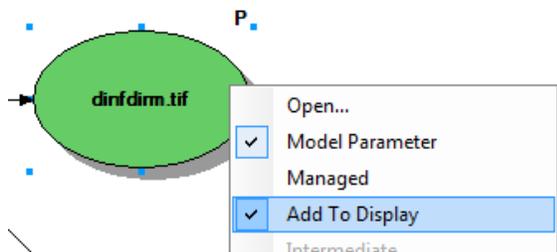


You can click run and do all the processing required to import the data, compute Slope, Aspect, Flow Direction and Hydrologic Slope at the click of a button. Pretty slick!

Right click on elev.txt and select Model Parameter.

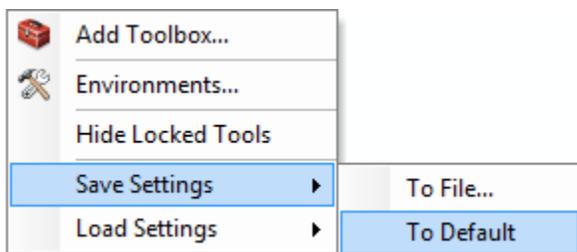


Right click on each of the outputs **FlowDirm**, **percdropm**, **Slopem** and **Aspectm** in turn and select Model Parameter and Add to Display.



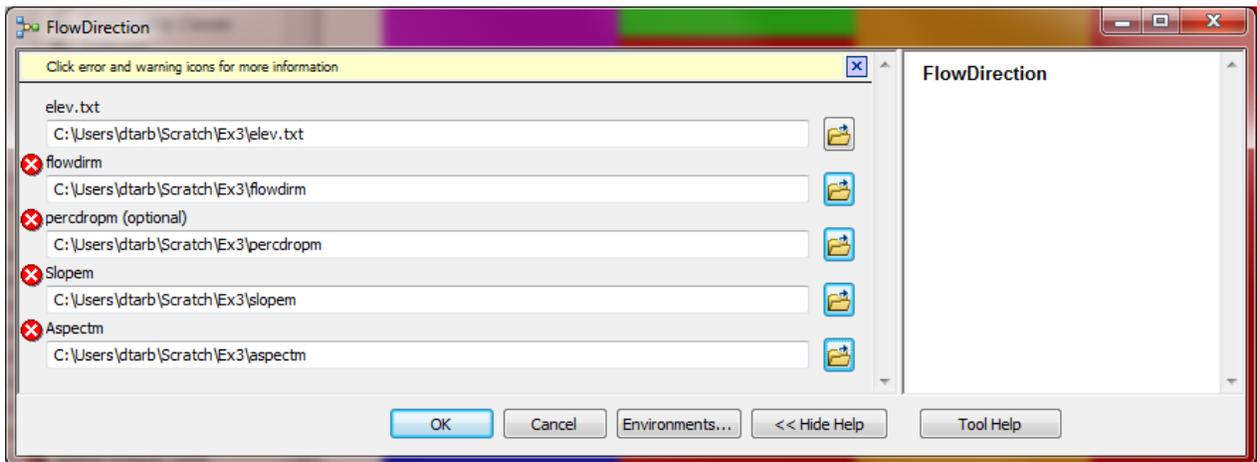
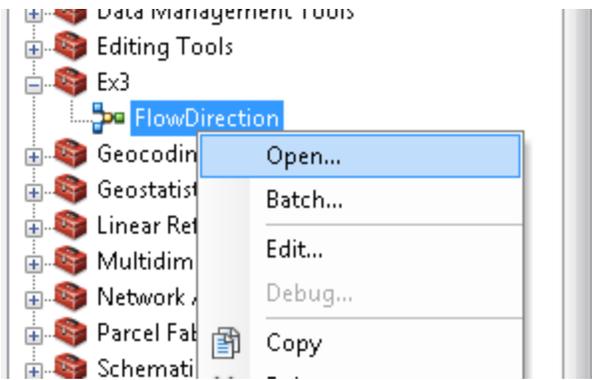
A P now appears next to these elements in the diagram indicating that they are 'parameters' of the model that may be adjusted at run time. Close your model and click **Yes** at the prompt to save it. Right click on the model in the Toolbox window to rename it something you like (e.g. **FlowDirection**).

Right click on the whitespace within the ArcToolbox window and select **Save Settings → To Default**



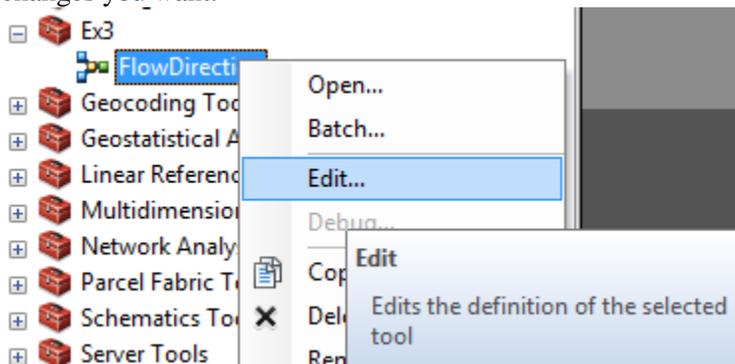
This saves your toolbox settings so that your system remembers the tools you have loaded (in this case the tool you have written in Ex3.tbx). This is useful if you want to not have to hunt for this Toolbox and load it again if you exit from ArcGIS or if there is a crash. This applies to the specific computer you are using so on a shared lab computer it is not really necessary and will not help if next time you work in the lab you are at a different computer or the lab computer has been "refreshed" to its clean state.

If you go back to your model and now **double click** on it or **Open** it, you'll see that the input files are shown as parameters of the model just like when you execute a tool in ArcToolBox.



Where you see warnings or a red X  near one of your files, it usually either means that there is already a file of that name in the place where you propose to put the output or there is no input file. These can be resolved by setting the inputs and outputs correctly.

If at some point you want to go back and modify your model you should open it to **Edit** and make the changes you want.



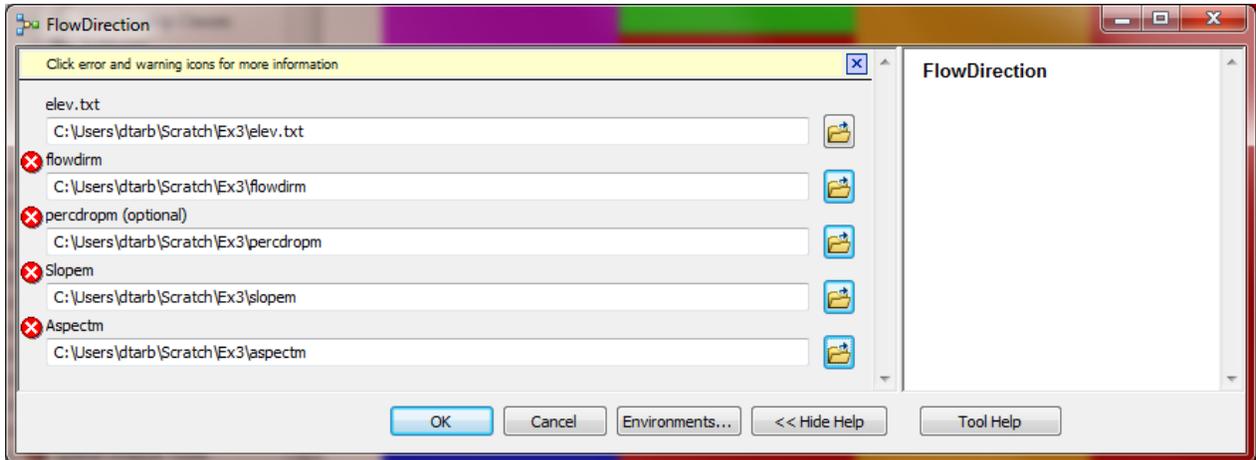
You are done creating this model. Close ArcMap.

**ModelBuilder** is a very powerful way of creating complex analyses, and documenting your “workflow” in a form that is visual and can readily be described. In this way, analyses that you’ve done can be passed on to other analysts, and you can also use the visual palette display in your term project report or thesis to

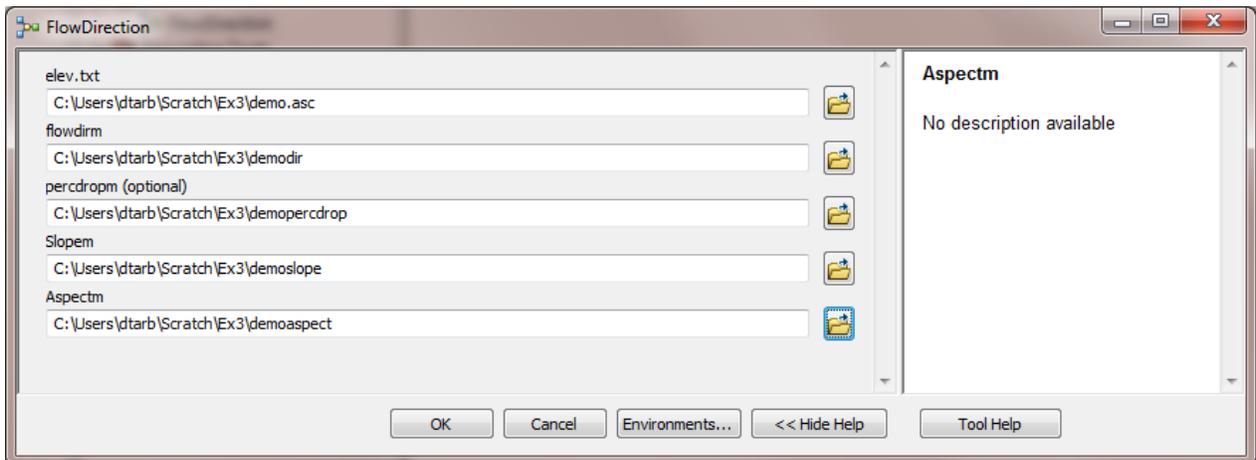
document how you've done your analysis, so the visual aspect of the display helps with documenting your work, as well as in organizing it.

*To turn in: A screen capture of your final model builder model.*

We will now use this model for different data. Reopen ArcMap. Locate the file **demo.asc** extracted from the zip file of data for this exercise. Double click on your **FlowDirection** model in the Ex3 toolbox to run it. If you omitted to save settings to default or are on a different computer you will need to add the Ex3 toolbox by right clicking within the toolbox area, selecting Add Toolbox and navigating to where your Ex3.tbx file is on disk. The following dialog box for the tool you created should appear when you open it.



Select as input under elev.txt the file **demo.asc**. Specify different names for the outputs to avoid the conflicts with existing data and remove the red crosses .



Then click OK and the model should run and add results for this new data to ArcMap. Examine the ArcMap table of contents and record the minimum and maximum values associated with each of the outputs. If you don't see anything in your screen once this function is complete, right click on one of the new layers produced and select "**Zoom to Layer**" and you'll see the new information show up. This is because the digital elevation model is in a new coordinate system. Here is the definition of this Demo.asc grid as given in its first six rows:

ncols 296  
nrows 233  
xllcorner 2438224.25  
yllcorner 5855081  
cellsize 30  
NODATA\_value -9999

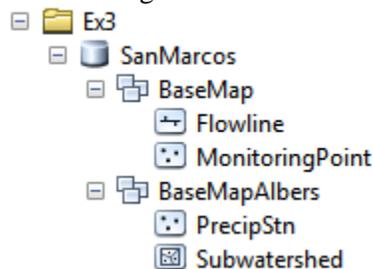
*To turn in: A table giving the minimum and maximum values of each of the four outputs Slope, Aspect, Flow Direction, and Hydrologic Slope (Percentage drop), for the digital elevation model in demo.asc.*

Congratulations, you have just built a Model Builder geoprocessing program and used it to repeat your work for a different (and larger) dataset. If you would like to save this tool to take to another computer or share with someone else you can copy the file Ex3.tbx from its location to a removable media to take with you. If you are going to be sharing this tool more widely there are additional steps to take to clean up the interface (to avoid red X's), label the input fields and write help documentation for it. Close ArcMap.

## Part 2. San Marcos Elevation and Precipitation.

The purpose of this part of the exercise is to calculate average watershed elevation for subwatersheds of the San Marcos basin, and to calculate average precipitation over each of these subwatersheds using different interpolation methods.

The following data is provided in the Ex3Data.zip file.  
SanMarcos.gdb file Geodatabase.



The feature classes Flowline and MonitoringPoint are from Exercise 2 as it was done in 2011, and they are for visual reference in this exercise.. There are two additional feature classes:

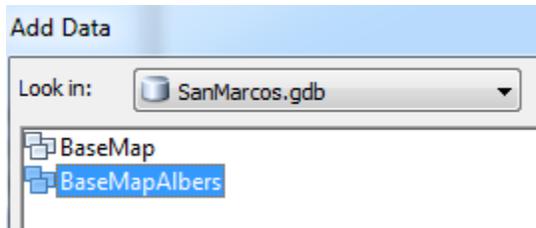
- **PrecipStn.** PrecipStn contains mean annual precipitation data from precipitation stations in and around the San Marcos basin downloaded from NCDC following the procedures given in <http://www.ce.utexas.edu/prof/maidment/gradhydro2005/docs/ncdcdata.doc>. This data was prepared by downloading all years of available precipitation data for the counties in and around the San Marcos basin, then averaging over these years, retaining only those stations with 6 or more years of annual total data reported by NCDC.
- **Subwatershed.** Subwatersheds delineated to the outlet of the San Marcos Basin as well as each of the stream gages in MonitoringPoint following the procedures that will be learned in a future exercise.

These new feature classes are in a feature dataset named BaseMapAlbers as it is more sensible to do watershed level analysis where area is involved in a projected coordinate system.

A digital elevation model from the National Elevation dataset is provided in the folder **smdem\_raw**.

## 1. Loading the Data

Open ArcMap (blank document) and from the geodatabase **SanMarcos.gdb** add the contents of the **BaseMapAlbers** feature dataset to the map display (the **PrecipStn** and **SubWatershed** feature classes)



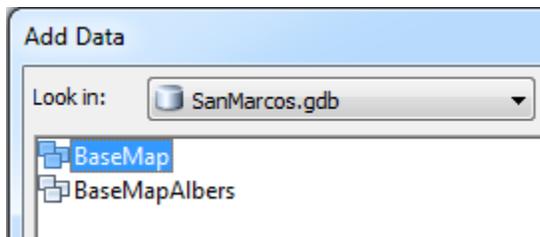
And if you right click on one of these two feature classes, you'll see that this is the NAD\_83\_Albers map projection – an Albers Equal Area projection using the NAD 83 datum.

Projected Coordinate System:	NAD_1983_Albers
Projection:	Albers
False_Easting:	0.00000000
False_Northing:	0.00000000
Central_Meridian:	-96.00000000
Standard_Parallel_1:	29.50000000
Standard_Parallel_2:	45.50000000
Latitude_Of_Origin:	37.50000000
Linear Unit:	Meter

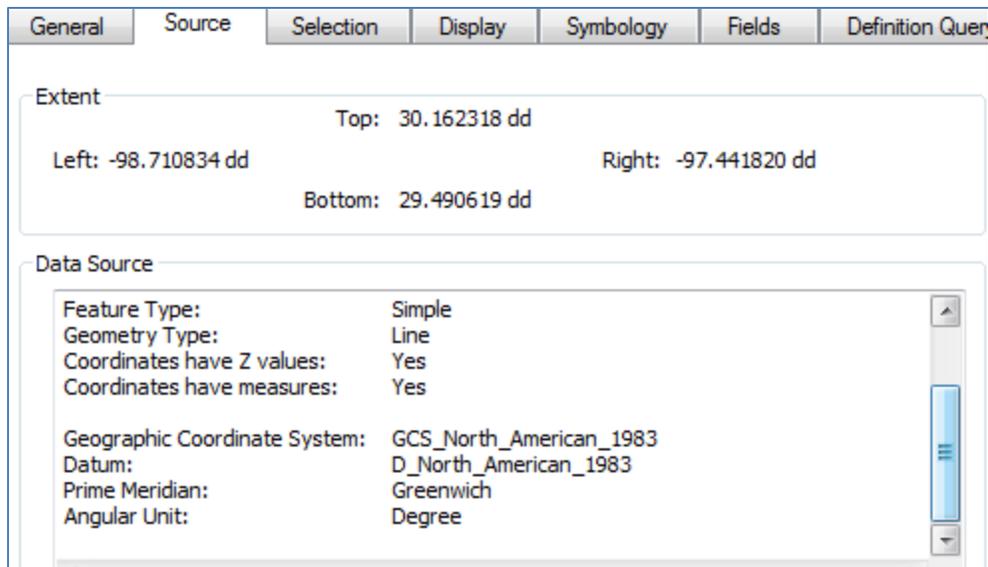
If you move the cursor around on the map, you'll see that the coordinates are in meters in the NAD\_83\_Albers projection coordinate system. The X-value is negative because the San Marcos Basin is West of the Central Meridian of this projection. The Y-value is negative because the basin is below the Latitude of Origin (and both the False Easting and False Northing are 0).

-161562.041 -879899.192 Meters

Add the contents of the **BaseMap** feature dataset:

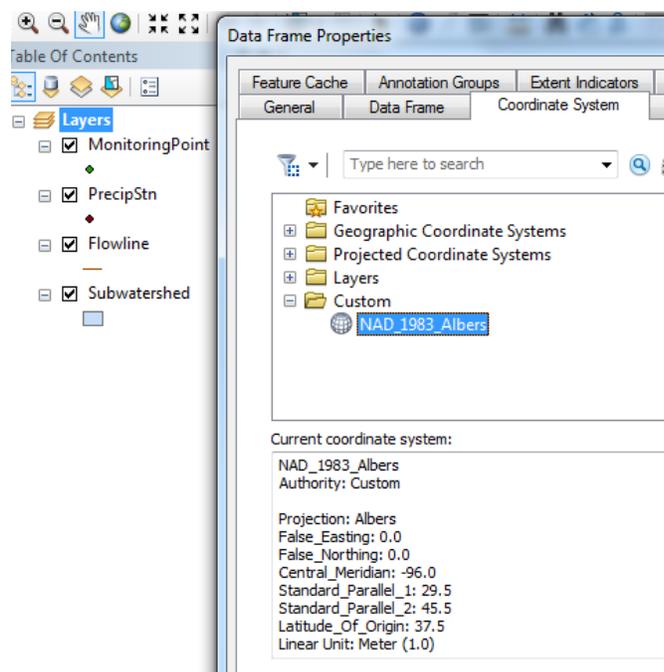


Check the coordinate system of each feature class by right clicking, selecting **Properties** and examining the information in the **Source** tab. These data are in geographic coordinate, using the NAD 83 datum.

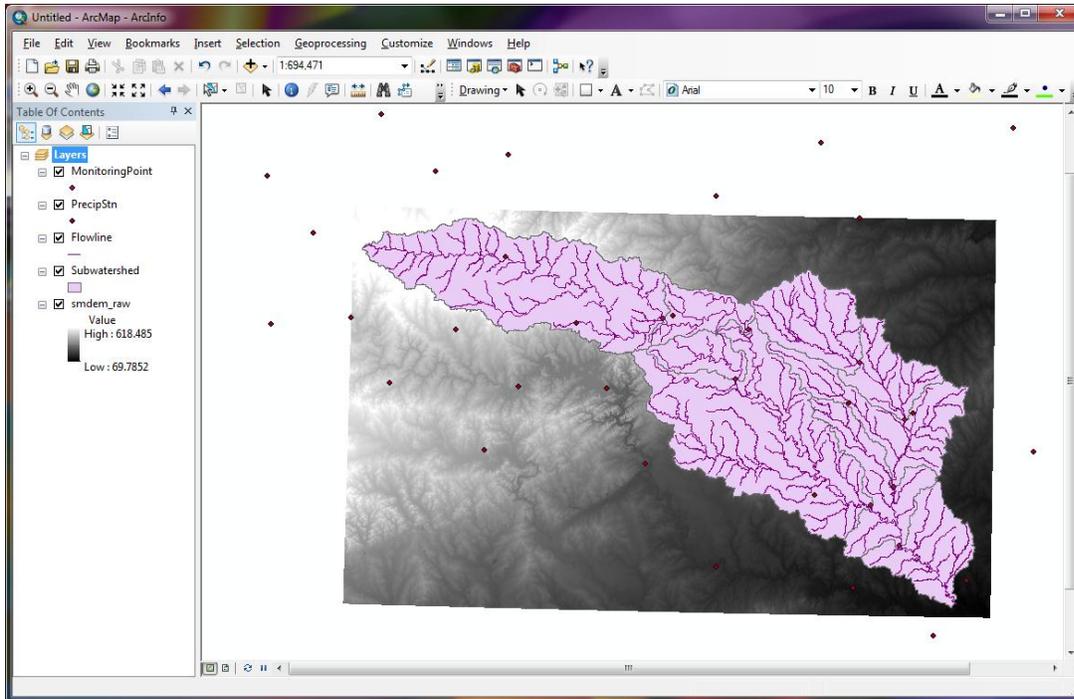


If you move the cursor around on the map, you'll see the location given in meters as above. These two feature classes, **Flowline** and **MonitoringPoint** are used for visual reference in this exercise and its ok that they are in a different coordinate system, ArcMap can resolve the difference between the two systems on the fly. However, if these datasets were to be used for spatial analysis, they should be projected into the NAD\_83\_Albers coordinate system to be consistent with the other feature classes being used for analysis.

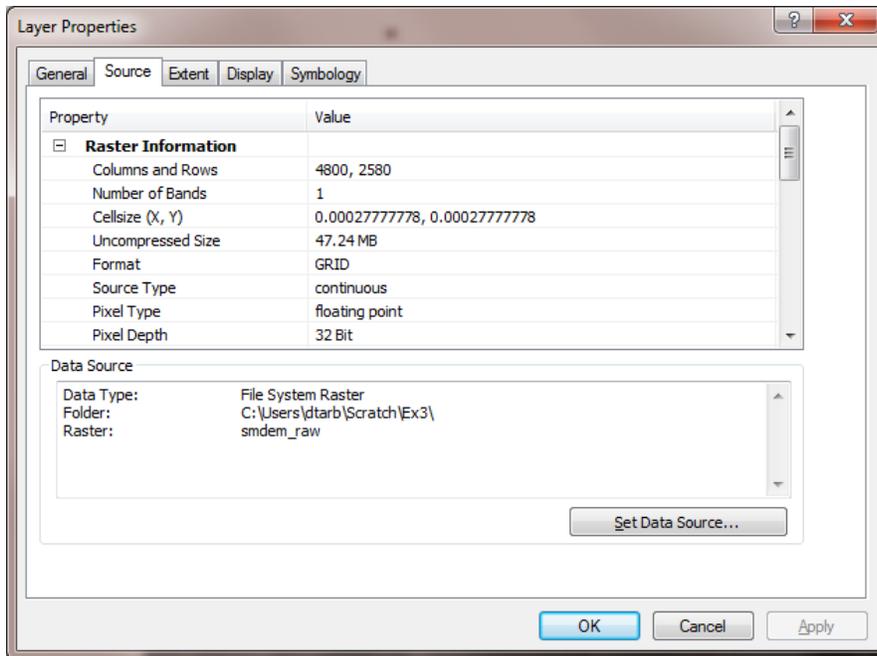
Right click on the **Layers** and view the the spatial reference system of the data frame layers and if necessary set it to be the same as the BaseMapAlbers feature dataset, "**NAD\_1983\_Albers**". We will use this specific NAD\_1983\_Albers projection, which is the USA Contiguous Albers Equal Area Conic projection, for this exercise.



Add the grid **smdem\_raw** to ArcMap. This is a digital elevation model that was downloaded from the USGS seamless data server <http://seamless.usgs.gov>. Note that seamless.usgs.gov has now been superseded by the National Map viewer, <http://viewer.nationalmap.gov/viewer/>, but the data you get is the same. Your map should look similar to the following:



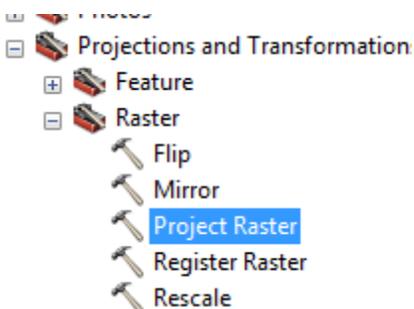
The DEM grid is skewed in this display because it was obtained in geographic coordinates. Right click on the **smdem\_raw** layer in the table of contents and select properties. Click on the **Source** tab. This shows you the Cell Size and number of columns and rows. If you scroll down in the properties you also see the Extent and Spatial reference of this DEM. The value 0.00027777778 is a cell size in decimal degrees. It happens that  $1/3600 = 0.00027777778$ , which means that the cell size of these data is 1 arc-second, or approximately 30m on the ground. Use what you learned in the lecture on Geodesy, Map Projections and Coordinate systems to calculate the cell size in m in both the E-W and N-S directions, assuming a spherical earth with radius 6371.0 km. Do this for a location near the center of this DEM, at the geographic location (98°W, 30°N).



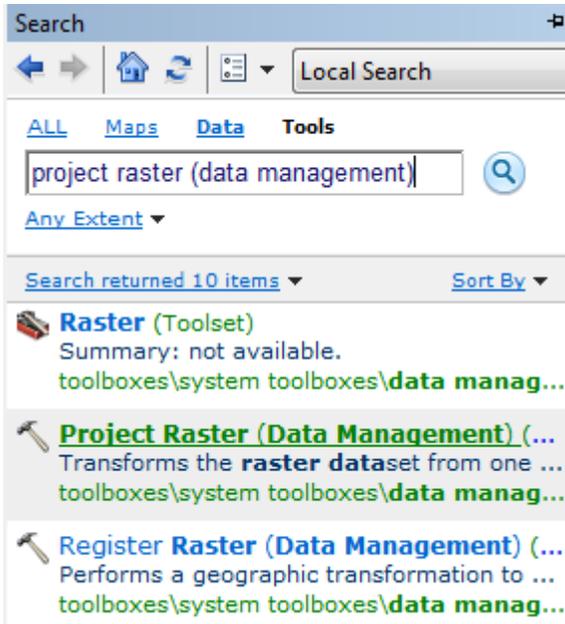
To turn in: The number of columns and rows, cell size in the E-W and N-S directions in m, extent (in degrees) and spatial reference information for the San Marcos elevation dataset DEM 'smdem\_raw'.

## 2. Projecting the DEM.

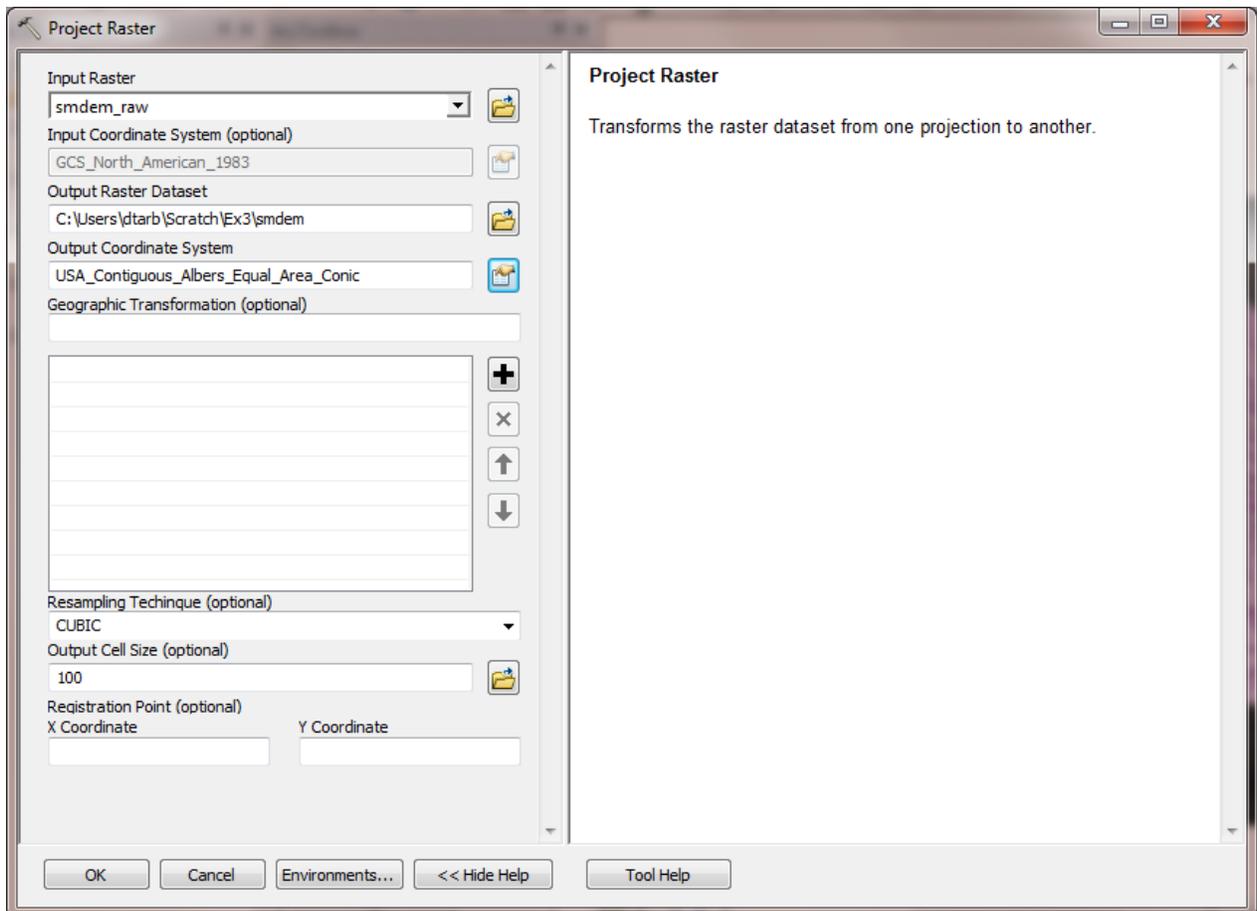
To perform slope and contributing area calculations we need to work with this DEM projected into the Albers equal area projection (An equal area projection is most appropriate for area calculations such as we will be performing). Open the Toolbox and open the tool **Data Management Tools → Projections and Transformations → Raster → Project Raster**.



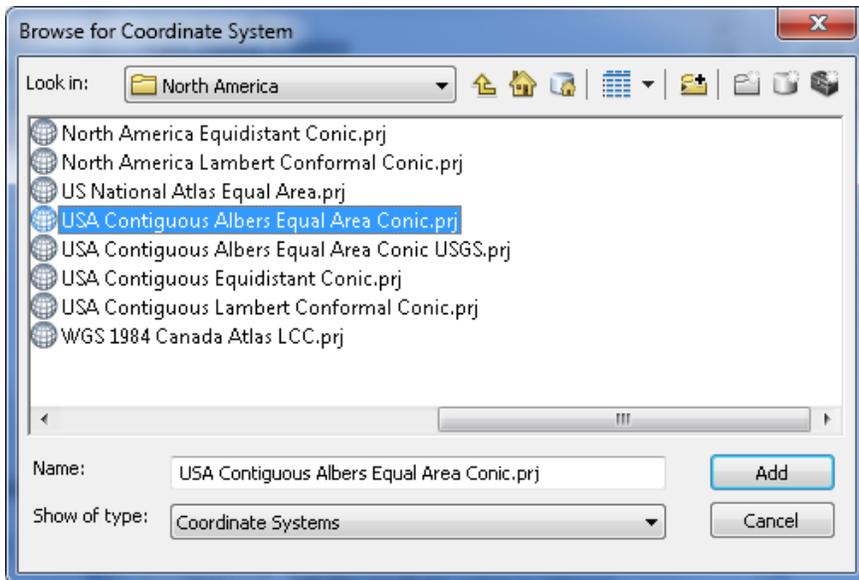
If you find this tool hard to locate, you can Search for it also:



Set the inputs as follows:



The output coordinate system should be specified using **Select a predefined coordinate system ...** after clicking on the button to the right of **Output coordinate system**. Then browse to the USA Contiguous Albers Equal Area Conic projection in the Projected Coordinate Systems\Continental\North America folder.



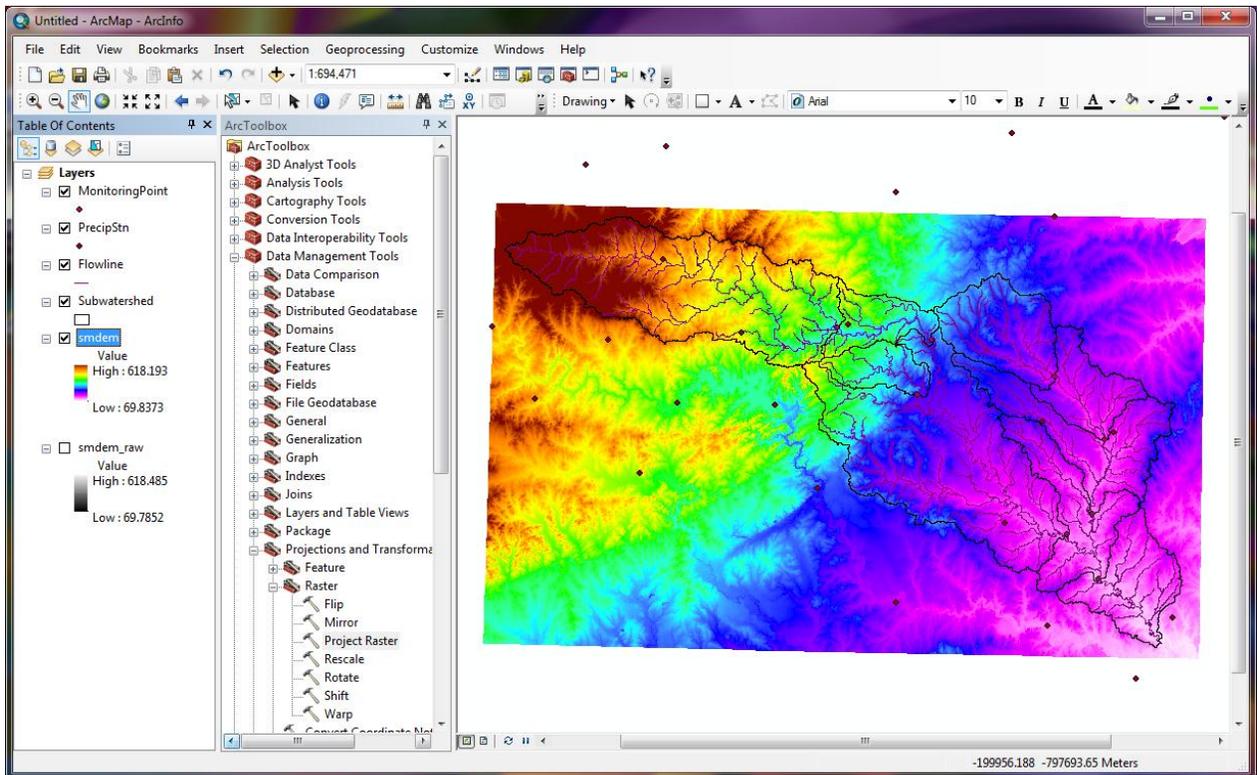
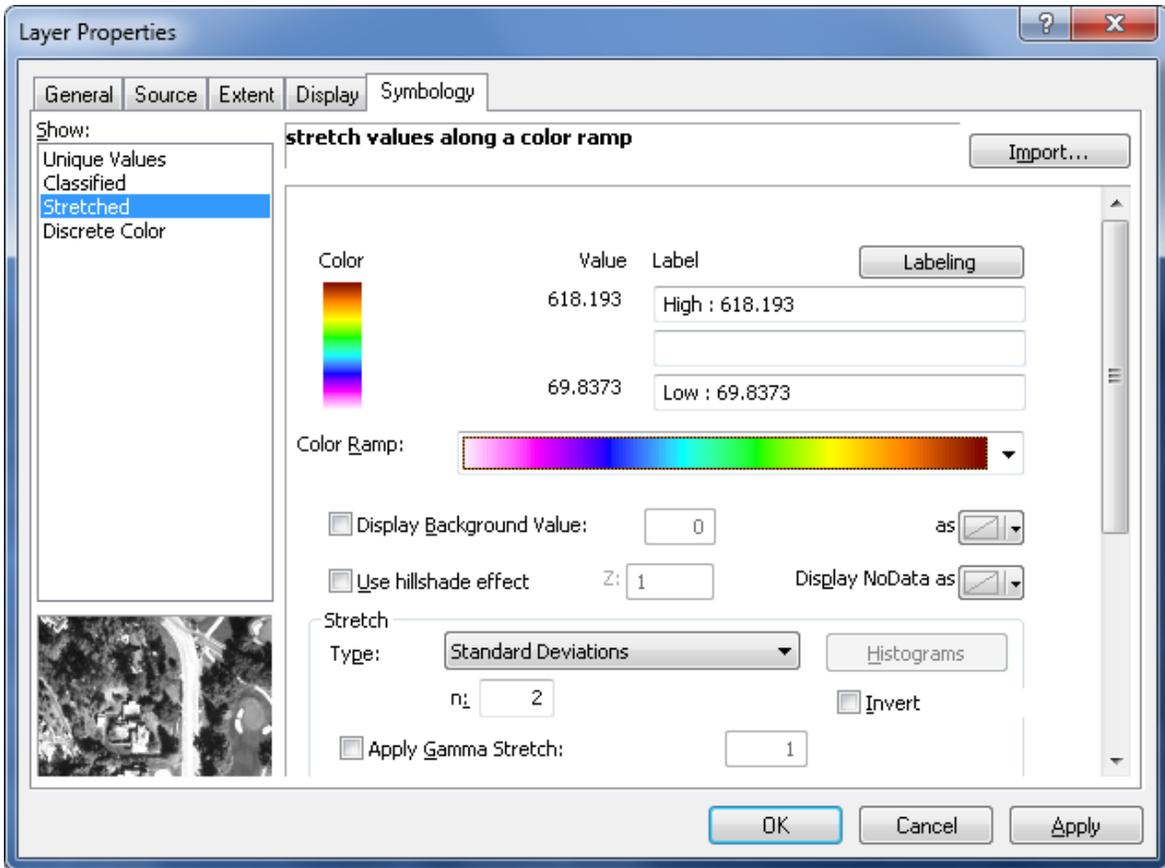
The cell size should be specified as **100 m** and **CUBIC** interpolation used. I have found cubic interpolation to be preferable to nearest neighbor interpolation for continuous datasets such as DEMs. (This NED data is at 1 arc second spacing which is close to 30 m, so in general 30 m would be a better choice here, but 100 m is chosen to reduce the size of the resulting grid and speed data processing and analysis.) CUBIC refers to the cubic convolution method that determines the new cell value by fitting a smooth curve through the surrounding points. This works best for a continuous surface like topography at limiting artificial "striping" that can appear in a shaded relief map (we will construct a shaded relief map below) with the other methods. Click "OK" to invoke the tool. After the process is complete, the projected DEM, **smdem**, is added to ArcMap.

Examine the properties of the projected dataset.

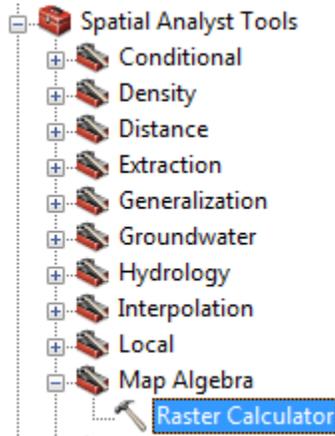
*To turn in: The number of columns and rows in the projected DEM. The minimum and maximum elevations in the projected DEM. Explain why the minimum and maximum elevations are different from the minimum and maximum elevations in the original DEM.*

### 3. Exploring the DEM

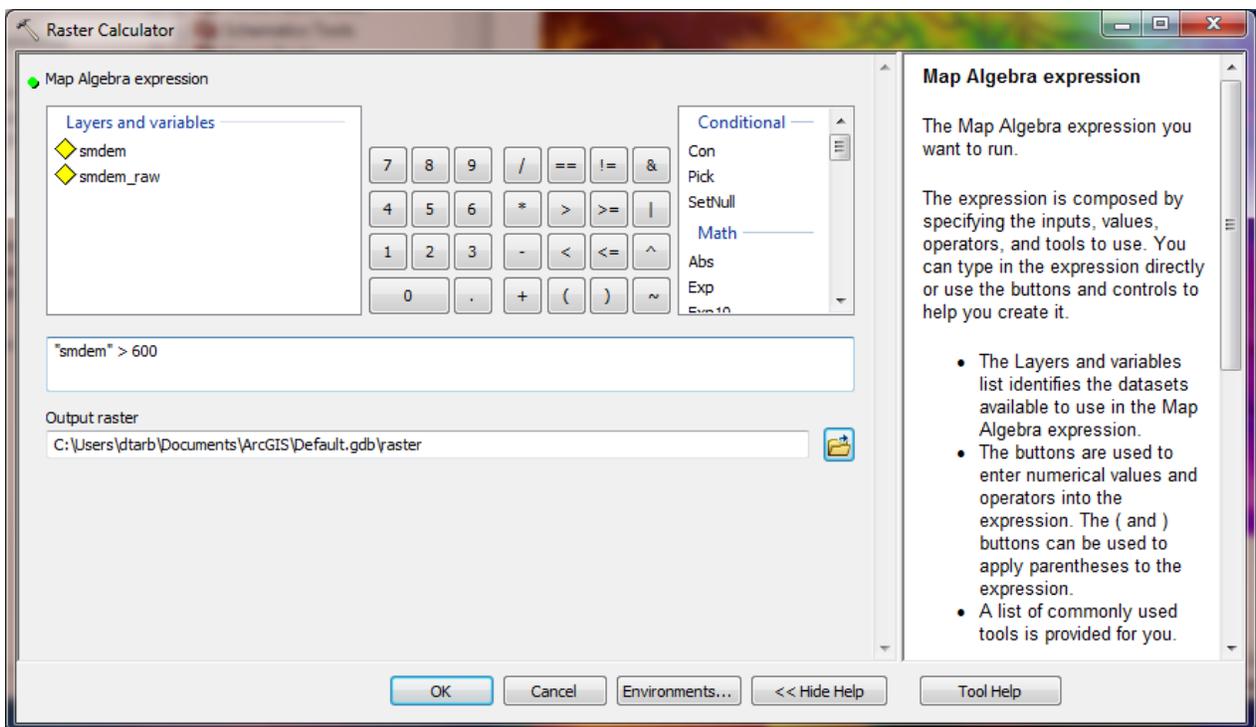
The spatial information about the DEM can be found by right clicking on the **smdem** layer, then clicking on **Properties**→**Source**. Similarly, the symbology of the DEM can be changed by right clicking on the layer, **Properties**→**Symbology**.



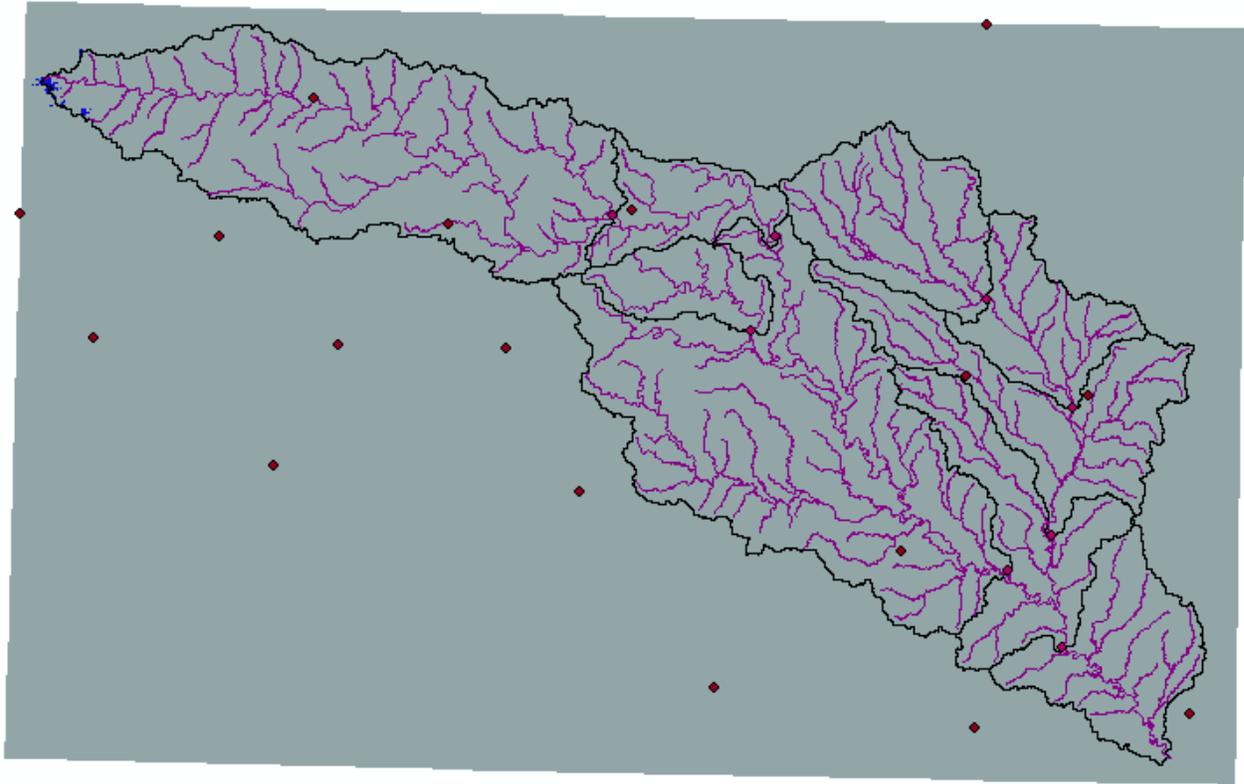
To explore the highest elevation areas in your DEM select **Spatial Analyst Tools** → **Map Algebra** → **Raster Calculator**.



Double click on the layer **smdem** with the DEM for San Marcos. Click on the “>” symbol and select a number less than the maximum elevation. This arithmetic raster operation will select all cells with values above the defined threshold. In the example below a threshold of 600m was used.



A new layer called **raster** appears on your map. The majority of the map (grey color in the figure below) has a 0 value representing false (values below the threshold), and the blue region has a value of 1 representing true (elevations higher than 600 meters).



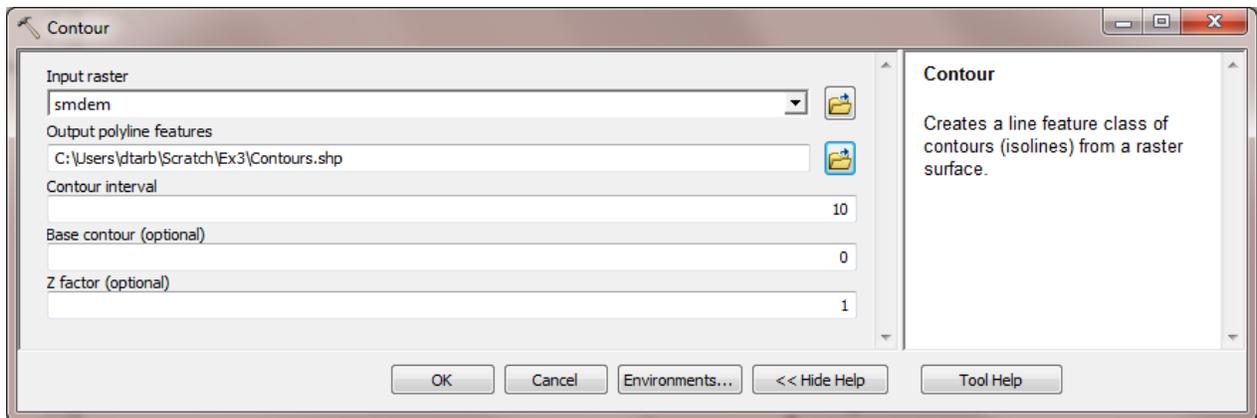
Zoom in to the region of highest elevations and do some sampling on the smdem grid using the identify  tool or pixel inspector  to identify the grid cell of maximum elevation. Use the draw tools to mark your point of maximum elevation and label it with the elevation value for that pixel.



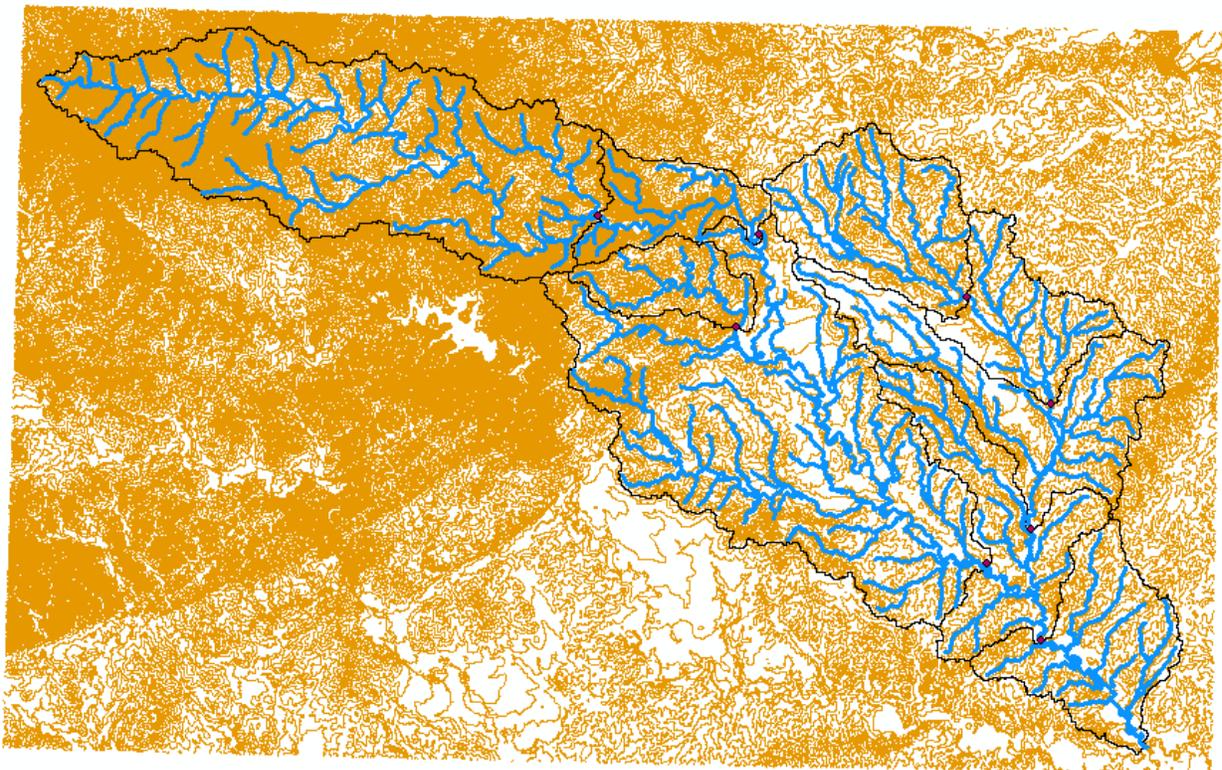
*To turn in: A layout showing the location of the highest elevation value in the San Marcos DEM. Include a scale bar and north arrow in the layout.*

#### 4. Contours and Hillshade

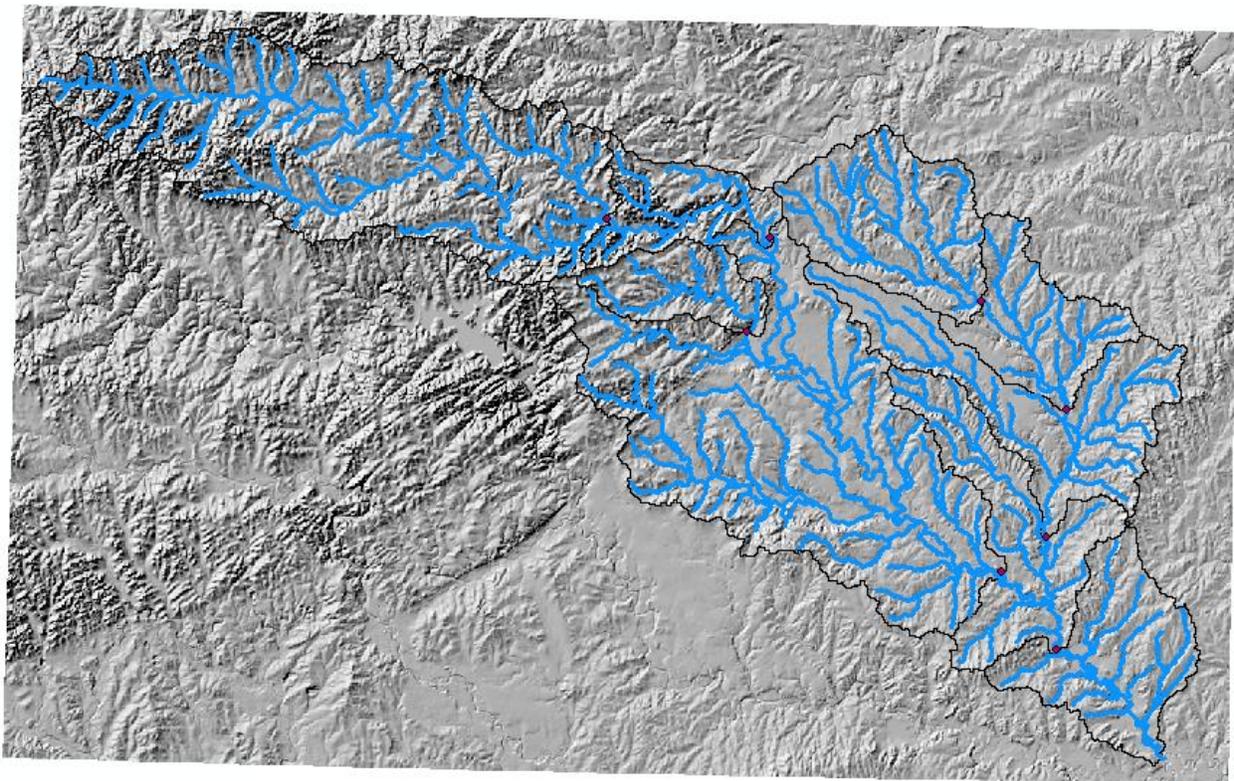
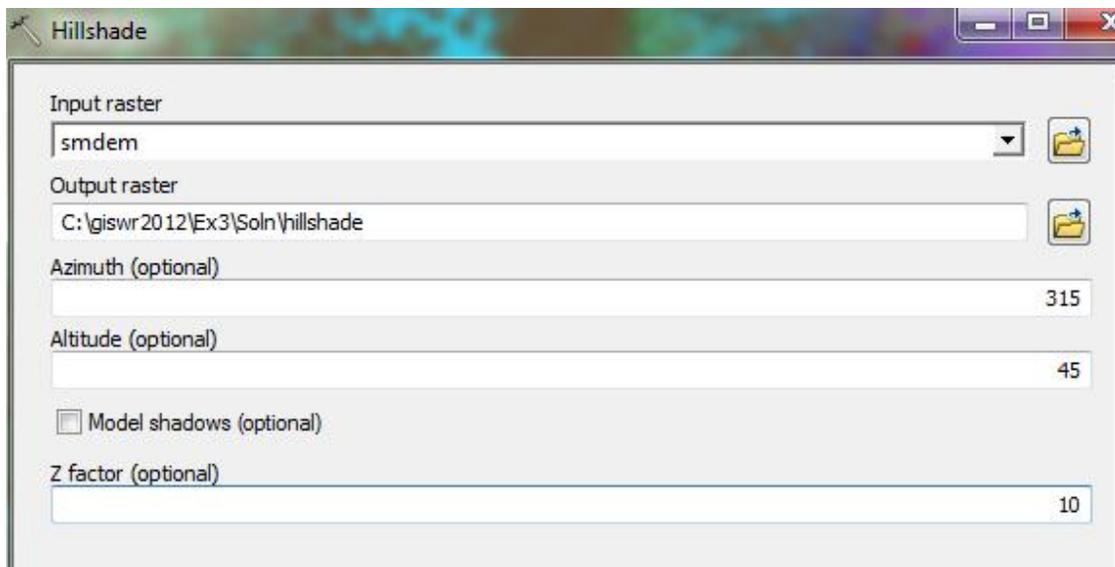
Contours are a useful way to visualize topography. Select **Spatial Analyst Tools** → **Surface** → **Contour**. Select the inputs as follows, with a 10m contour interval:



A layer is generated with the topographic contours for San Marcos. Notice the big difference in Terrain Relief to the west of the basin compared to the east. This results from the fact that the Balcones fault zone runs through the middle of this basin, to the west of which lies the rolling Texas hill country and to the east the flatter coastal plain. There is a tower located in the City of San Marcos on which you can stand and see these differences in topography to east and west!



Another option to provide a nice visualization of topography is Hillshading. Select **Spatial Analyst Tools** → **Surface** → **Hillshade** and set the factor Z to a higher value to get a dramatic effect and leave the other parameters at their defaults (the following hillshade is produced with a Z factor of 10). Click OK. You should see an illuminated hillshaded view of the topography.

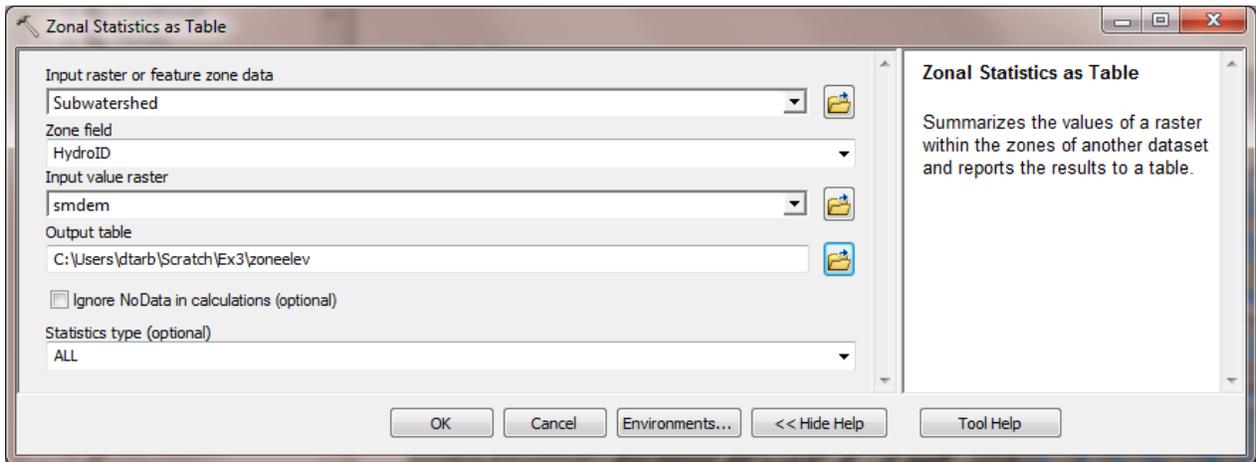


*To turn in: A layout with a depiction of topography either with elevation, contour or hillshade in nice colors. Include the streams from the San Marcos Basemap Flowline feature class and sub-watersheds from the Sub-Watersheds feature class.*

## **5. Zonal Average Calculations**

In hydrology it is often necessary to obtain average properties over watersheds or subwatersheds. The Zonal functions in Spatial Analyst are useful for this purpose.

Select **Spatial Analyst Tools** → **Zonal** → **Zonal Statistics as Table**. Set the inputs as follows:

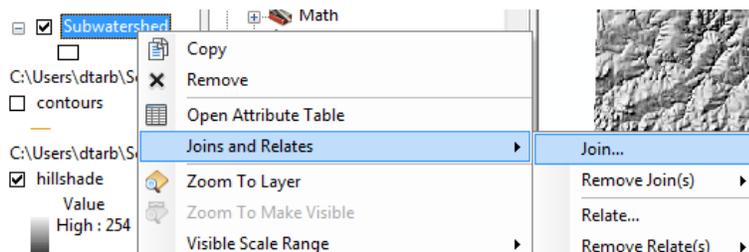


Click OK. A table with zonal statistics is evaluated.

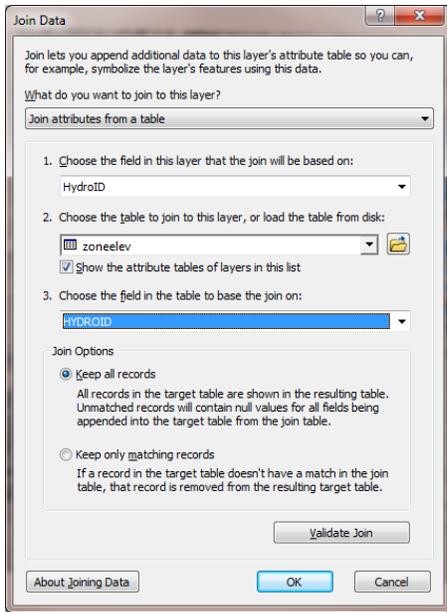
Rowid	HYDROID	COUNT	AREA	MIN	MAX	RANGE	MEAN	STD	SUM
1	330	29077	290769980	133.76616	270.49319	136.72704	189.91457	25.163082	5522146
2	331	92116	921160000	243.33472	614.74939	371.41467	418.63593	80.418663	38563068
3	332	14916	149160000	190.04472	395.79968	205.75496	288.64362	40.59317	4305408
4	333	12666	126660000	173.83481	388.71356	214.87875	265.92551	43.514744	3368212.5
5	334	19086	190860000	117.32205	195.27524	77.953186	150.19849	15.649368	2866688.3
6	335	33042	330420000	101.34137	214.44771	113.10634	152.98874	25.456976	5055053.5
7	336	98025	980249980	99.17289	408.08911	308.91623	183.51825	51.008339	17989376
8	337	27802	278020000	85.388283	202.97105	117.58277	131.47063	20.671431	3655146.3
9	338	26157	261570000	75.940529	188.10834	112.16781	115.34716	17.080147	3017135.8

This contains statistics of the value raster, in this case elevation from **smdem** over the zones defined by the polygon feature class **Subwatershed**. The Value field in this zone table contains the HydroID from the subwatershed layer and may be used to join these values with attributes of the Subwatershed feature class.

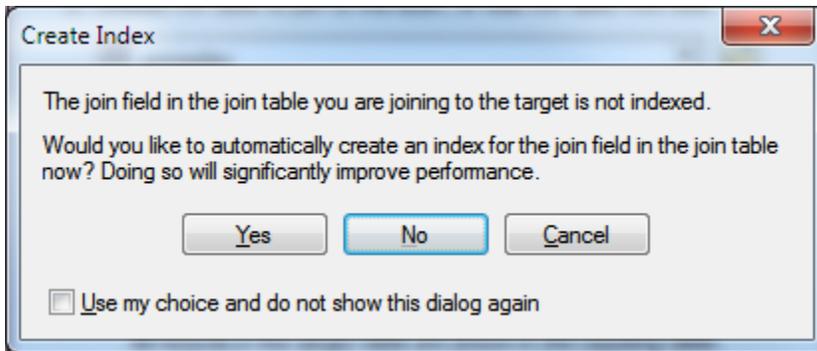
Right click on Subwatershed and select **Joins and Relates** → **Join**.



Select HydroID as the field in this layer (Subwatershed) that the join will be based on, zoneelev as the table to join to this layer, and HydroID again as the field in the table to base the join on.

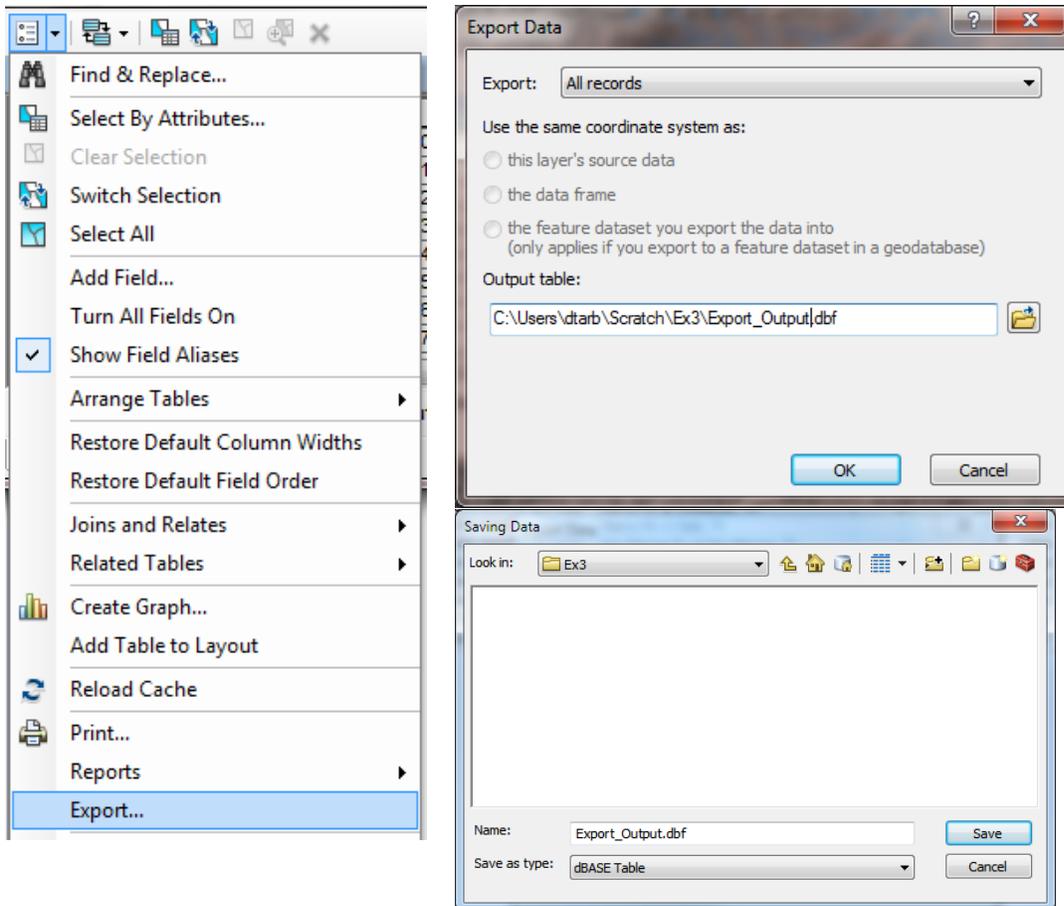


At the prompt to build an index click Yes.



It would not really matter if you clicked No as this table is sufficiently small that the presence of indices to speed up the data queries does not make any noticeable difference.

Open the Subwatershed attribute table. Under table options select Export and specify a dBase Table and dbf file name for the output.



You do not need to add the exported dbf file to the map. The exported dbf file can be opened in Excel to examine and present the results. Determine the mean elevation and elevation range of each subwatershed in the SanMarcos Subwatershed feature class.

*To turn in: A table giving the HydroID, Name, mean elevation, and elevation range for each subwatershed in the SanMarcos Subwatershed feature class. Which subwatershed has the highest mean elevation? Which subwatershed has the largest elevation range?*

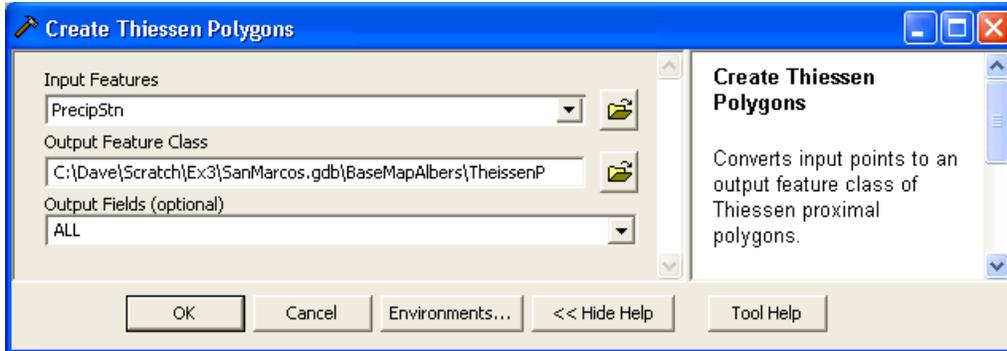
## 6. Calculation of Area Average Precipitation using Thiessen Polygons

Now to do something really useful. We will calculate the area average mean annual precipitation over the watershed using Thiessen polygons. Thiessen polygons associate each point in a watershed with the nearest raingage. Select the tool **Analysis Tools** → **Proximity** → **Create Thiessen Polygons**

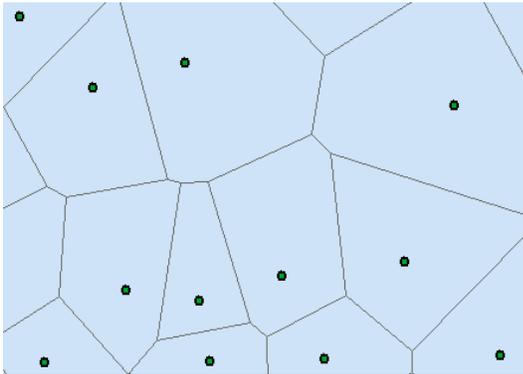


Specify **PrecipStn** as the Input Features. Set the output feature class to be **ThiessenP** (saving it in the BaseMapAlbers feature dataset) and indicate that **ALL** fields should be output. By saving to the BaseMapAlbers feature dataset you ensure that the Thiessen polygon feature class inherits the spatial

reference information from this feature dataset, keeping all your work in a consistent spatial reference. Click OK. Its really important that you select “All” here to carry the attributes of the Precipitation stations to the polygons associated with them.



The result is a Thiessen polygon feature class. This tessellates the landscape into regions that are closer to a particular gage than to any other.

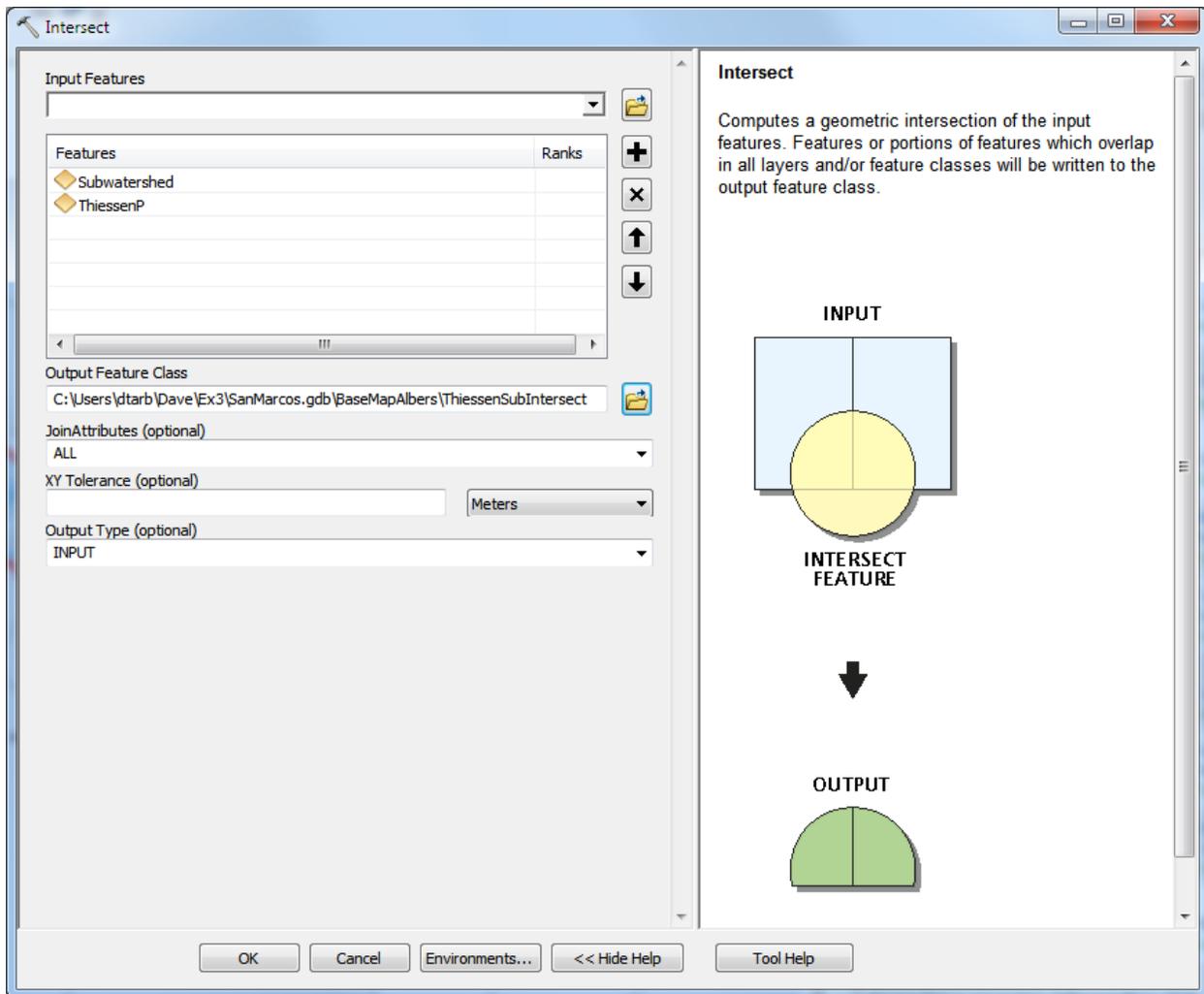


Here is what your attribute table should look like for ThiessenP. If it doesn't have all these attributes at the right hand end, delete the result you just computed and do it over with the ALL option selected to make sure you transfer all the attributes from the gages to the polygons.

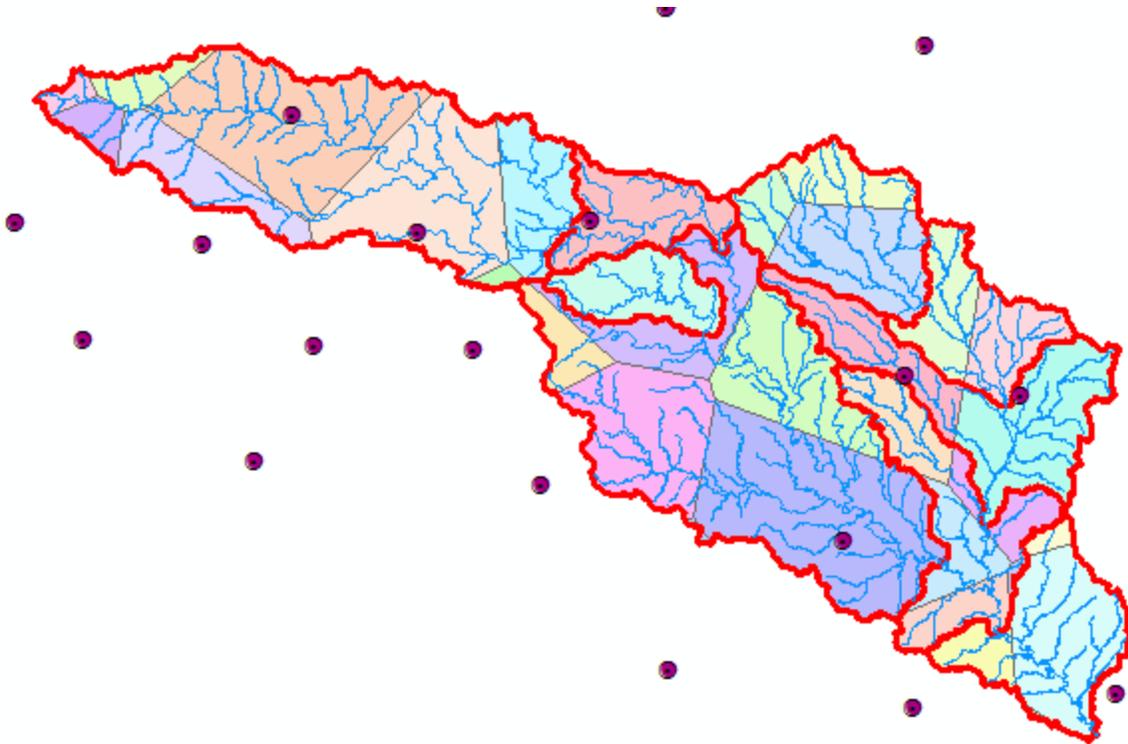
OBJECTID*	Shape *	Shape_Length	Shape_Area	Input_FID	COOPID	sname	latdd	longdd	ELEVATION	ELEM	Nyr	AnnPrecip_in
1	Polygon	238567.103973	3100410076.503969	6	411215	BULVERDE	29.75	-98.45	335.3	TPCP	20	35.657
2	Polygon	196625.035255	2266672438.82153	27	418187	SEGUIN 1 SSW	29.55	-97.966667	153.3	TPCP	14	35.712857
3	Polygon	115197.971823	721528046.447727	15	413622	GONZALES 1N	29.533333	-97.45	115.8	TPCP	25	35.1448
4	Polygon	173413.86842	1613674567.856937	29	418415	SMITHVILLE	30.016667	-97.15	103.6	TPCP	20	36.1115
5	Polygon	122727.252192	994385367.281628	2	410429	AUSTIN-BERGSTROM INTERNAONA	30.183333	-97.683333	146.3	TPCP	6	34.515
6	Polygon	109158.064602	742107271.501394	32	419815	WIMBERLEY 1 NW	30	-98.066667	253	TPCP	21	40.47619
7	Polygon	77451.84468	352989955.087186	28	418358	SISTERDALE	29.983333	-98.733333	426.7	TPCP	11	40.497273
8	Polygon	122756.03451	788325511.144001	31	418877	TEAGUE RANCH	30.433333	-98.816667	496.8	TPCP	25	32.5052

To average precipitation values in these polygons over the subwatersheds we need to intersect the thiessen polygons with the subwatersheds and compute area weighted averages for each subwatershed. The following calculations achieve this.

Use the search window to locate the **Intersect (Analysis)** tool and set the inputs as follows



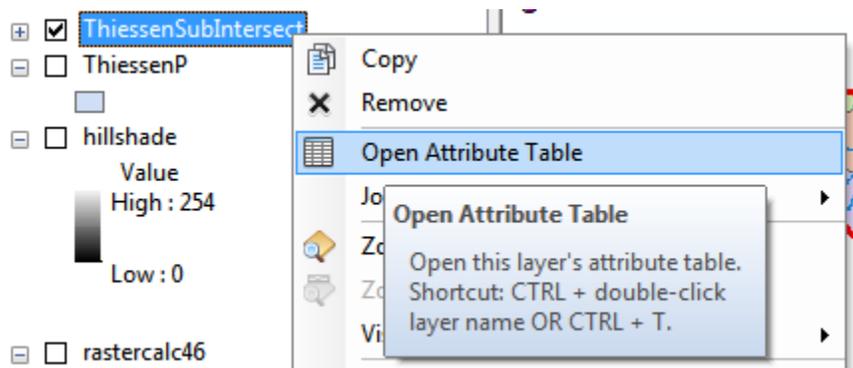
Following is the result:



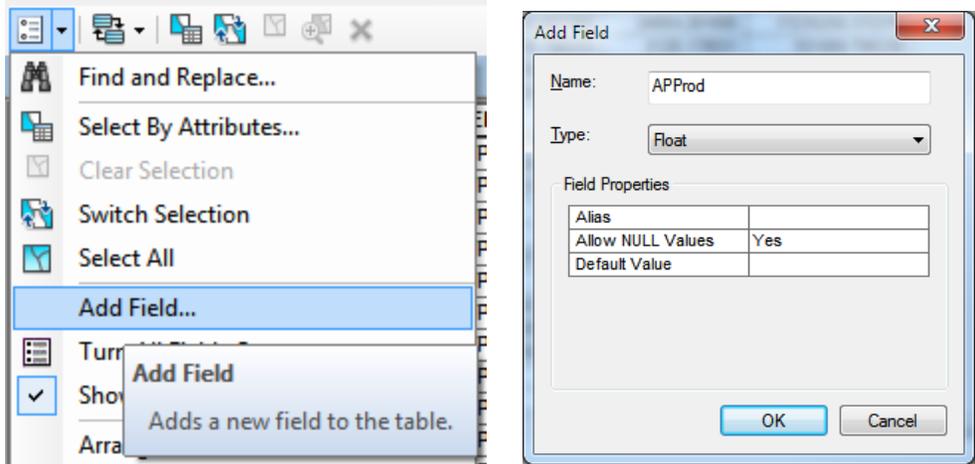
If you open the ThiessenSubIntersect attributed table you will see that from the 9 subwatersheds there are now 35 polygons, each contributing to part of a subwatershed and associated with a single rain gage. Let  $P_k$  denote the precipitation associated with each rain gage and  $A_{ik}$  the area of intersected polygon associated with rain gage  $k$  and subwatershed  $i$ . Then the area weighted precipitation associated with each subwatershed is

$$P_i = \frac{\sum_k A_{ik} P_k}{\sum_k A_{ik}}$$

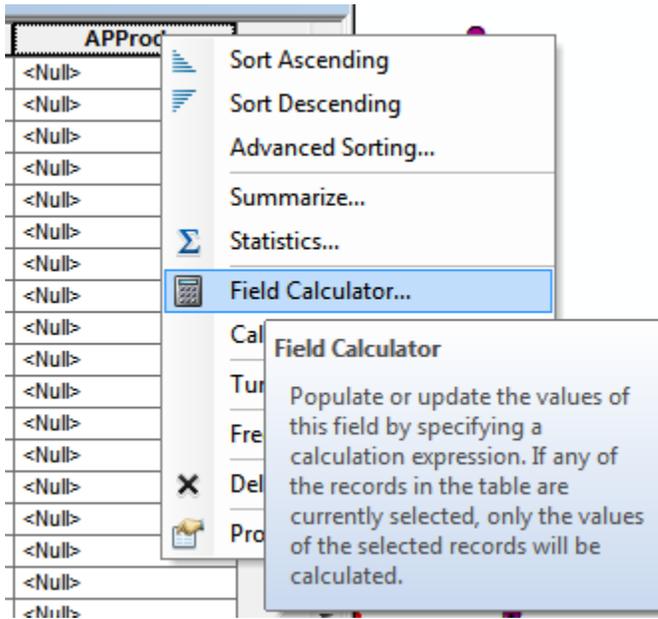
Open the attribute table for ThiessenSubIntersect by right clicking on the Table of Contents for it



Add a new field to the table (named APProd)

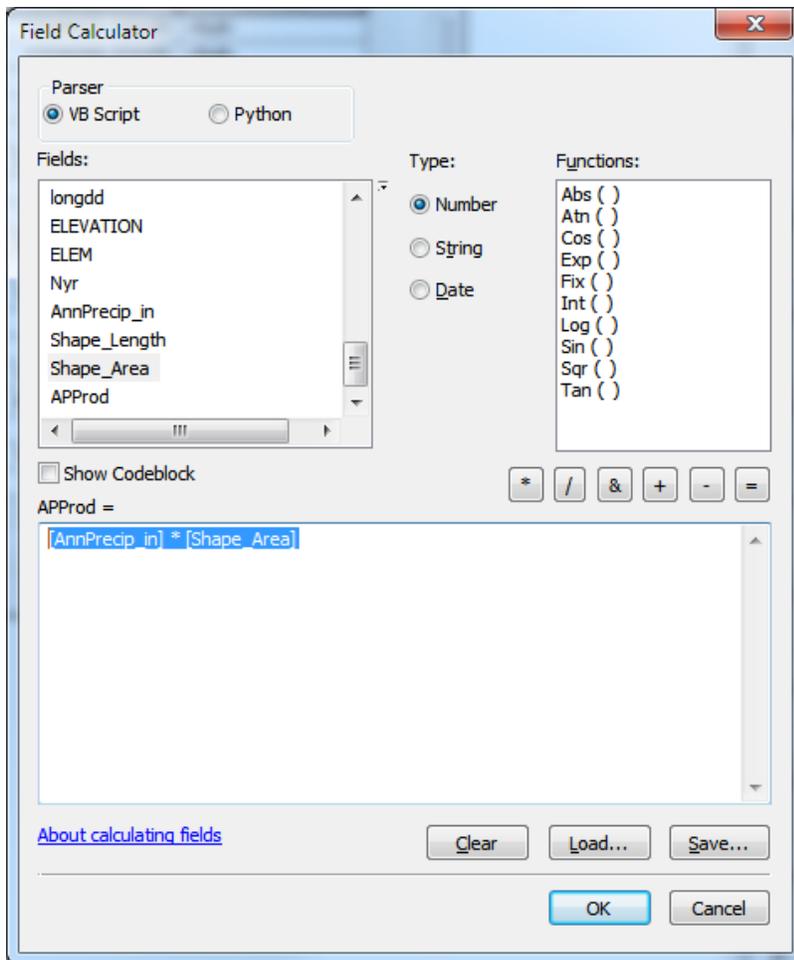


Right click in the header of APProd to invoke the Field Calculator

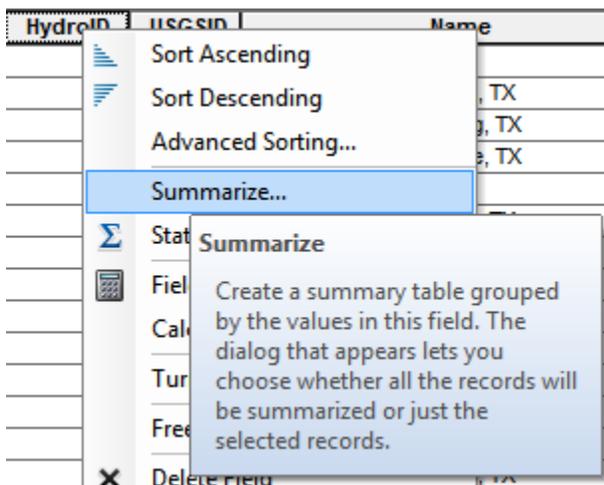


Click OK to the warning about calculating outside an edit session.

Create the expression `[AnnPrecip_in] * [Shape_Area]` and click OK.

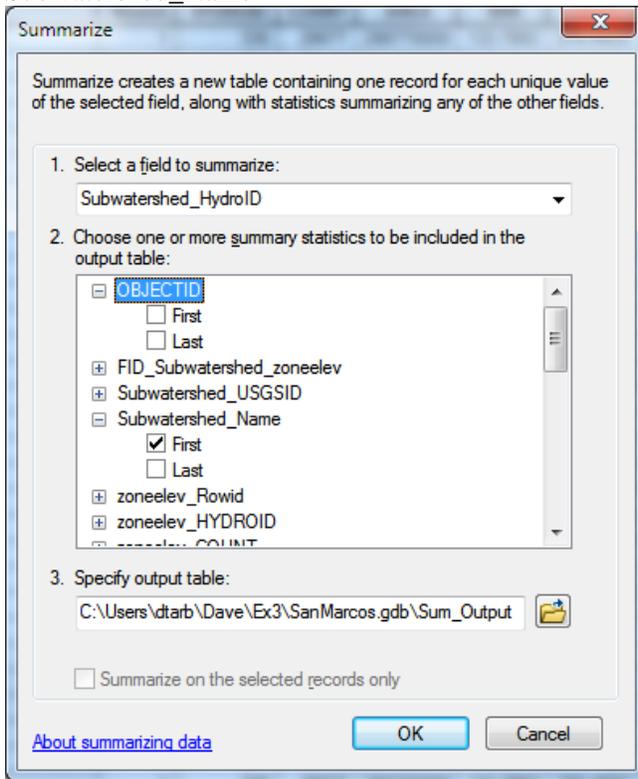


The result is a new field with the numerator terms for the equation above. Now locate the column HYDROID. These are unique identifiers for each Subwatershed. Right click on the header and select Sumarize

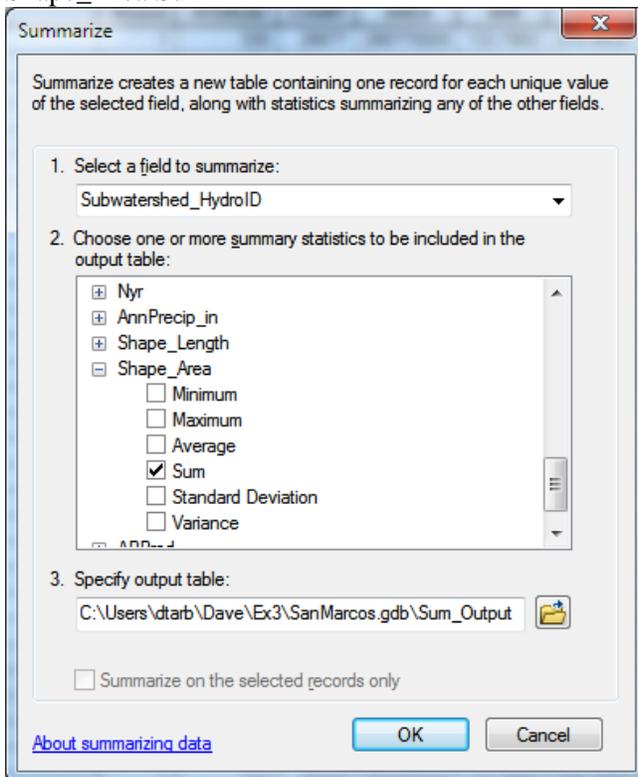


Carefully select the summary statistics you need. I selected the following

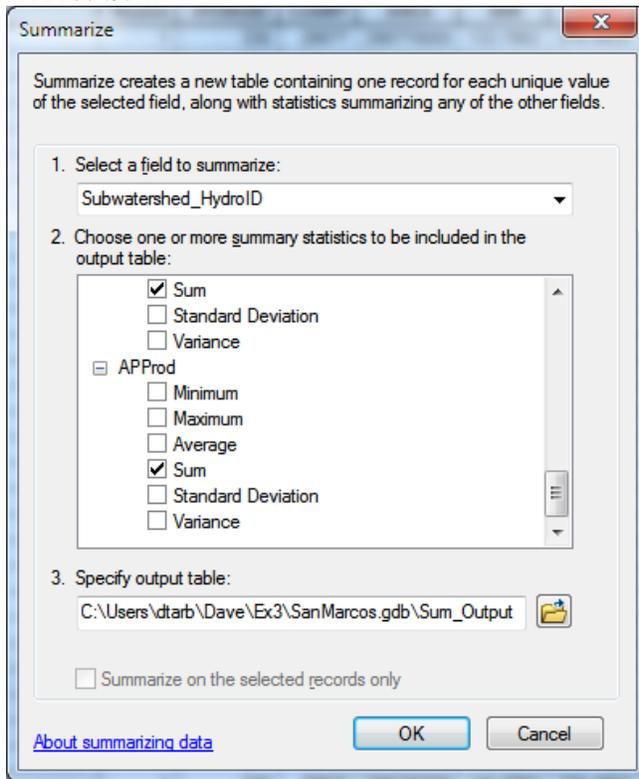
## Subwatershed\_Name



## Shape\_Area Sum



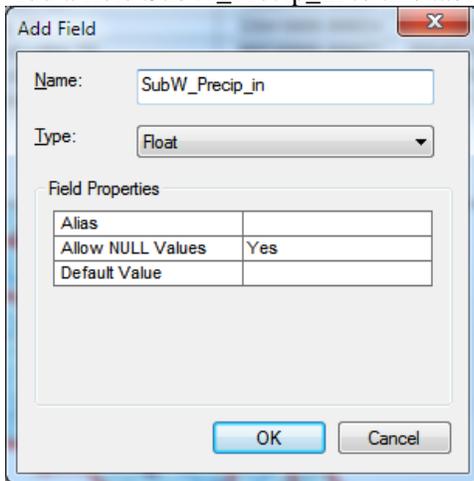
## APProd Sum



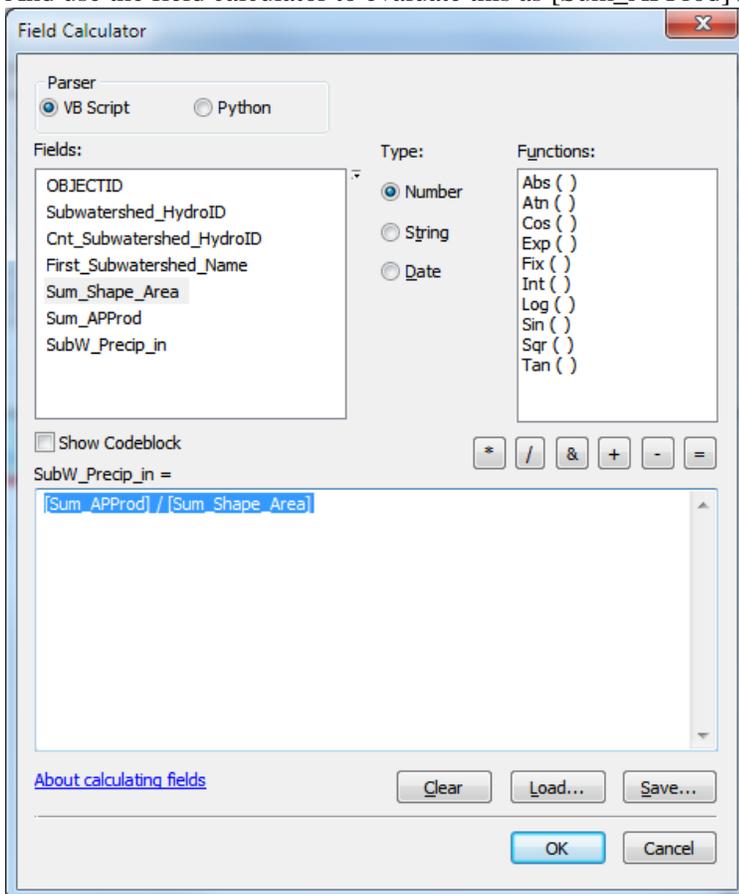
The resulting table gives the numerator and denominator in the equation above for each subwatershed

OBJECTID *	Subwatershed_HydroID	Count_Subwatershed_HydroID	First_Subwatershed_Name	Sum_Shape_Area	Sum_APProd
1	330	3	Plum Ck at Lockhart, TX	290769999.99997	10574586624
2	331	9	Blanco Rv at Wimberley, TX	921160000.000145	34843450746
3	332	1	Blanco Rv nr Kyle, TX	149159999.999992	6037428736
4	333	1	San Marcos Rv at San Marcos, TX	126659999.99996	5126714368
5	334	2	Plum Ck nr Lockhart, TX	190860000.000051	6957598720
6	335	3	Plum Ck nr Luling, TX	330419999.999934	12080003251
7	336	7	San Marcos Rv at Luling, TX	980249999.999977	36849504638.5
8	337	5	San Marcos Rv at Ottine, TX	278020000.000044	9949547184
9	338	4	San Marcos Subbasin	261570000.000036	9021144160

Add a field SubW\_Precip\_in to this table



And use the field calculator to evaluate this as  $[\text{Sum\_APPProd}] / [\text{Sum\_Shape\_Area}]$

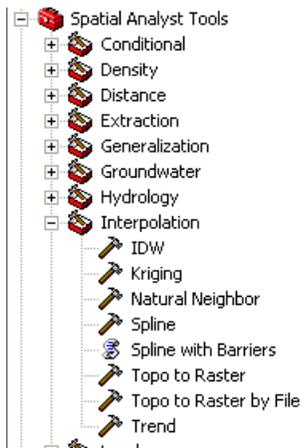


The result is the precipitation in inches for each subwatershed.

*To turn in: A table giving the HydroID, Name, and mean precipitation by the Thiessen method for each subwatershed in the SanMarcos Subwatershed feature class. Which subwatershed has the highest mean precipitation? What is the mean annual precipitation over the entire watershed by the Thiessen method?*

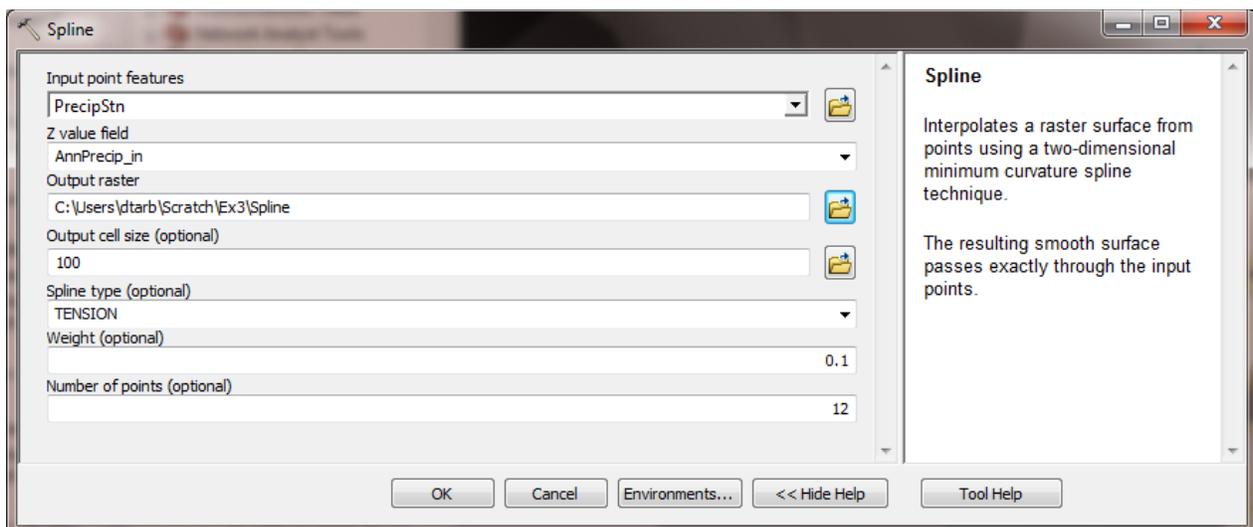
## 7. Estimate basin average mean annual precipitation using Spatial Interpolation/Surface fitting.

Thiessen polygons were effectively a way of defining a field based on discrete data, by associating with each point the precipitation at the nearest gage. This is probably the simplest and least sophisticated form of spatial interpolation. ArcGIS provides other spatial interpolation capabilities in the **Interpolation toolbox** in **Spatial Analyst Tools**.

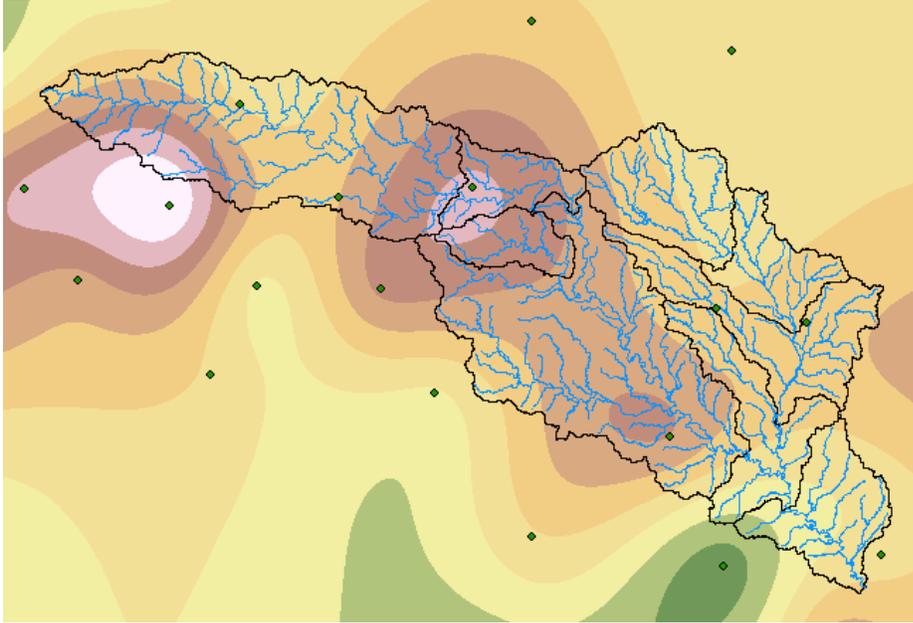


We will not, in this exercise, concern ourselves too much with the theory behind each of these methods. You should however be aware that there is a lot of statistical theory on the subject of interpolation, which is an active area of research. This theory should be considered before practical use of these methods.

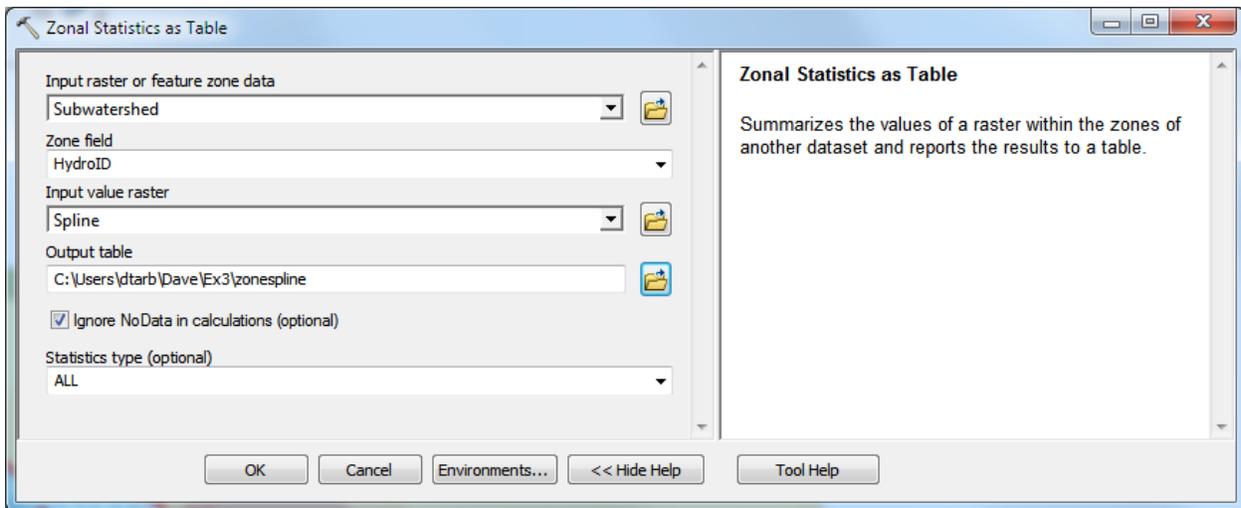
Select **Spatial Analyst Tools** → **Interpolation** → **Spline**. Use the input points from "PrecipStn" and Z value field as " AnnPrecip\_in", and set the spline type as Tension with parameters as follows:



The result is illustrated:



Select **Spatial Analyst Tools** → **Zonal** → **Zonal Statistics as Table**. Set the inputs as follows:



Click OK. A table with zonal statistics is created. This contains statistics of the value raster, in this case mean annual precipitation from **Spline** over the zones defined by the polygon feature class **Subwatershed**. The HydroID in this table may be used to join it to the attribute table for the Subwatershed feature class. As for the elevations above this joined table can be exported and examined and presented in Excel.

*To turn in: A table giving the HydroID, Name, and mean precipitation by the Tension Spline method for each subwatershed in the SanMarcos Subwatershed feature class. Which subwatershed has the highest mean precipitation using a Tension Spline interpolation?*

Experiment with some (at least one) of the other methods available (Natural Neighbor, Kriging, Inverse distance weighting) to see if you like them.

*To turn in. A layout giving a nicely colored map of the interpolated mean annual precipitation surface over the San Marcos Basin for one of the methods used and a table showing the mean annual precipitation for the same method in each Subwatershed. Report what method you used.*

## **8. Runoff Coefficients.**

Runoff ratio, defined as the fraction of precipitation that becomes streamflow at a subbasin outlet is a useful measure in quantifying the hydrology of a watershed. Mathematically runoff ratio is defined as

$$w = Q/P$$

where Q is streamflow, and P is precipitation. In this formula, P and Q need to be in consistent units such as depth per unit area or volume. The flow field in the Monitoring point feature class gives the average streamflow at eight monitoring points in the San Marcos watershed in ft<sup>3</sup>/s. To convert these to volume units (say ft<sup>3</sup>) they should be multiplied by the number of seconds in a year (60 x 60 x 24 x 365.25). In the current exercise mean annual precipitation has been evaluated for each subwatershed, in inches. To convert these to volume units (say ft<sup>3</sup>) these quantities should be multiplied by 1/12 ft in<sup>-1</sup> and multiplied by the subwatershed area in ft<sup>2</sup>. The subwatershed feature class includes subwatershed area, in the units of the spatial reference frame being used, which are m<sup>2</sup>. (Remember, 1 ft = 0.3048 m). The necessary calculations are most easily performed in Excel. Use the Options/Export function to export the subwatershed featureclass attribute table that includes your Thiessen basin average subwatershed precipitation results to dbf format that can be read by Excel, as was done above. Similarly export the Monitoring Point featureclass attribute table that includes mean annual streamflow at each monitoring point. In Excel multiply gage streamflow by 60 x 60 x 24 x 365.25 to obtain streamflow volume, Q, in ft<sup>3</sup>. Multiply subwatershed average precipitation (in inches) by subwatershed area (in m<sup>2</sup>)/(12 x 0.3048<sup>2</sup>) to obtain subwatershed precipitation volume, P, in ft<sup>3</sup>. On the maps you have that show subwatersheds and streams identify the subwatersheds upstream of each gauge. Do this visually by looking at the Flowlines. Add up the precipitation volumes over these subwatersheds then divide Q/P to obtain an estimate of runoff ratio for the watershed upstream of each stream gage.

*To turn in. A table giving runoff ratio for the watershed upstream of each stream gage.*

## **Summary of Items to turn in:**

- 1. Hand calculations of slope at point A using each of the two methods and comments on the differences.*
- 2. Table giving slope, aspect, hydrologic slope and flow direction at grid cells A and B. Please turn in a diagram or sketch that defines or indicates what each of these numbers means for the specific values obtained for cells A and B.*
- 3. A screen capture of your final model builder model.*
- 4. A table giving the minimum and maximum values of each of the four outputs Slope, Aspect, Flow Direction, and Hydrologic Slope (Percentage drop), for the digital elevation model in demo.asc.*
- 5. The number of columns and rows, cell size in the E-W and N-S directions in m, extent (in degrees) and spatial reference information for the San Marcos elevation dataset DEM 'smdem\_raw'.*
- 6. The number of columns and rows in the projected DEM. The minimum and maximum elevations in the projected DEM. Explain why the minimum and maximum elevations are different from the minimum and maximum elevations in the original DEM.*

7. *A layout showing the location of the highest elevation value in the San Marcos DEM. Include a scale bar and north arrow in the layout.*
8. *A layout with a depiction of topography either with elevation, contour or hillshade in nice colors. Include the streams from the San Marcos Basemap Flowline feature class and sub-watersheds from the Sub-Watersheds feature class.*
9. *A table giving the HydroID, Name, mean elevation, and elevation range for each subwatershed in the SanMarcos Subwatershed feature class. Which subwatershed has the highest mean elevation? Which subwatershed has the largest elevation range?*
10. *A table giving the HydroID, Name, and mean precipitation by the Thiessen method for each subwatershed in the SanMarcos Subwatershed feature class. Which subwatershed has the highest mean precipitation? What is the mean annual precipitation over the entire watershed by the Thiessen method?*
11. *A table giving the HydroID, Name, and mean precipitation by the Tension Spline method for each subwatershed in the SanMarcos Subwatershed feature class. Which subwatershed has the highest mean precipitation using a Tension Spline interpolation?*
12. *A layout giving a nicely colored map of the interpolated mean annual precipitation surface over the San Marcos Basin for one of the methods used and a table showing the mean annual precipitation for the same method in each Subwatershed. Report what method you used.*
13. *A table giving runoff ratio for the watershed upstream of each stream gage.*