

# Estimating Evapotranspiration using Landsat 5 TM and ArcGIS 10.1

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## Purpose

The purpose of this exercise is to utilize raw Landsat 5 TM data in order to display a false color composite (FCC) of the Landsat bands from a scene (path 29, Row 32), and calculate vegetation index (NDVI) and estimate evapotranspiration (ET) in the ArcGIS environment. The FCC will be used to explore various features of the study area. The Normalized Difference Vegetation index (NDVI) will be calculated from the Landsat 5 TM bands in order to compute the fraction of vegetation cover. We will also create a gridded reference evapotranspiration map using data from automatic weather stations. The gridded reference evapotranspiration and fraction of vegetation cover will be used to estimate actual evapotranspiration on a regional scale. Zonal statistics of NDVI and evapotranspiration will be studied.

It should be noted that the NDVI tool of ArcGIS uses the ‘digital numbers’ of bands 3 and 4 as a ‘default.’ We will do this same thing in this exercise to save time. However, the more accurate way to calculate NDVI is to use the ‘reflectances’ of bands 3 and 4. Reflectances are defined as the fraction of incoming radiation that is reflected back to the surface. ArcGIS 10.1 now includes a new tool called “apparent reflectance.” The apparent reflectance tool can convert the digital numbers of Landsat bands into the apparent surface reflectances. Those reflectances can then be used in the NDVI computation to produce a more consistent value for NDVI that does not change with time of year (and sun angle). You do not have to use these reflectances in your calculation of NDVI, but you should consider using surface reflectance in the future to compute all vegetation indices, when serious estimates are needed. Instructions on using the Apparent Reflectance function in ArcGIS 10.1 are at:

[http://resources.arcgis.com/en/help/main/10.1/index.html#/Apparent\\_Reflectance\\_function/009t0000023s000000/](http://resources.arcgis.com/en/help/main/10.1/index.html#/Apparent_Reflectance_function/009t0000023s000000/)

A manual process for converting digital number to surface reflectance in ArcGIS is included at the end of this exercise as an addendum. However, again, we will only use digital number in this exercise to reduce time requirements.

## Computer and Data Requirements

To carry out this exercise, you need to have a computer, which runs the ArcInfo version of ArcGIS 10.1. The data are provided in the accompanying Ex5 zip file.

The following data will be used for this exercise:

1. Landsat data, LT50290322005251EDC00. The data can be freely downloaded from <http://edcsns17.cr.usgs.gov/EarthExplorer/> or <http://glovis.usgs.gov/>.
2. The daily reference evapotranspiration data from 12 Automated Weather Data Network (AWDN) stations within and surrounding the study area has been downloaded from the High Plains Regional Climate Center (HPRCC) in Nebraska (<http://www.hprcc.unl.edu/>). The locations of the weather station, and data are prepared to create a point shape file.

3. A detailed landuse data for Nebraska has been created at CALMIT at the University of Nebraska. The landuse data for the entire state is available at <http://calmit.unl.edu/2005landuse/statewide.php>
4. Base data: The HUC 12 level hydrologic watersheds, NHD flowlines, and Natural resources districts (NRD) boundaries were obtained from the Nebraska Department of Natural Resources (<http://dnr.ne.gov/databank/spat.html>). There are 23 NRDs in Nebraska with leadership responsibilities for protecting ground water from overuse and pollution.

### Downloading Landsat data

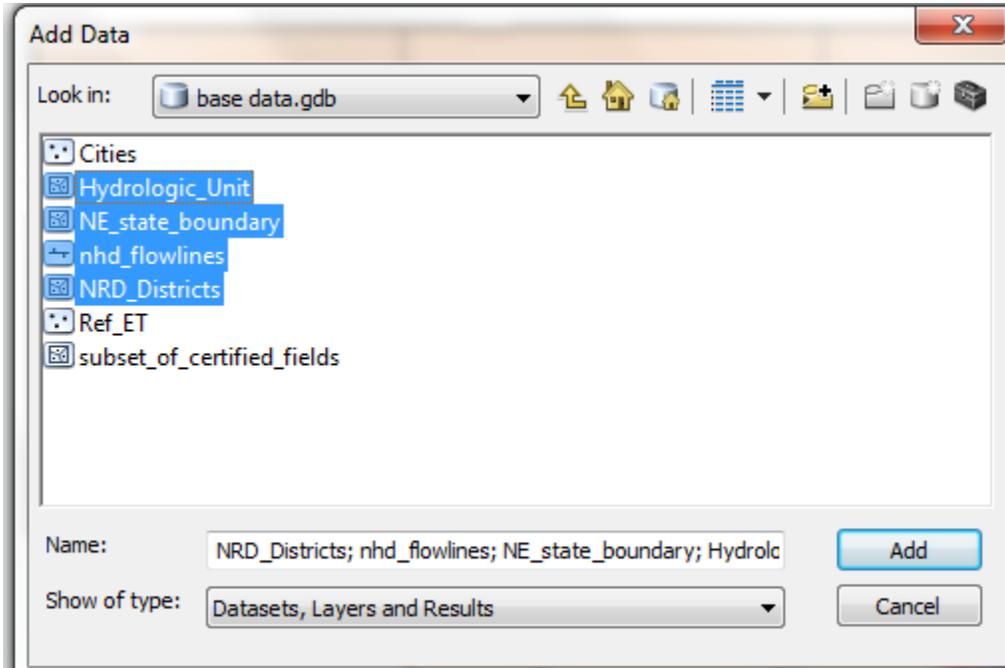
The USGS provides Landsat 5 TM data in geoTiff (TIFF) format. As the Landsat satellite passes over Earth, the area can be identified by the path and row combination. Our study area is under the path 29 row 32. This is the southeastern part of Nebraska and Landsat passes over this area at approximately 10:15 CST. Each Landsat scene (date) has a unique identifier. Our dataset has identifier LT50290322005251EDC00. This Landsat data was acquired on 2005/9/8.

Landsat 5 TM data is acquired every 16 days. Landsat scene might contain some cloud cover and data for that part can be erroneous. Our dataset is a Landsat scene with 0% cloud cover. All the aforementioned information regarding Landsat data can be found in the Header or metadata file of Landsat. These files will end with \_GCP and \_MTL. These files also contain the information regarding each band pertinent to that scene. Useful information like center and corner coordinates of the Landsat scene is provided in the header file. A screen shot of attributes for this Landsat scene is provided below.

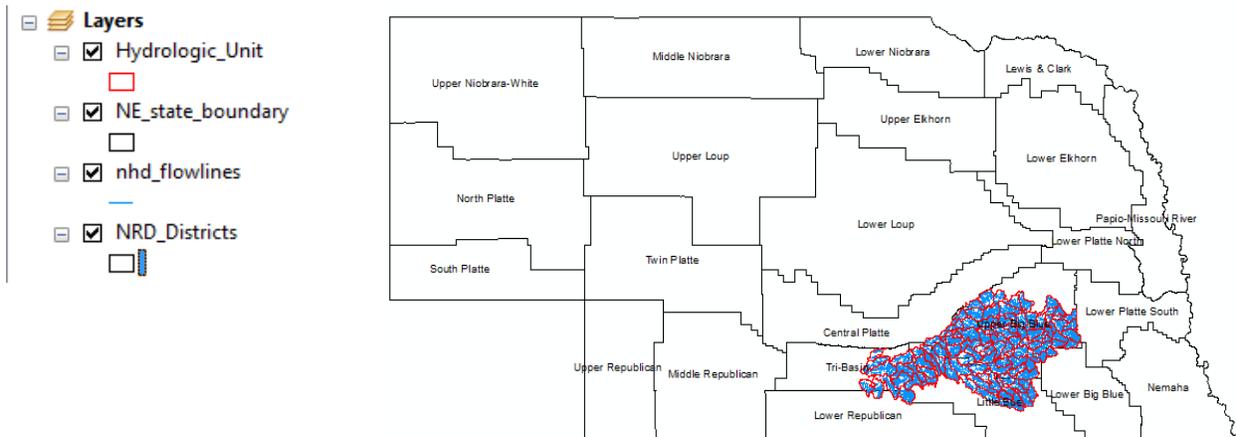
Dataset Attribute	Attribute Value
<a href="#">Entity ID</a>	5029032000525110
<a href="#">Acquisition Date</a>	2005/09/08
<a href="#">WRS Path</a>	29
<a href="#">WRS Row</a>	32
<a href="#">WRS Type</a>	Landsat 4,5
<a href="#">NW Corner</a>	41°17'08.81"N, 98°57'36.43"W
<a href="#">NE Corner</a>	40°58'13.91"N, 96°47'48.77"W
<a href="#">SW Corner</a>	39°42'27.76"N, 99°26'17.84"W
<a href="#">SE Corner</a>	39°23'58.42"N, 97°19'22.12"W
<a href="#">Center Coordinates</a>	40°20'45.82"N,98°07'45.62"W
<a href="#">Satellite Number</a>	Landsat 5
<a href="#">Image Cloud Cover</a>	0 to 9% Cloud Cover
<a href="#">Image Quality</a>	9
<a href="#">Acquisition Mode</a>	TM Descending (daytime)
<a href="#">Receiving Station</a>	Data held by EROS, Landsat 5 data acquired by EROS
<a href="#">Sun Elevation</a>	49.79935249
<a href="#">Sun Azimuth</a>	144.19589347
<a href="#">Spacecraft Start Time</a>	2005:251:17:00:28.74781
<a href="#">Spacecraft Stop Time</a>	2005:251:17:00:55.36081
Order Status	Orderable Online
<a href="#">Browse Available</a>	Y
<a href="#">Scene Source</a>	LAM

## 1. VIEWING BASE MAP DATA

We'll begin by getting the input data for our study area in Nebraska. Open ArcGIS desktop, and add the following layers from **base data.gdb**



Arrange the layer order and change the symbology of your data layers as you wish (see example below). Right click on NRD\_Districts, and label features (select NRD\_name). Save your project as Ex5.



To turn in:

1. Prepare an ArcMap layout showing labeled Natural Resources Districts (NRD) within NE. You should include NHD\_flowlines, and HUC-12 units. Use a layout view, include a scale bar to indicate distance, a north arrow to indicate direction and labels or legends with units. A snapshot of 'data view' of the map is depicted above.

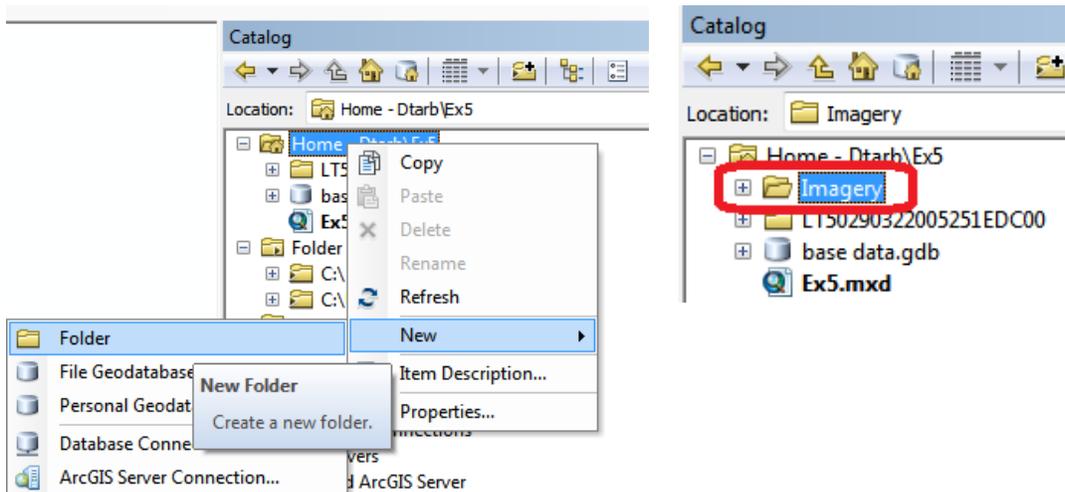
## 2. CREATING COLOR COMPOSITES FROM LANDSAT 5 TM GRIDS IN TIFF FORMAT

Landsat 5 TM has 7 different bands. These bands are useful for extracting various information related to vegetation, temperature, clouds, soil moisture, biomass, rocks, minerals and so on. Below are details of band designations for Landsat 5 TM. More detailed information can be found at <http://egsc.usgs.gov/isb/pubs/factsheets/fs02303.html>.

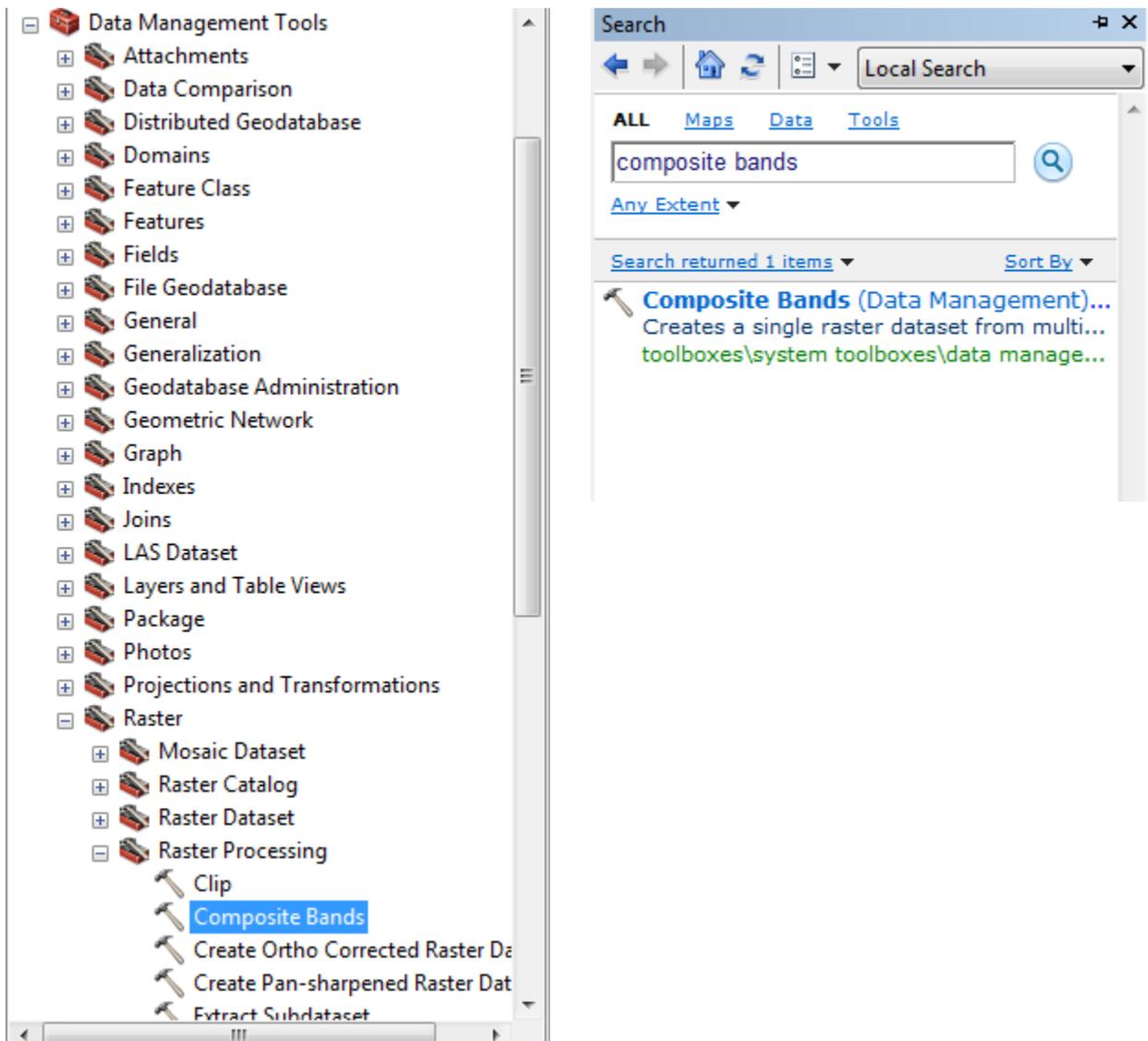
	Spectral Bands <sup>1</sup>	Wavelength (micrometers)	Potential Information Content	Resolution (meters)
Band 1	Blue	0.45 - 0.52	Discriminates soil and rock surfaces from vegetation. Provides increased penetration of water bodies	30
Band 2	Green	0.52 - 0.60	Useful for assessing plant vigor	30
Band 3	Red	0.63 - 0.69	Discriminates vegetation slopes	30
Band 4	Near IR	0.76 - 0.90	Biomass content and shorelines	30
Band 5	Mid IR	1.55 - 1.75	Discriminates moisture content of soil and vegetation; penetrates thin clouds.	30
Band 6	Thermal IR	10.40 - 12.50	thermal mapping and estimated soil moisture	120
Band 7	Mid IR	2.08 - 2.35	Mapping hydrothermally altered rocks associated with mineral deposits	30

<sup>1</sup>: IR stands for infrared

Create a folder “imagery” under your Ex5 folder to save imagery results. To do this open Catalog and right click the Home Ex5 location (this appears when you have saved the map document file) and select new folder. Change the name to Imagery.

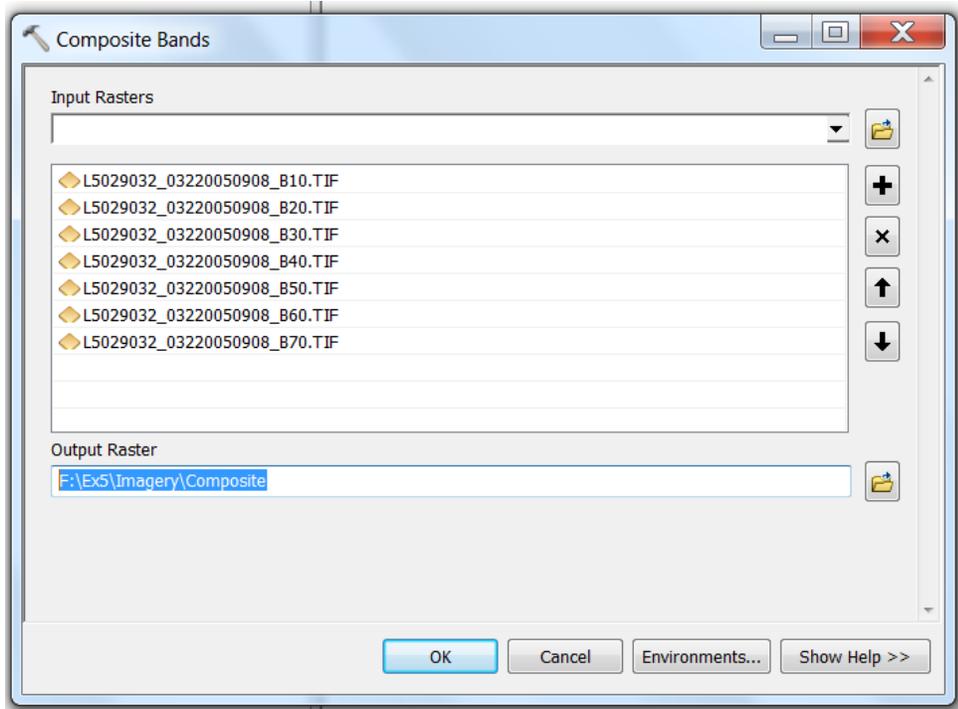


Color composites are used to facilitate viewing of imagery. We will create a color composite from the Landsat band geoTIFF files. Open the **composite bands** tool located under **data management\raster\raster processing\composite bands**. (You can also search for **composite bands** under the search tool).

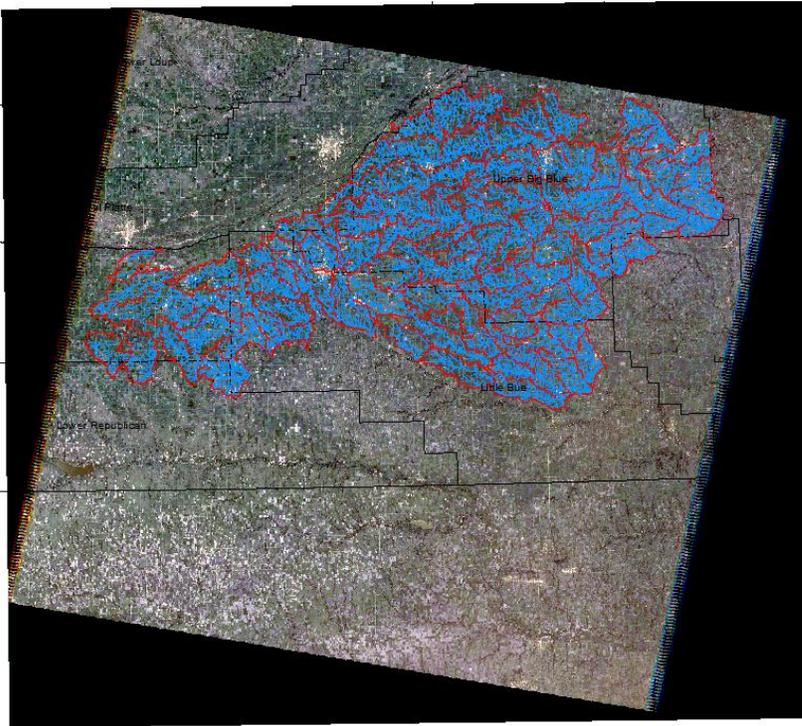


For Input Rasters select **all the bands** from **LT50290322005251EDC00** which contains **TIFF** images for the seven landsat bands. The trick is to select the first image L5029032\_03220050908\_B10.TIF, hold down the shift key and select the others.

Make sure all bands are added in the order (from L5029032\_03220050908\_B10.TIF to L5029032\_03220050908\_B70.TIF). You can use the up and down arrows to reorder if necessary. **Save** the **output file** as **Composite** under Imagery folder.

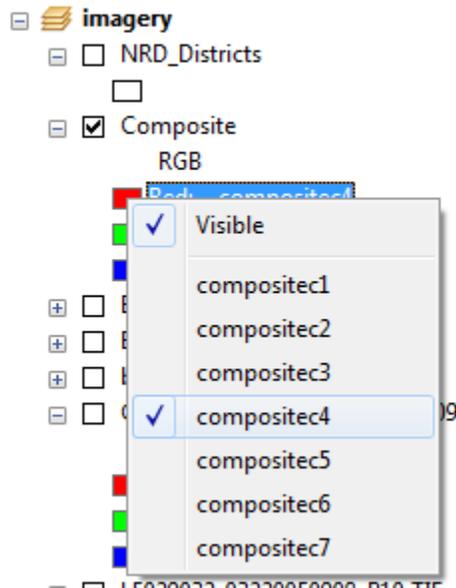


Zooming in, the resulting image looks like this.



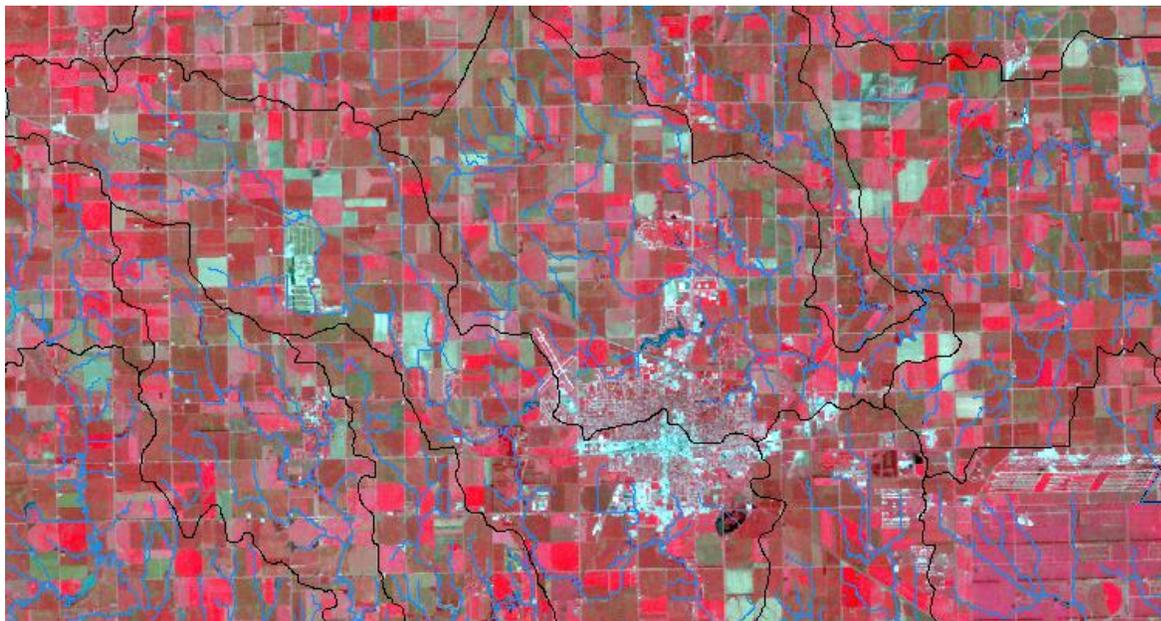
We are going to recolor the color composite by using spectral bands 2 (green), 3 (red), and 4 (near infrared), but mapped onto colors red for band 4, green for band 3 and blue for band 2. Click on the Red

color component of Composite in the table of contents and adjust the band assigned to red to be the band labeled "compositec4". This is band 4, the 4<sup>th</sup> geoTIFF grid loaded in to the composite.



Similarly assign Green to band 3 "compositec3" and Blue to band 2 "compositec2". Notice as you do this how the color display changes as different underlying data is mapped onto a different color used to construct the image.

Zooming in the image should appear similar to the below



**RGB color composite of bands 4, 3, and 2 for Path 29 Row 32.**

Next we are going to zoom in on the Upper Sand Creek hydrologic unit.

Open the **Attribute Table** of the **Hydrologic Unit** layer.

FID	Shape *	HUC_12	ACRES	HU_12
0	Polygon	102702010101	22437	Headwaters Big Blue River
1	Polygon	102702030603	16094	Walnut Creek
2	Polygon	102702010103	21844	City of Arborville
3	Polygon	102702010102	33526	City of Kronborg
4	Polygon	102702030401	25165	City of Doniphan
5	Polygon	102702020105	16839	Wolf Creek-Big Blue River
6	Polygon	102702020103	23154	Crooked Creek
7	Polygon	102702030604	15027	Smith Creek-West Fork Big Blue Riv
8	Polygon	102702030402	27626	Brairie Gam Cemetery

You will see that there are 100 HUC-12 watersheds in this table.

Go to **Select by Attributes**. Double click on "HU\_12\_NAME". Click on =. Click on Get Unique Values and double click on "Upper Sand Creek". The result should be the query below. Click **Apply**. This will select Upper Sand Creek watershed.

Select by Attributes

Enter a WHERE clause to select records in the table window.

Method: Create a new selection

Field List:

- "OBJECTID"
- "HUC\_12"
- "ACRES"
- "HU\_12\_NAME"
- "Shape\_Length"

Criteria List:

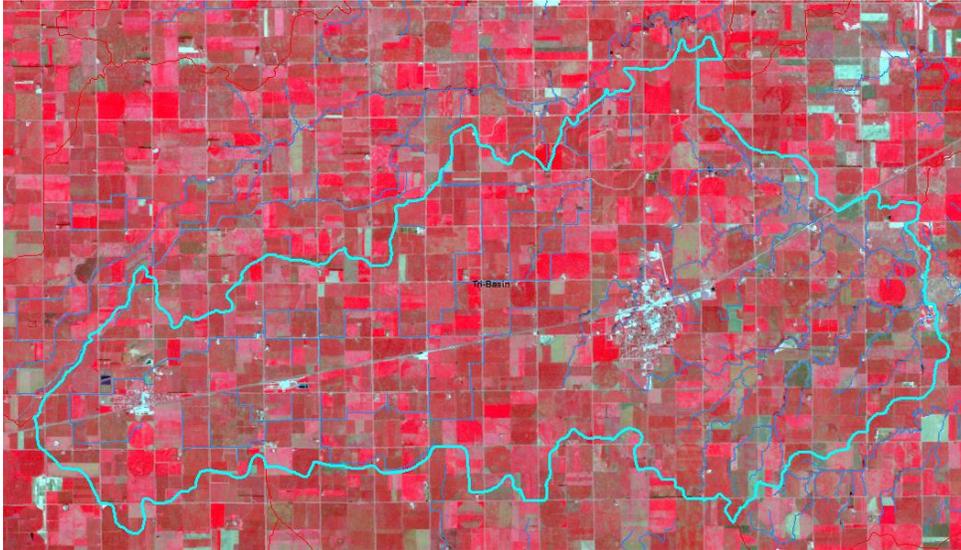
- 'Town of Strang'
- 'Turkey Creek Cemetery'
- 'Upper Lincoln Creek'
- 'Upper Little Blue River'
- 'Upper Sand Creek'

SQL Query:

```
SELECT * FROM Hydrologic_Unit WHERE:
"HU_12_NAME" = 'Upper Sand Creek'
```

Buttons: Clear, Verify, Help, Load..., Save..., Apply, Close

Click on zoom to selected features to zoom in on Upper Sand Creek



To turn in:

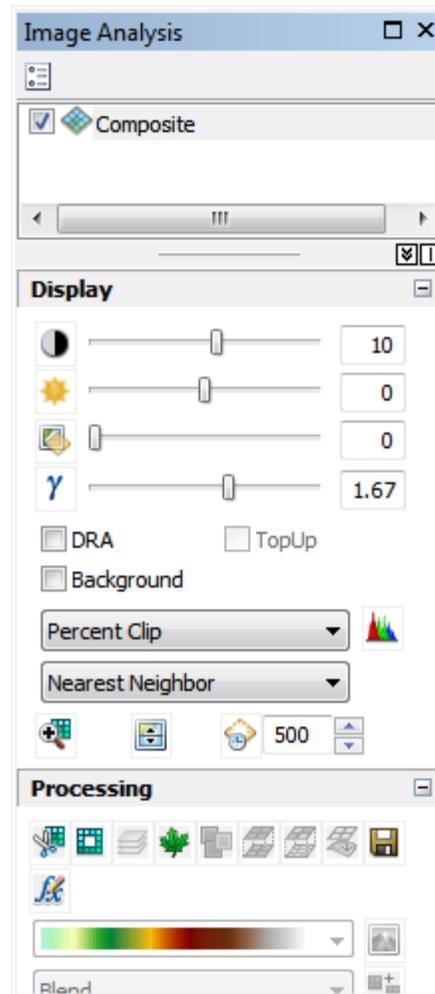
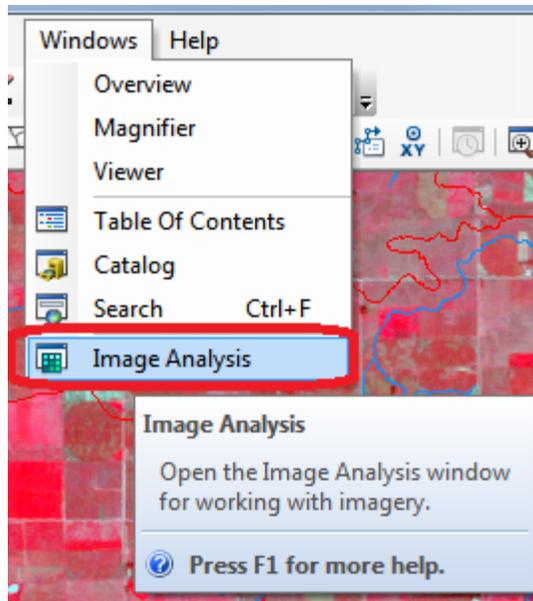
2. A Landsat false color composite map (bands 4, 3, 2) of Upper Sand Creek HUC 12 watershed. Use the layout view and include a north arrow, scale bar and title. Indicate the NRD District that Upper Sand Creek is in.

### 3. EVALUATING NDVI

NDVI, the normalized difference vegetation index, is a quantity used to assess the presence of live green vegetation. NDVI is computed using the formula:  $NDVI = \frac{(NIR-RED)}{(NIR+RED)}$

The RED and NIR stand for the spectral reflectance measurements acquired in the red and near-infrared regions of electromagnetic spectrum, respectively. NDVI takes values from -1 to 1. The higher the NDVI, higher the fraction of live green vegetation present. Landsat band 4 (0.77-0.90  $\mu\text{m}$ ) measures the reflectance in NIR region and Band 3 (0.63-0.69  $\mu\text{m}$ ) measures the reflectance in the Red region.

In ArcMap select Windows/Image Analysis. This opens the Image Analysis tool shown

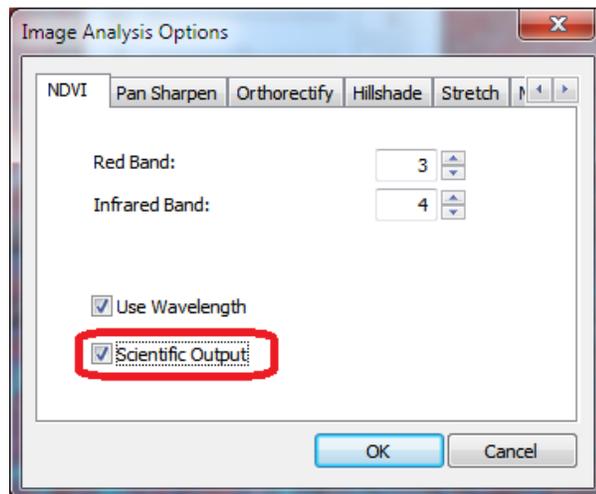
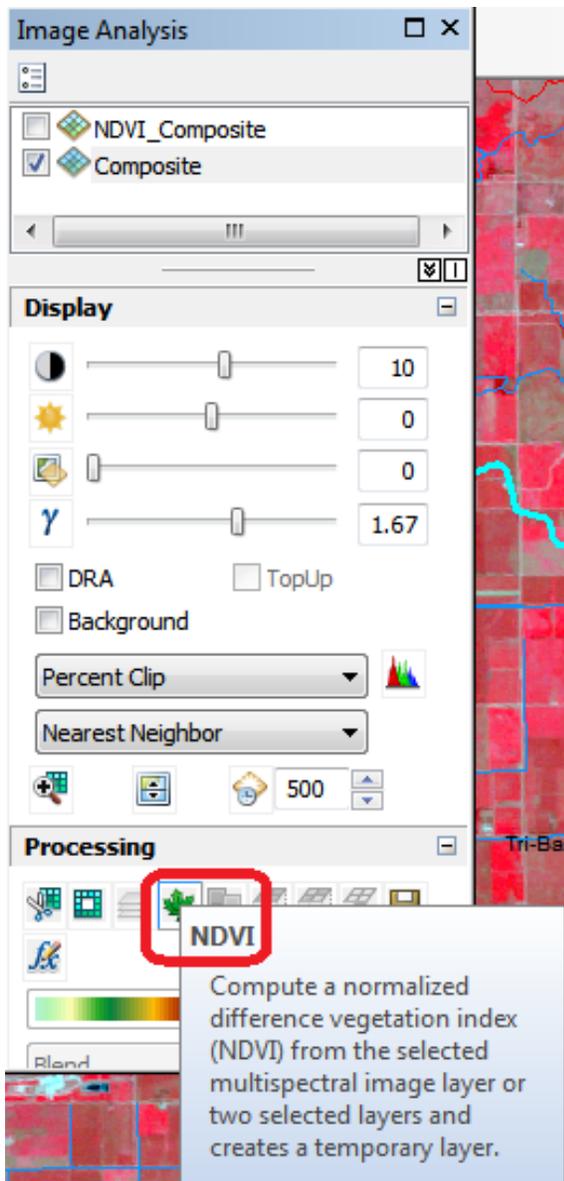


We can set the ‘**Image analysis options**’ using the  button on the top left corner of the **Image analysis window**.

Click the  button. Under **NDVI**, make sure **Red Band** is **3** and **Infrared Band** is **4**. This band combination holds true for Landsat Images. You might have other hyperspectral or satellite images where layer numbers are different. Also if you have stacked only two or three bands in your Composite image, Layer numbers could change accordingly. Also select Scientific Output. Click OK

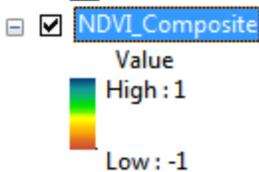
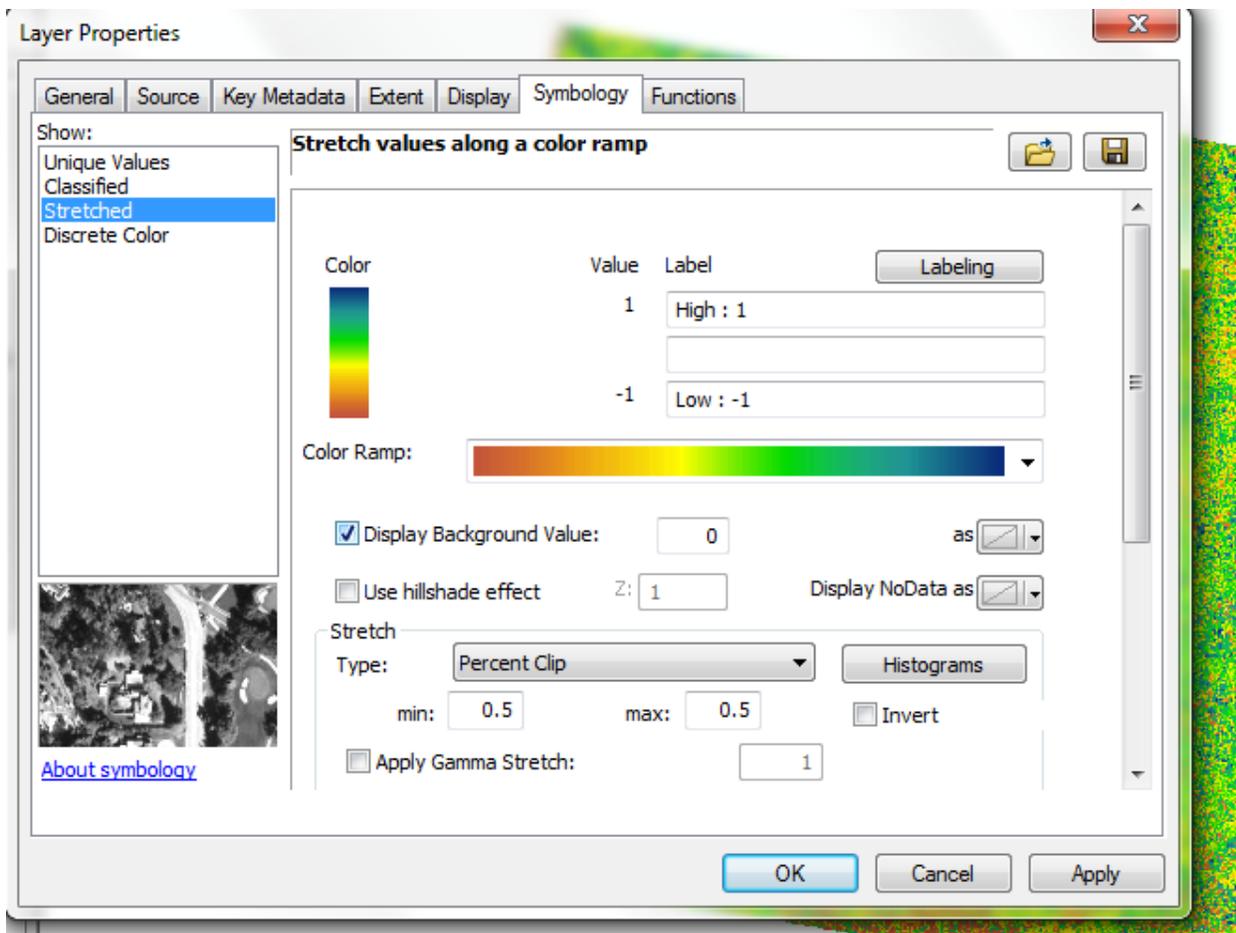
The selection of Scientific output ensures that the formula  $NDVI = ((NIR - Red)/(NIR + Red))$  is applied to evaluate NDVI. If you do not select this, ArcGIS uses a scaling of NDVI between 0 and 200.

Make sure the "Composite" layer is selected in the Image Analysis layer list (at the top) and click on the NDVI button in the Processing section near the bottom.



You will see that a 'NDVI\_Composite' layer is created in ArcMap with range -1 to 1. Close the Image Analysis window.

Adjust the NDVI\_Composite Symbology.



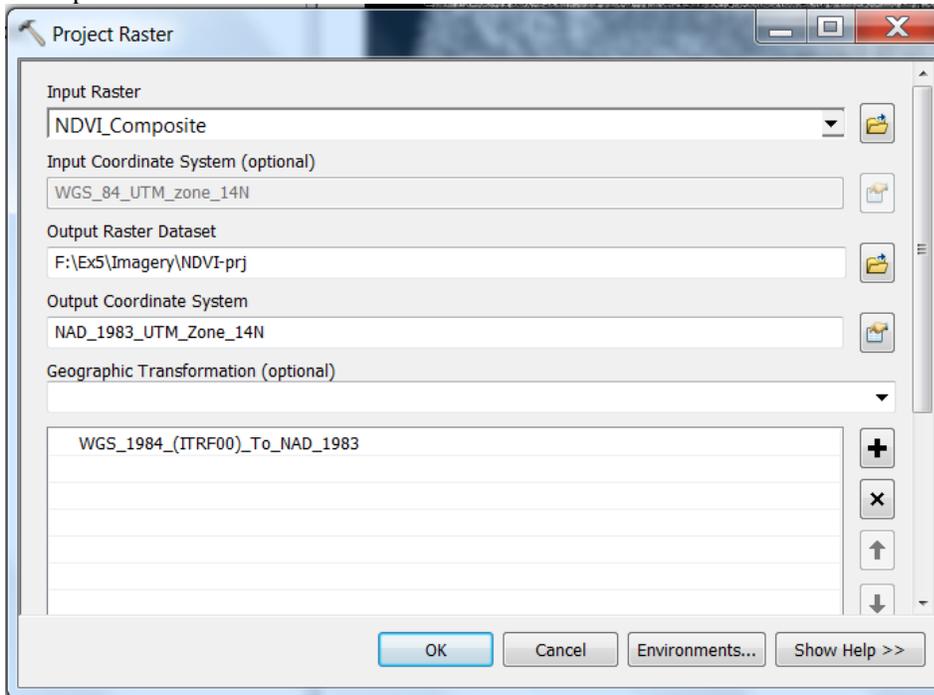
**To turn in:**

3. A NDVI map of Upper Sand Creek HUC 12 watershed.

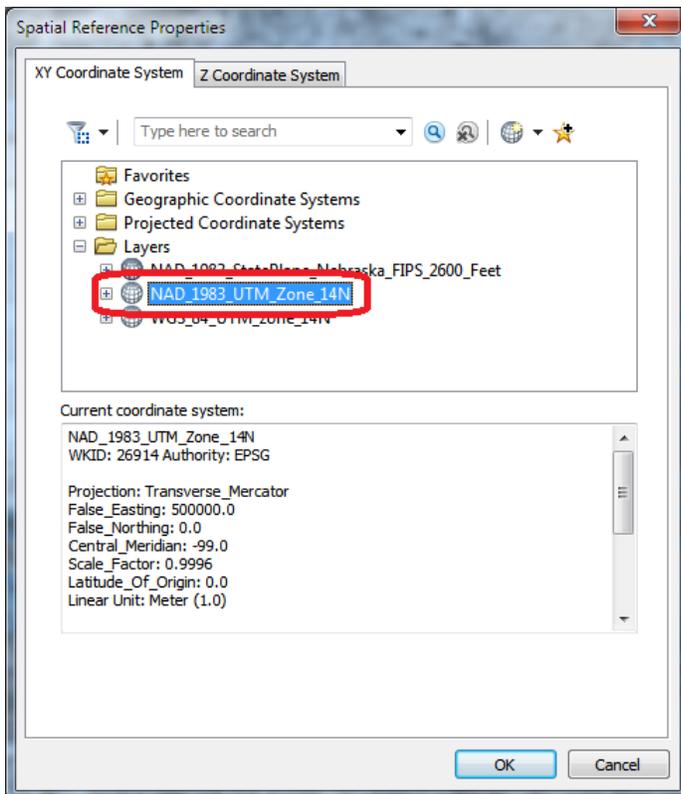
To work with this NDVI data we need to project it to the same projection as our Hydrologic Units and Extract it using the Hydrologic Unit layer as a mask.

Open ArcToolbox/ Data Management Tools/ Projections and Transformations/ Raster/ Project Raster.

Set inputs as follows

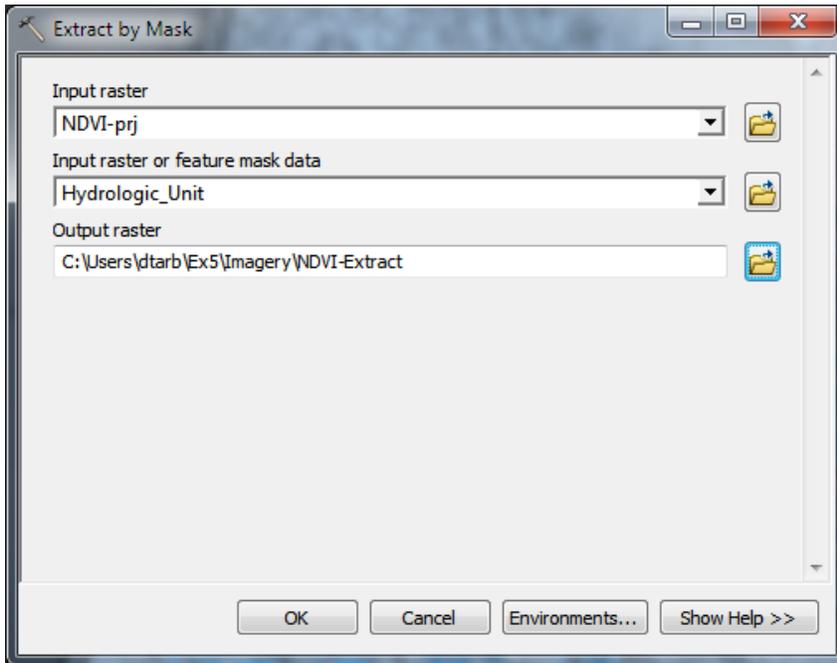


For the output coordinate system select Layers and NAD\_1983\_UTM\_zone\_14N to select a coordinate system consistent with the Hydrologic Unit layer.

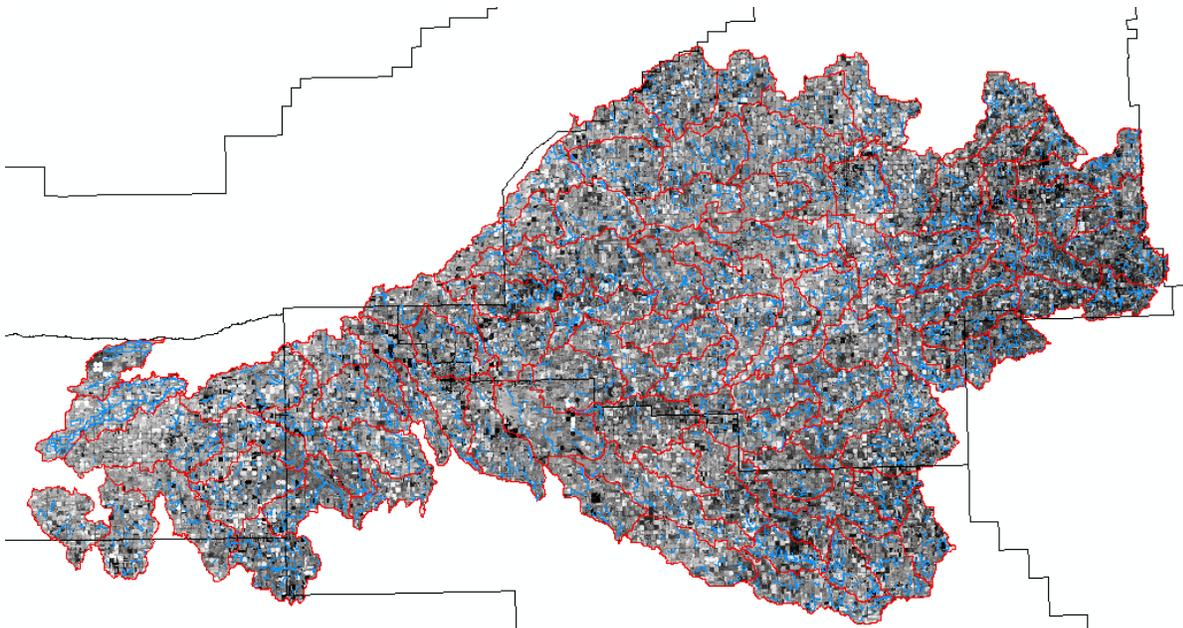


Clear selected features in 'Hydrologic\_Unit' feature dataset to make sure you have no features selected (or else the extraction that follows will be for those features only).

Open **ArcToolbox/Spatial Analyst Tools/Extraction/Extract by Mask** (You can also search for **Extract by Mask** under the search). Select **Input Raster** as **NDVI-prj**, **Input Raster or feature mask data** as **Hydrologic\_Unit**. Save the **Output Raster** in the desired location with the name **NDVI-Extract**.



I have found that this function sometimes fails and have been able to work around this by closing and re-opening ArcMap and trying again. The result is an NDVI grid masked by the Hydrologic Unit Layer.



#### 4. ESTIMATION OF FRACTIONAL VEGETATION COVER

The method proposed by **Brunsell and Gillies (2003)**<sup>1</sup> to obtain the fraction of vegetation cover will be used in this exercise. The method scales the NDVI to obtain the fraction of vegetation cover and then scales the fraction between the NDVI of bare soil and a full canopy.

$$N^* = (NDVI - NDVI_0) / (NDVI_{max} - NDVI_0)$$

Where  $NDVI_0$  is the bare soil NDVI value for the Landsat scene and  $NDVI_{max}$  is the maximum NDVI of the scene corresponding to full cover dense vegetation. The fraction of cover is then estimated as

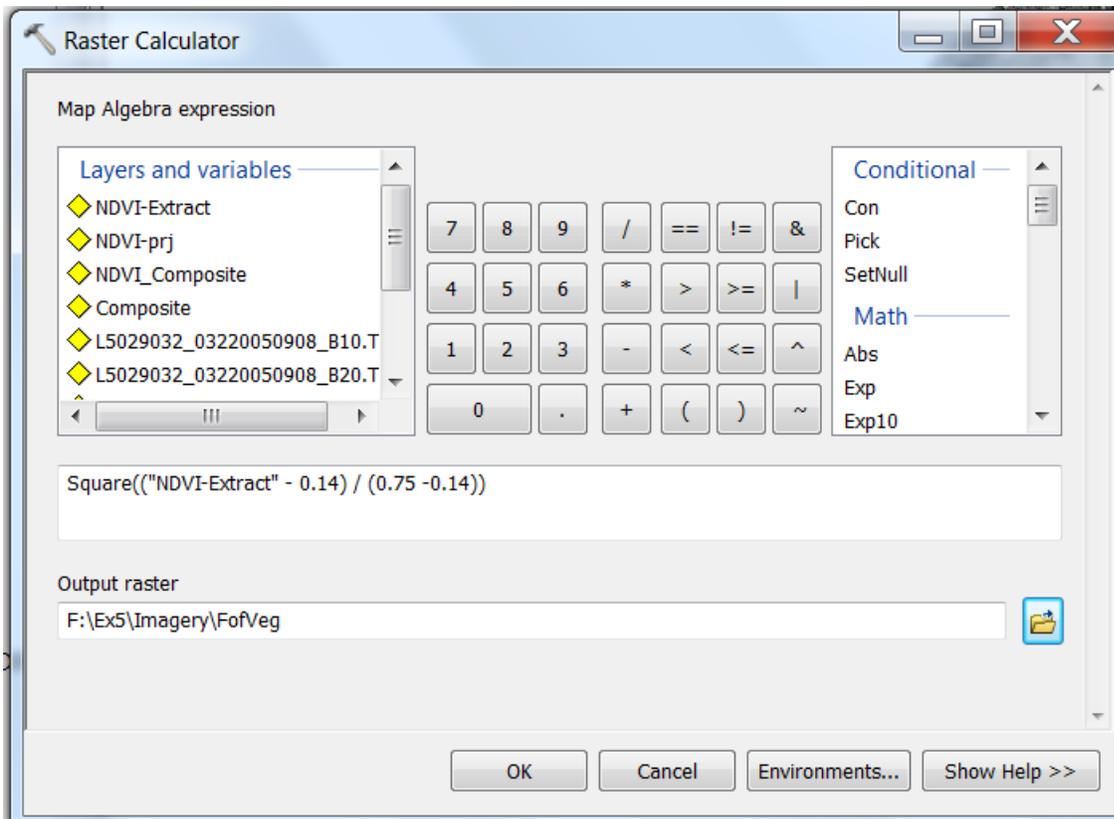
<sup>1</sup>Brunsell, N.A., and R. R. Gillies. 2003. Length Scale Analysis of Surface Energy Fluxes Derived from Remote Sensing. *Journal of Hydrometeorology*, 4, 1212-1219.

$$Fr = (N^*)^2$$

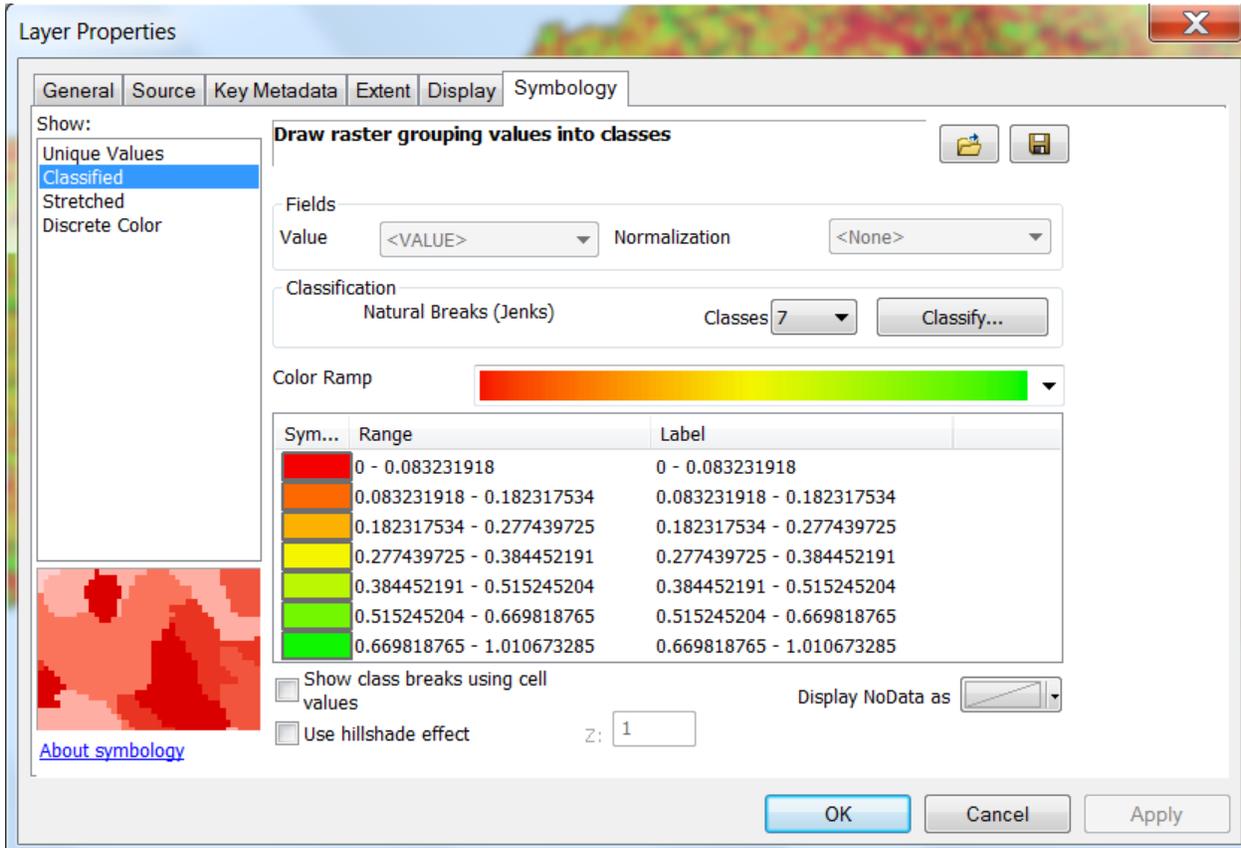
We will estimate fraction of vegetation cover using the raster calculator. Click on **Spatial Analyst/Map Algebra/Raster Calculator**. In this image, the  $NDVI_0$  is set at 0.14 for bare soil, and  $NDVI_{max}$  is estimated to be 0.75. Construct the following formula as an expression in the raster calculator to calculate the fraction of vegetation cover.

$$\text{Square}(\text{"NDVI-Extract" - 0.14} / (0.75 - 0.14))$$

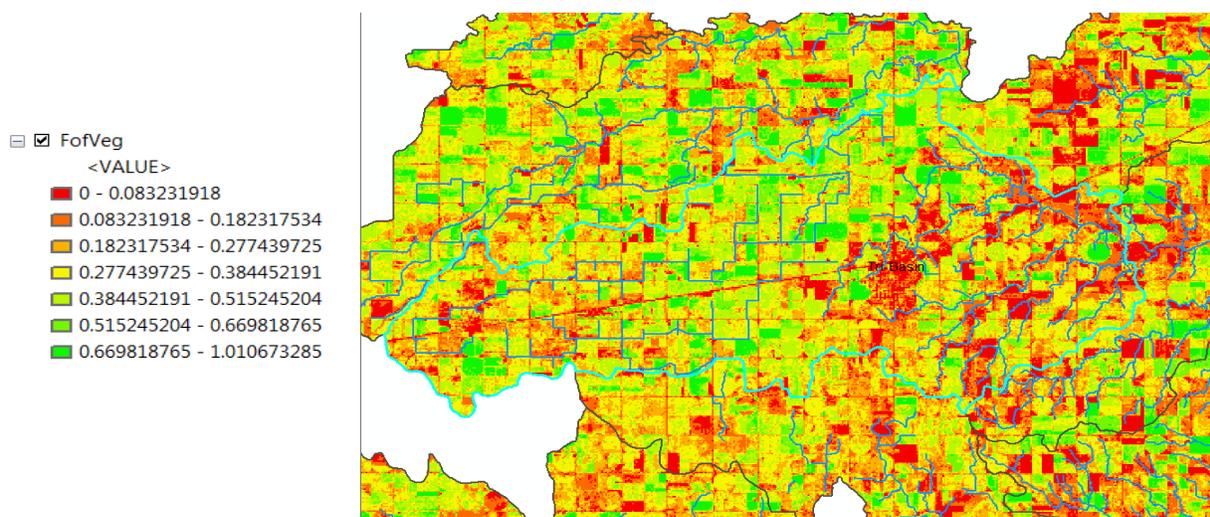
Name the output raster “**FofVeg**”



In layer properties, change symbology to “classified” and use 7 classes with a Red to Green color ramp.



You can observe the difference in fractions of vegetation cover on the image. Differences are clearly visible between various land uses, and various fields. Also fraction of vegetation cover can be different within a single field (within-field variability).



To turn in:

4. A Fractional Vegetation Cover map of Upper Sand Creek HUC 12 watershed.

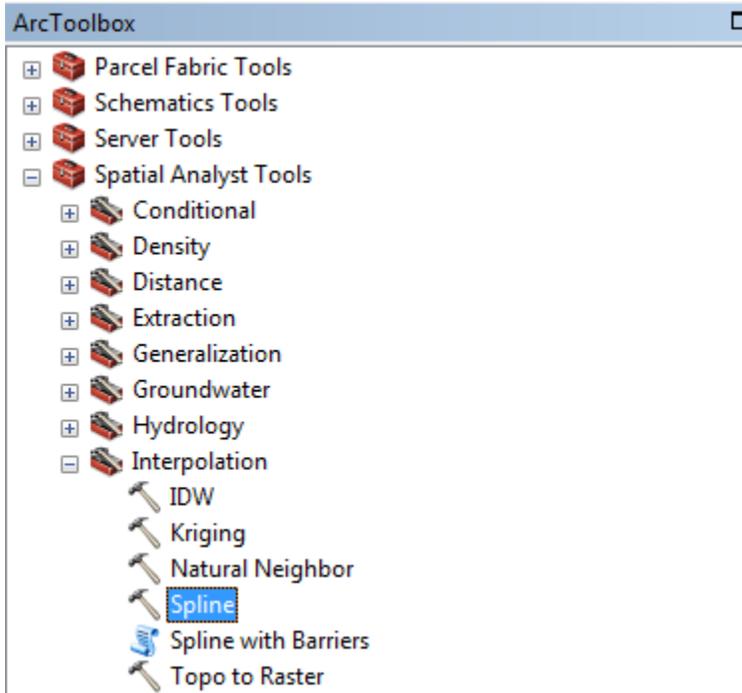
## 5. COMPUTATION OF REFERENCE EVAPOTRANSPIRATION

We will estimate the spatial distribution of the **Reference Evapotranspiration (ET)** for the Landsat overpass date (DOY=251). We have daily reference evapotranspiration data from 12 Automated Weather Data Network (AWDN) stations within and surrounding the study area. These weather stations are operated by the High Plains Regional Climate Center (HPRCC) in Nebraska. Data can be downloaded from the HPRCC website (<http://www.hprcc.unl.edu/>). We have done this for you and have placed the data in o the Ref\_ET Feature Class.

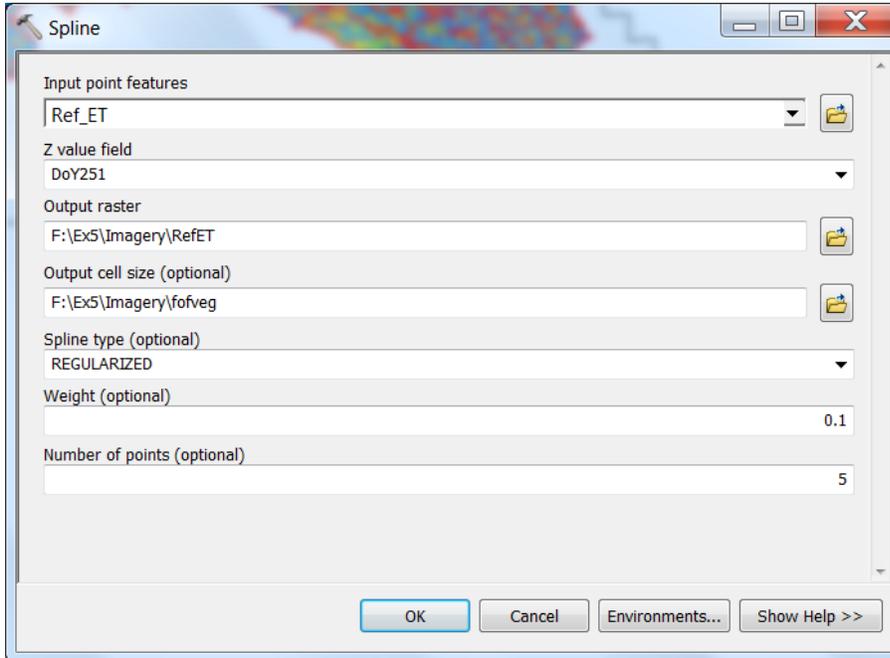
Add the **Ref\_ET** Feature Class from Base Map.gdb to ArcMap. Open the attribute table of Ref\_ET. The Attribute table contains the longitude, latitude, elevation and daily reference evapotranspiration data for 12 AWDN stations for many days of the year.

FID	Shape *	F1	F2	LATITUDE	LONGITUDE	ELEVATION	DoY60	DoY61	DoY62	DoY63	DoY64	DoY65	DoY66	DoY67	DoY68
0	Point	Beatrice	0	4463105	675670	376	2.724	3.658	3.229	6.15	4.496	7.455	4.863	1.541	2.802
1	Point	CentralCity	0	4555923	586680	517	2.857	3.657	2.695	5.15	4.602	7.97	4.581	1.18	1.542
2	Point	Clay Center	0	4491053	573392	552	2.882	4.136	3.578	5.376	4.273	7.628	4.644	2.729	1.758
3	Point	Grand Island	0	4525889	542125	507	2.91	3.782	3.406	5.634	4.714	7.89	4.186	2.557	2.195
4	Point	Holdrege 4N	0	4483314	470343	707	3.515	3.893	4.81	5.838	5.071	7.778	3.889	3.264	3.553
5	Point	Holdrege	0	4464783	468826	707	3.674	4.149	4.937	6.289	5.054	7.659	4.377	3.227	3.398
6	Point	Kearney	0	4507342	498564	555	3.287	3.967	4.278	5.651	5.068	8.295	4.089	2.794	3.235
7	Point	Lincoln IANR	0	4522876	698145	357	2.291	3.044	2.572	4.483	3.568	6.411	5.303	1.197	2.762
8	Point	Minden	0	4485143	495764	658	3.022	3.771	4.172	5.586	4.598	7.813	4.205	2.987	3.19
9	Point	Redcloud	0	4437216	561129	524	3.08	3.763	4.17	6.46	4.421	7.285	5.017	3.289	2.197
10	Point	Scandia	0	4404381	604212	451	2.606	3.321	3.736	6.879	3.824	6.608	4.593	2.631	1.403
11	Point	Shelton	0	4509148	521110	614	3.097	3.979	3.439	5.57	4.821	7.844	4.519	2.588	2.837

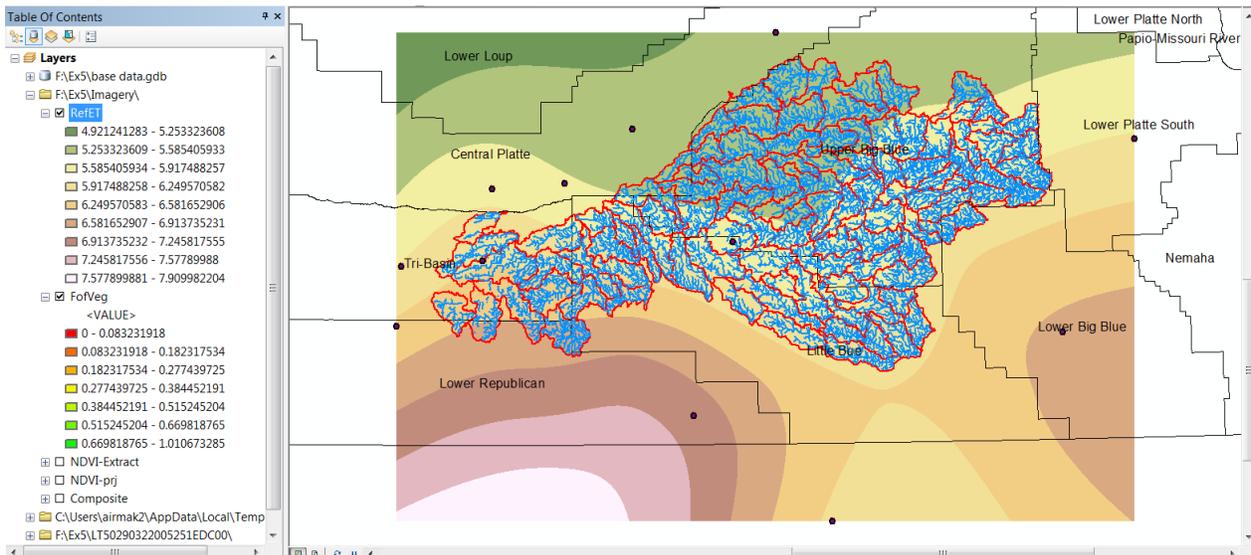
We will create a continuous surface of reference evapotranspiration from this point data using the spline **Spatial Interpolation** technique. On the Spatial Analyst toolbar go to **Spatial Analyst/Interpolation/Spline**



Select **Input Point features** as **Ref\_ET** layer. **Z value field** as **DoY251** which represents the reference ET values for day 251. The Spline type should be set as Regularized, and **Number of points** as **5**. For **Output Cell Size** select the FofVeg grid to inherit the same cell size as this (60 m). Save the output raster with the name **RefET** (in the imagery folder). We selected day 251 because the Landsat data we are using was acquired on the September 9, 2005 which is 251<sup>st</sup> day of the year.



Following is the result.



This reference evapotranspiration is the ‘alfalfa reference’ ET, generally abbreviated as  $ET_r$ . The alfalfa reference  $ET_r$  contrasts with the other type of reference ET, which is for clipped grass vegetation. Grass reference ET is usually abbreviated as  $ET_o$ . Because alfalfa is taller and leafier than clipped grass,  $ET_r$  is

typically about 20 to 30% greater than  $ET_0$ .  $ET_T$  represents the upper limit of ET as constrained by environmental energy to convert liquid water to vapor. This energy comes mostly from solar radiation and air temperature.

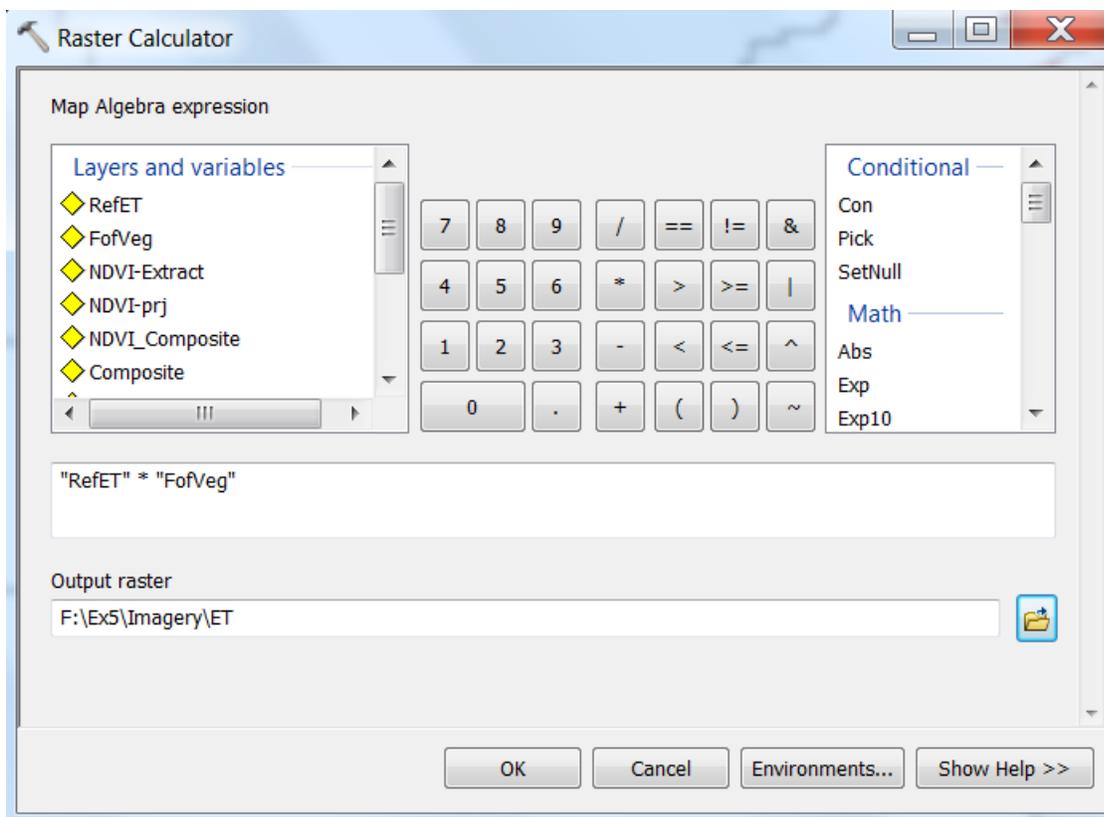
## 6. COMPUTATION OF ACTUAL EVAPOTRANSPIRATION

We will calculate the Actual Evapotranspiration by multiplying fraction of vegetation cover with the reference evapotranspiration. Here, we assumed that the fraction of reference ET,  $ET_T/F$ , is equal to the fraction of vegetation cover. Click on **Spatial analyst/raster calculator**

Type following formula as an expression in the raster calculator to calculate the actual evapotranspiration.

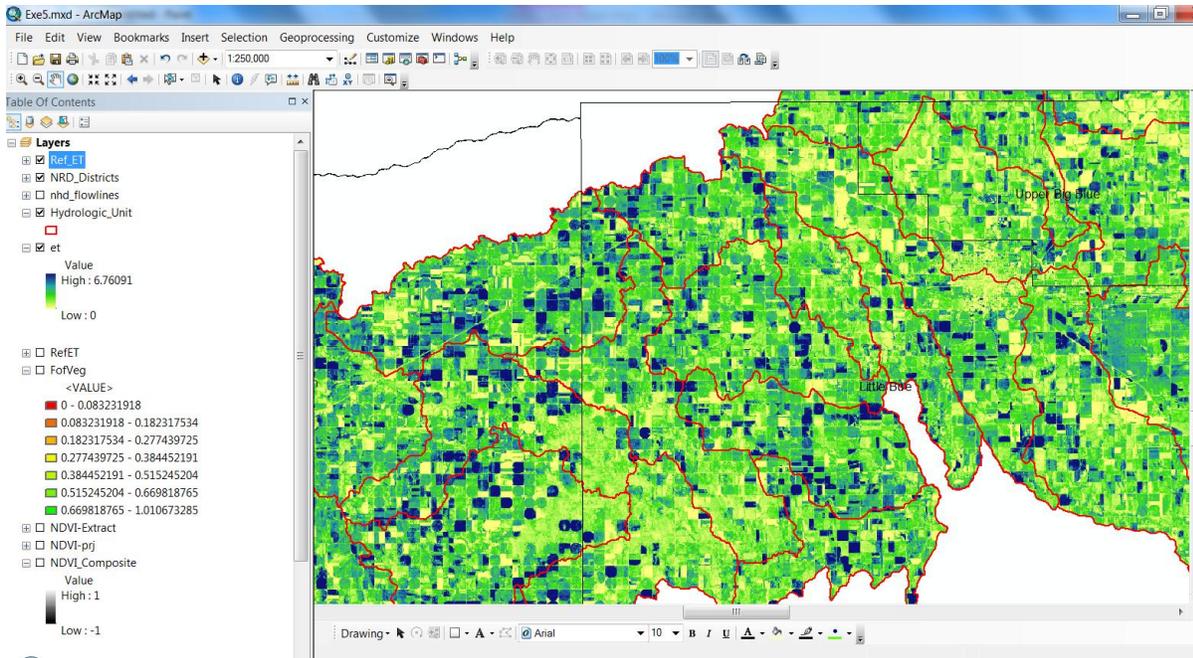
**"RefET" \* "FofVeg"**

Name this raster **ET**, and click OK.



This method for estimating ET from fraction of cover is only approximate because it assumes that the soil surface between vegetation is relatively dry. If it has recently rained, then there will be some evaporation from the soil between vegetation and the actual ET will be greater than what we have estimated.

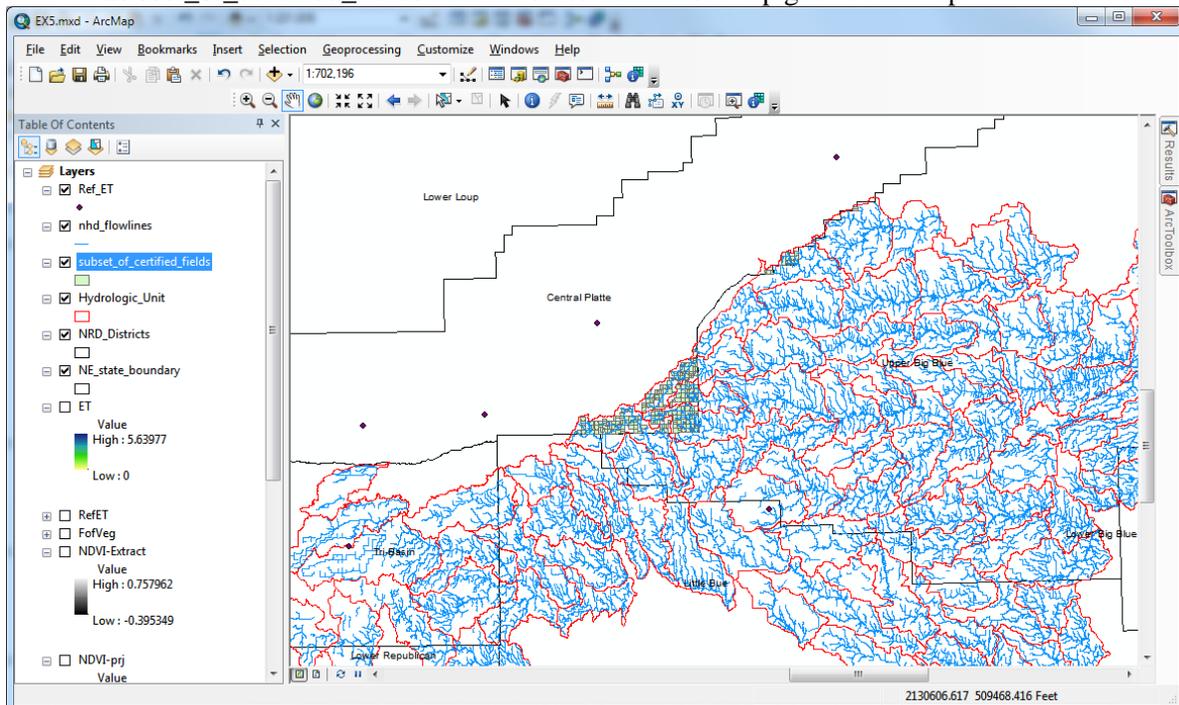
Zoom in and observe some of the patterns of actual ET.



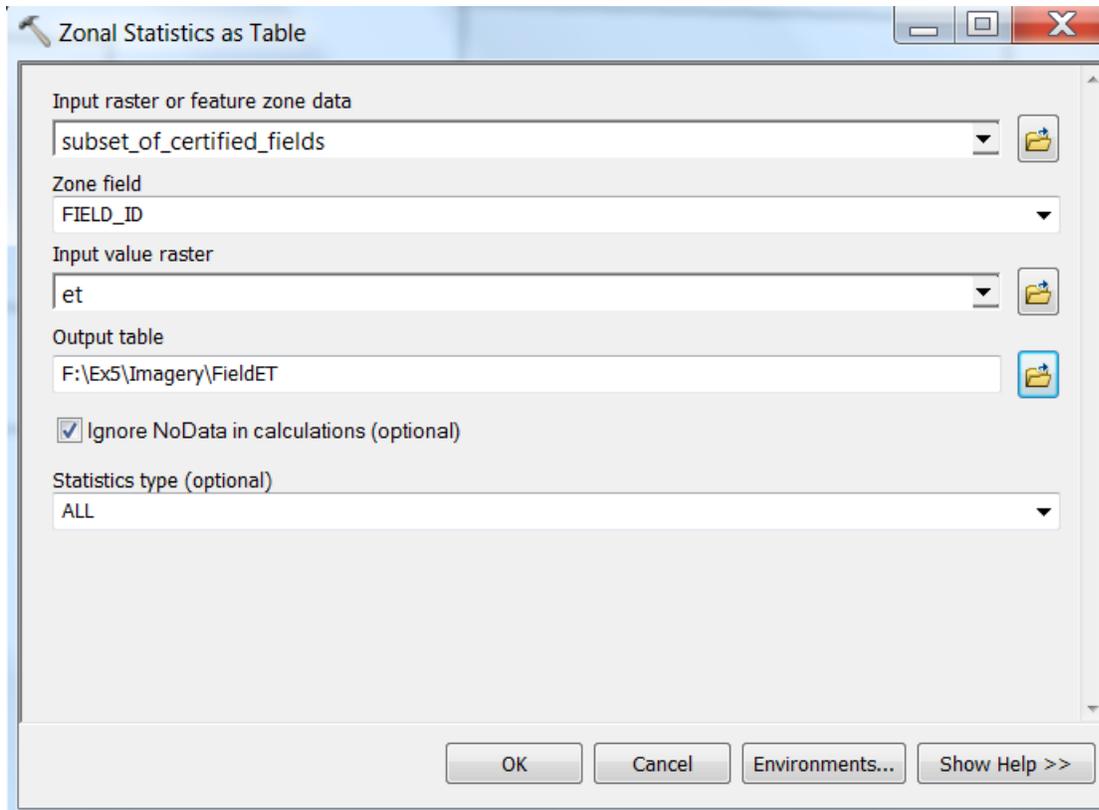
## 7. EXPLORING ZONAL STATISTICS OF EVAPOTRANSPIRATION FOR CERTIFIED IRRIGATED FIELDS

By performing zonal statistics, the statistics for each zone of a zone dataset can be calculated based on the information in a value raster. Using zonal statistics we can explore the distribution of actual evapotranspiration at each center pivot field. Also we can see the statistical differences in ET in each hydrologic unit.

Add the **subset\_of\_certified\_fields** Feature Class from Base Map.gdb to ArcMap.



To calculate zonal statistics, click on **Spatial Analyst/Zonal Statistics as a Table** and enter the following inputs



The result is a table that gives ET statistics for each field.

Table

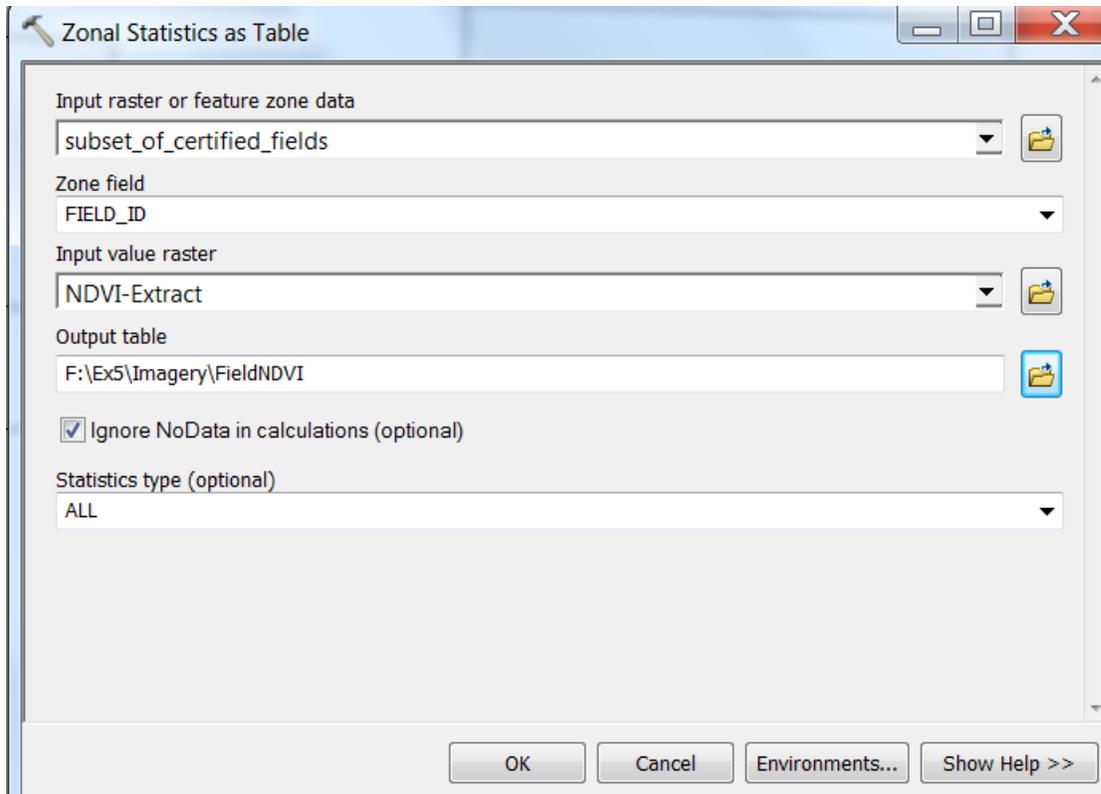
fieldet

Rowid	FIELD_ID	ZONE-CODE	COUNT	AREA	MIN	MAX	RANGE	MEAN	STD	SUM
1	0910W28A0001	1	172	619200	0.294237	1.797564	1.503327	0.745995	0.29049	128.3112
2	0910W28C0002	2	85	306000	0.217701	1.730363	1.512662	0.708653	0.244216	60.23551
3	0909W09B0001	3	106	381600	0.276714	2.19285	1.916136	1.417675	0.29045	150.2735
4	0910W28D0001	4	176	633600	0.274792	2.799188	2.524396	1.432026	0.475135	252.0367
5	1009W25B0001	5	202	727200	1.44134	4.772997	3.331656	2.903668	0.776268	586.541
6	0910W22B0001	6	57	205200	0.941506	2.666205	1.724699	1.893106	0.366548	107.9071
7	0909W26B0002	7	75	270000	0.097278	2.017194	1.919916	1.088803	0.333597	81.66025
8	1009W36C0001	8	88	316800	0.807433	4.460317	3.652884	1.895212	0.779355	166.7786
9	0909W21C0002	9	88	316800	0.12666	1.718031	1.591371	1.044381	0.391143	91.90553
10	0911W27D0001	10	171	615600	0.742467	4.823871	4.081404	2.932897	1.411235	501.5255
11	0909W17B0001	11	60	216000	0.000001	0.586884	0.586883	0.069082	0.133399	4.144928
12	0909W17B0002	12	10	36000	0.001764	0.229988	0.228225	0.062187	0.073477	0.621866
13	1009W35B0001	13	70	252000	0.434829	2.037316	1.602486	1.148259	0.322861	80.37815
14	0909W02C0001	14	155	558000	0.31142	2.149725	1.838304	1.358648	0.331029	210.5904
15	0909W17D0001	15	169	608400	0.55174	2.406505	1.854764	1.702832	0.392452	287.7786
16	0909W34C0001	16	302	1087200	0.000003	4.245364	4.245361	1.303893	0.879574	393.7757
17	0909W08D0001	17	162	583200	1.09412	3.028173	1.934053	2.03246	0.352858	329.2586
18	0909W35B0001	18	177	637200	0.363294	4.010818	3.647524	2.470532	1.116625	437.2841
19	0909W27D0001	19	176	633600	0.014002	2.151987	2.137986	1.121918	0.485621	197.4575
20	0909W16C0001	20	36	129600	0.738095	4.163611	3.425517	2.816466	0.984779	101.3928
21	0909W22A0001	21	183	658800	0.004196	4.064489	4.060293	0.332924	0.675902	60.92503
22	1009W34A0001	22	76	273600	0.625808	1.80108	1.175271	1.100727	0.253035	83.65526
23	0909W11A0001	23	88	316800	0.649695	4.334698	3.685004	2.937685	0.994063	258.5162
24	0910W25A0001	24	77	277200	0.554028	1.973291	1.419263	1.403558	0.292346	108.074
25	1009W34C0001	25	24	86400	1.431977	2.154728	0.722751	1.713065	0.191042	41.11357
26	0909W32C0001	26	91	327600	0.522434	2.627053	2.10462	1.177112	0.376559	107.1171
27	0909W20D0001	27	77	277200	0.079949	3.318154	3.238205	1.613329	0.655046	124.2263
28	0910W26C0001	28	109	392400	0.321705	2.379175	2.05747	0.965452	0.293362	105.2343
29	0910W35C0001	29	109	392400	0.001193	1.311131	1.309938	0.351866	0.321027	38.3534
30	0910W21C0001	30	97	349200	0.554149	1.921583	1.367434	1.192006	0.296398	115.6245
31	0909W04A0001	31	161	579600	0.856088	4.933509	4.077421	3.872422	0.913914	623.46
32	1009W25D0001	32	69	248400	0.176608	1.788823	1.612215	1.270604	0.35175	87.67166
33	1009W36B0002	33	71	255600	0.078836	1.429235	1.3504	0.453124	0.353466	32.17183
34	0909W14D0002	34	80	288000	0.004076	1.407509	1.403433	0.774286	0.332782	61.9429
35	0909W33B0002	35	86	309600	0.960156	3.46032	2.500163	1.890614	0.425463	162.5928
36	0909W33C0001	36	162	583200	0.053714	3.793561	3.739848	1.829762	0.857002	296.4215
37	0910W30B0001	37	96	345600	0.194328	2.636558	2.44223	1.328278	0.473723	127.5147
38	0910W33C0002	38	96	345600	0.824824	3.723818	2.898993	1.654682	0.682429	158.8495
39	0909W03D0001	39	162	583200	0.152906	1.747029	1.594124	0.727354	0.393399	117.8313
40	0910W28B0002	40	80	288000	0.329566	1.926954	1.597388	1.433377	0.357776	114.6702
41	0909W02A0001	41	75	270000	0.671243	4.178328	3.507085	2.018773	0.704247	151.408

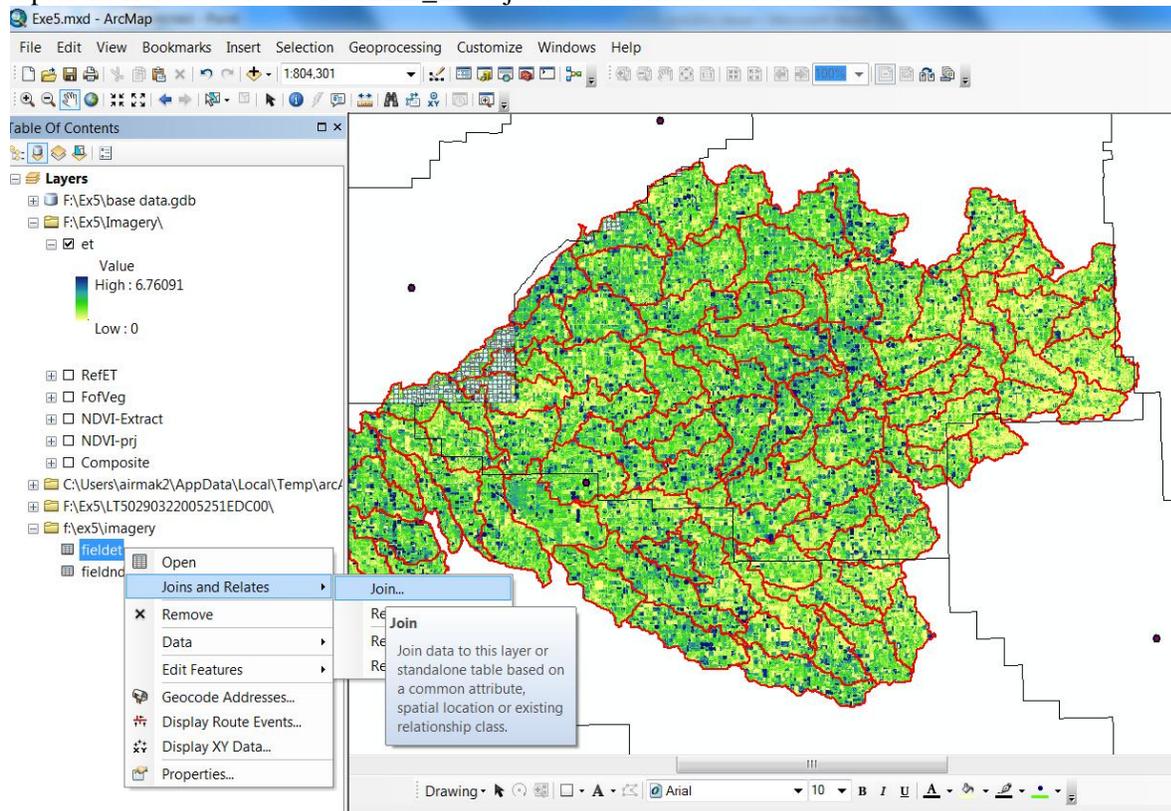
0 (0 out of 253 Selected)

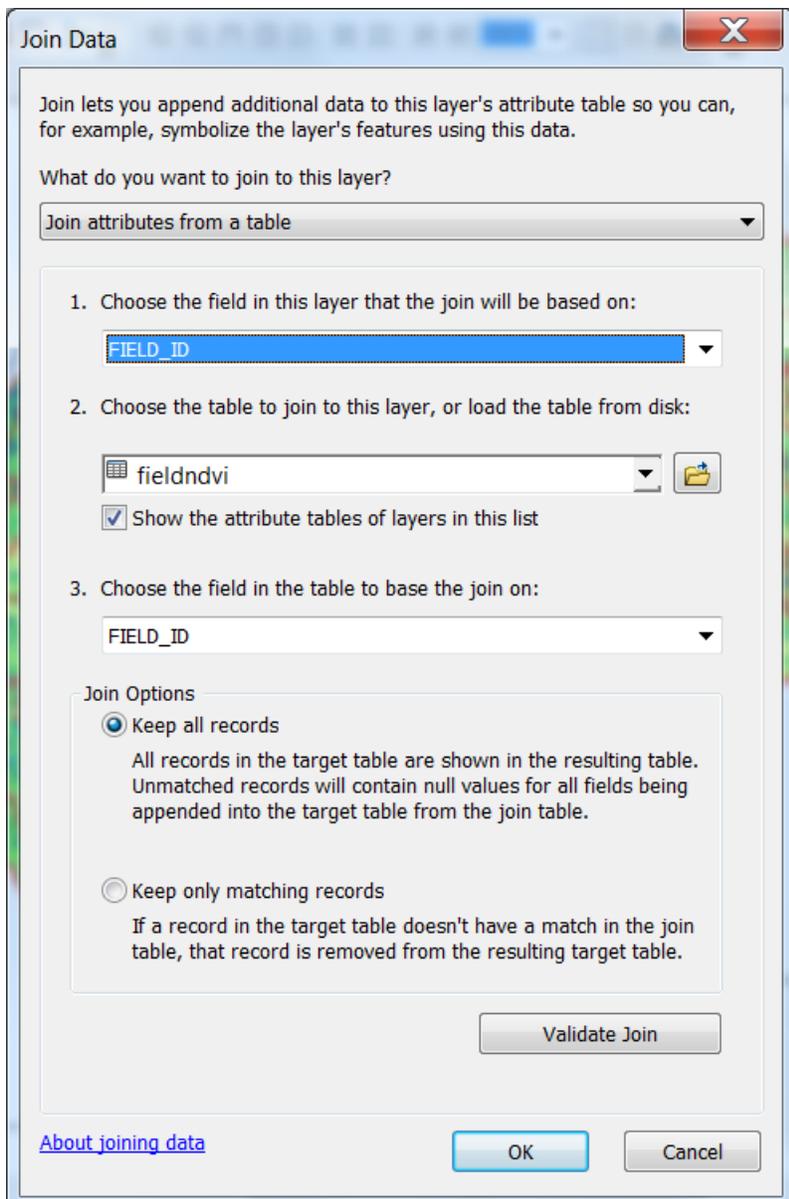
fieldet

Let's see how this field ET is related to NDVI. Click on **Spatial Analyst/Zonal Statistics as a Table** and enter the following inputs. The only difference from above is that we are now using NDVI as the value raster and a different output table name

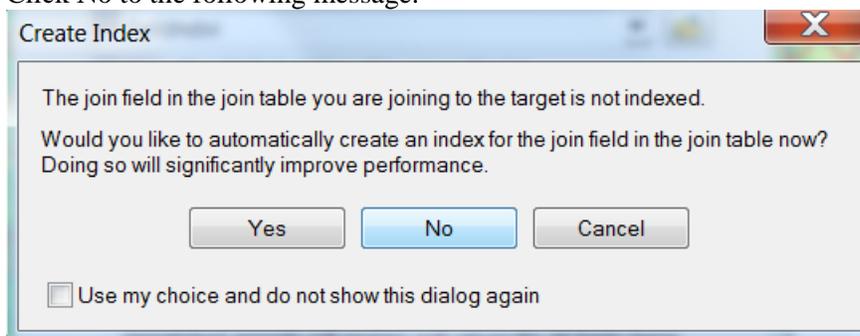


The mean column in each table gives the mean ET and mean NDVI for each field respectively. Open the **fieldet** table. Use **FIELD\_ID** to join the fieldndvi table as follows

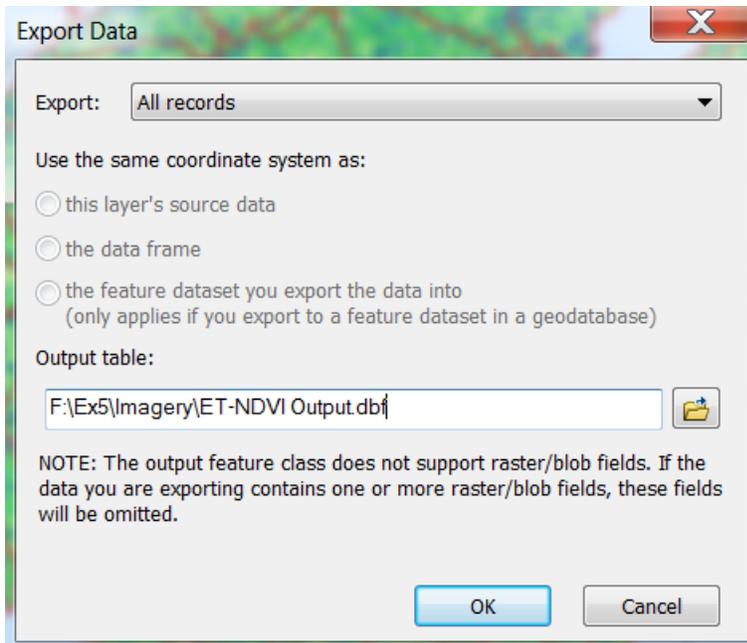




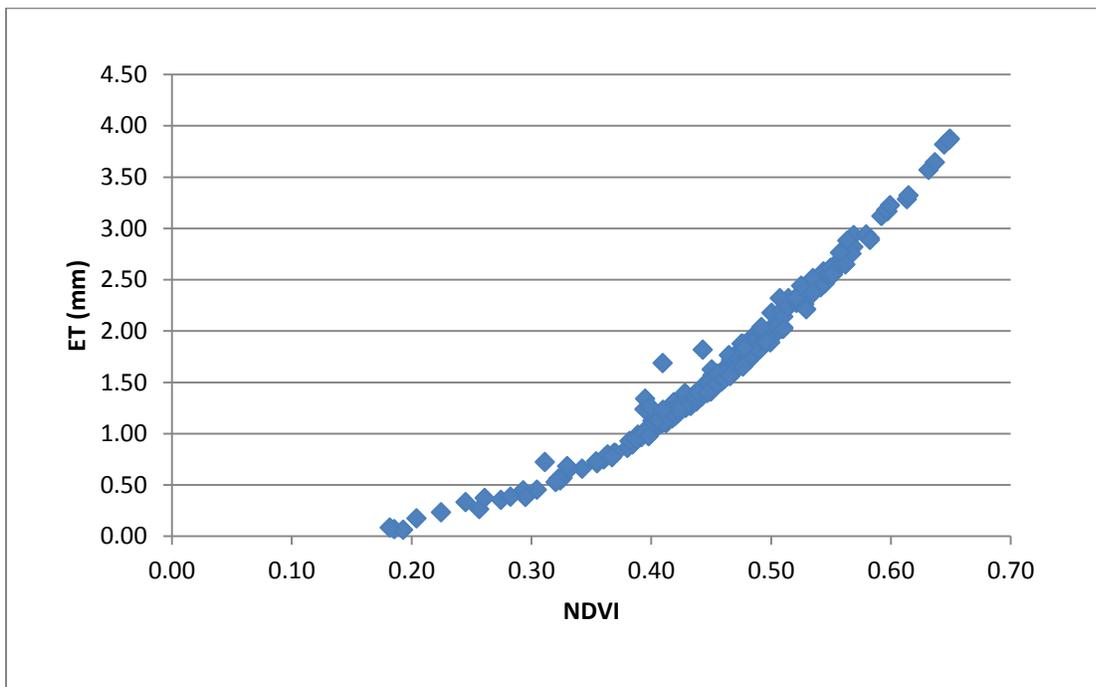
Click No to the following message.



Export the resulting table in dbf format.



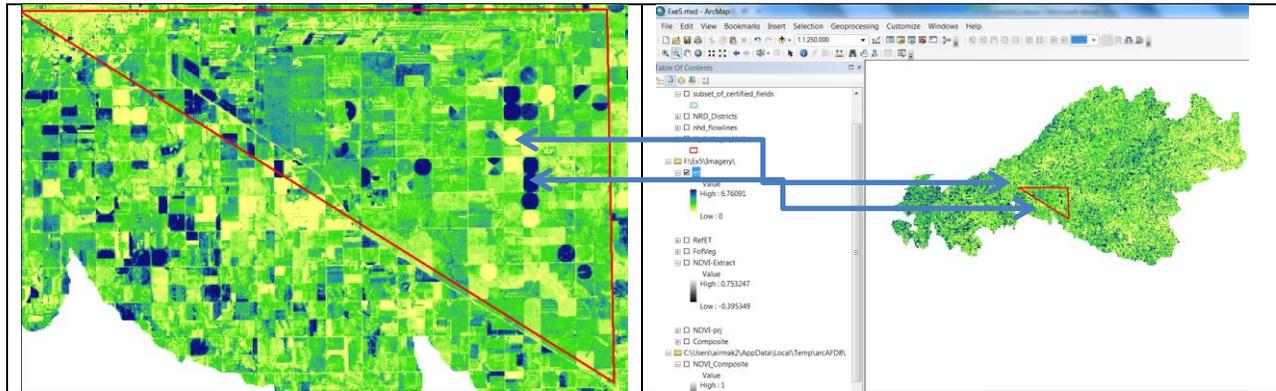
Open the resulting DBF file in Excel and produce a plot of ET vs NDVI



To turn in:

5. A plot of ET vs NDVI for the certified fields. Discuss the cause for the pattern in this plot. Why do the points roughly, but not exactly follow what looks like a parabola?
6. Zoom in to the triangle area shown on the ET image below. When you closely examine ET values, you will see that some of center pivot irrigated fields have higher ET (shown as darker blue) while a few of them have low ET (shown as yellow). Examine two center pivot irrigated fields

with high and low NDVI values, and provide an approximate value of NDVI, the fraction of vegetation cover, RefET, and ET value for each of field.



### SUMMARY OF ITEMS TO BE TURNED IN

1. Prepare an ArcMap layout showing labeled Natural Resources Districts (NRD) within NE. You should include NHD\_flowlines, and HUC-12 units. Use a layout view, include a scale bar to indicate distance, a north arrow to indicate direction and labels or legends with units. A snapshot of 'data view' of the map is depicted above.
2. A Landsat false color composite map (bands 4, 3, 2) of Upper Sand Creek HUC 12 watershed. Use the layout view and include a north arrow, scale bar and title. Indicate the NRD District that Upper Sand Creek is in.
3. A NDVI map of Upper Sand Creek HUC 12 watershed.
4. A Fractional Vegetation Cover map of Upper Sand Creek HUC 12 watershed.
5. A plot of ET vs NDVI for the certified fields. Discuss the cause for the pattern in this plot. Why do the points roughly, but not exactly follow what looks like a parabola?
6. Zoom in to the triangle area shown on the ET image below. When you closely examine ET values, you will see that some of center pivot irrigated fields have higher ET (shown as darker blue) while a few of them have low ET (shown as yellow). Examine two center pivot irrigated fields with high and low NDVI values, and provide an approximate value of NDVI, the fraction of vegetation cover, RefET, and ET value for each of field.

### Addendum: Computing Spectral radiance, Reflectance and NDVI using Landsat bands

A more exact method to calculate NDVI is to first calculate the **Spectral Radiance** and then **Reflectance** for band 3 (Red) and band 4 (NIR), rather than using the digital number (DN) in the NDVI function. The spectral radiance (L) must be computed for band 3 and band 4 based on the digital number of each individual pixel. Spectral radiance is the outgoing radiation energy of the band as observed at the top of the atmosphere by the satellite. The three steps in order to calculate NDVI for Landsat 5 is provided in details below:

#### Step 1. Conversion from Digital Number to Spectral Radiance

$$L = \frac{(L_{\max} - L_{\min}) * (Q_{cal} - Q_{cal \min})}{(Q_{cal \max} - Q_{cal \min})} + L_{\min}$$

Where:

- L = Spectral radiance at the sensor aperture (watt m<sup>-2</sup> ster<sup>-1</sup> μm<sup>-1</sup>)
- Lmax = Spectral radiance scaled to Qcalmax (watt m<sup>-2</sup> ster<sup>-1</sup> μm<sup>-1</sup>)
- Lmin = Spectral radiance scaled to Qcalmin (watt m<sup>-2</sup> ster<sup>-1</sup> μm<sup>-1</sup>)
- Qcal = Quantized calibrated pixel value = DN
- Qcalmin = Minimum quantized calibrated pixel value corresponding to Lmin
- Qcalmax = Maximum quantized calibrated pixel value corresponding to Lmax

Lmax and Lmin are given in Table 1. Since our Landsat image is acquired in 2005, we are going to use calibration constants on the right side of column on Table 1.

Qcalmax = 255, and Qcalmin = 0 for Landsat 5, so the equation becomes:

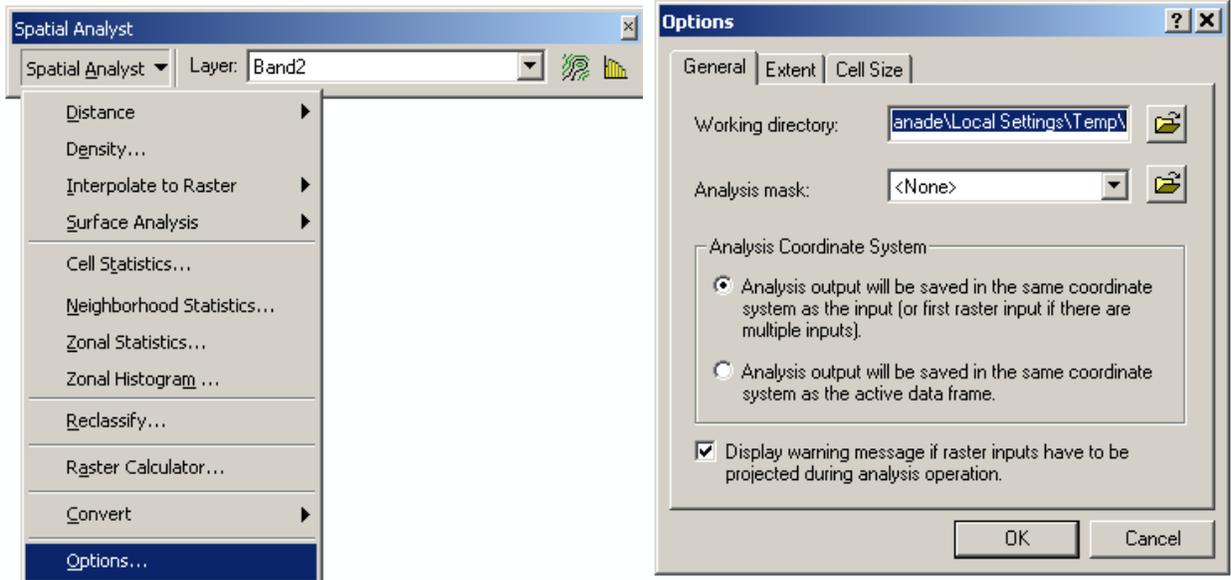
$$L = \frac{(L_{\max} - L_{\min}) * (Q_{cal})}{(Q_{cal \max})} + L_{\min} \text{ (Eq. 1)}$$

Table 1. Landsat -5 TM POSTCALIBRATION DYNAMIC RANGES FOR U.S. PROCESSED NLAPS DATA (IEEE TRANSACTIONS ON GEOSCIENCE AND REMOTE SENSING, VOL. 41, NO. 11, NOVEMBER 2003)

Spectral Radiances, LMIN <sub>λ</sub> and LMAX <sub>λ</sub> in W/(m <sup>2</sup> .sr. μm)								
Processing Date	From March 1, 1984				After May 5, 2003			
	To May 4, 2003							
Band	LMIN <sub>λ</sub>	LMAX <sub>λ</sub>	G <sub>rescale</sub>	B <sub>rescale</sub>	LMIN <sub>λ</sub>	LMAX <sub>λ</sub>	G <sub>rescale</sub>	B <sub>rescale</sub>
1	-1.52	152.10	0.602431	-1.52	-1.52	193.0	0.762824	-1.52
2	-2.84	296.81	1.175100	-2.84	-2.84	365.0	1.442510	-2.84
3	-1.17	204.30	0.805765	-1.17	-1.17	264.0	1.039880	-1.17
4	-1.51	206.20	0.814549	-1.51	-1.51	221.0	0.872588	-1.51
5	-0.37	27.19	0.108078	-0.37	-0.37	30.2	0.119882	-0.37
6	1.2378	15.303	0.055158	1.2378	1.2378	15.303	0.055158	1.2378
7	-0.15	14.38	0.056980	-0.15	-0.15	16.5	0.065294	-0.15

Add the Landsat Band 4 and Band 3 in ESRI GRID data (**not the raw TIFF data**) to the ArcMap.

On the spatial analyst toolbar, click **Spatial Analyst/Option**. Set the working directory the same as the folder where you have saved your **Band 3 and Band 4 ESRI GRID** files.



Now open the raster calculator. **Spatial Analyst/Raster Calculator**. Paste the following expression in the raster calculator in order to calculate **spectral radiance of band 4**,

$$(((221 + 1.51) / (255 - 1)) * ([band4] - 1)) - 1.51$$

Rename your output as **Band4\_Radiance**.

Similarly, paste the following expression in the raster calculator to compute **spectral radiance of band 3**

$$(((264 + 1.17) / (255 - 1)) * ([band3] - 1)) - 1.17$$

Make sure you rename your output files as **Band4\_Radiance** and **Band3\_Radiance**.

## Step 2. Conversion from radiance to reflectance (at-satellite reflectance)

$$r = \frac{\pi * L * d^2}{E_{sun} * \cos\theta * dr}$$

Where:

- r = Planetary reflectance (unitless)
- L = Spectral radiance at the sensor aperture (watt m<sup>-2</sup> ster<sup>-1</sup> μm<sup>-1</sup>) (Eq. 1)
- dr = Inverse square of earth-sun distance (astronomical unit)
- E<sub>sun</sub> = Mean solar exoatmospheric irradiances (watt m<sup>-2</sup> μm<sup>-1</sup>, Table 2)
- θ = Solar zenith angle (degree)

$$dr = 1 + 0.033 \cos (DOY * 2 * 3.141592654 / 365)$$

$$DOY \text{ (day of year)} = 251$$

$\theta$  (Theta) = (90-Beta) where Beta is sun elevation angle provided in Landsat header file. This equation works fairly well for flat terrain (our case).

Table 2. TM SOLAR EXOATMOSPHERIC SPECTRAL IRRADIANCES.

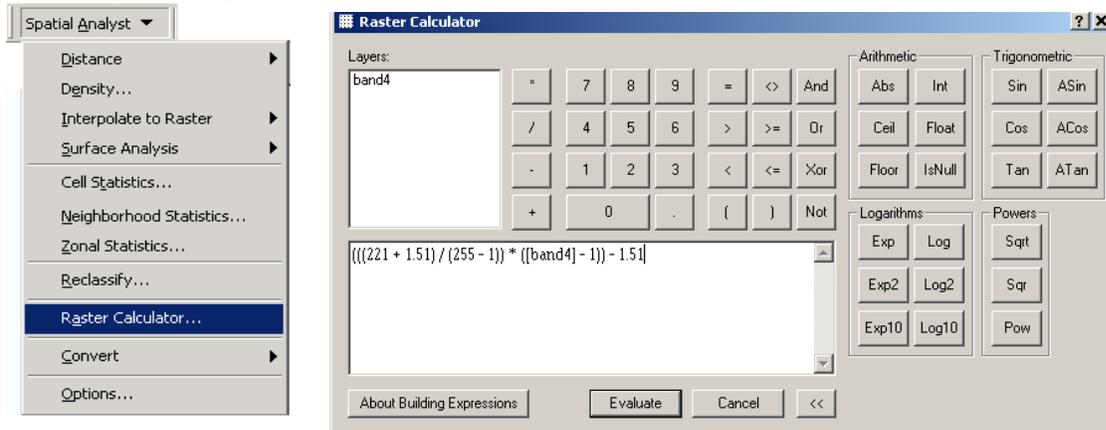
Units: ESUN = W/(m <sup>2</sup> . μm)		
Model:	Chance Spectrum CHKUR	
Band	Landsat 4	Landsat 5
1	1957	1957
2	1825	1826
3	1557	1554
4	1033	1036
5	214.9	215.0
7	80.72	80.67

Now lets calculate the reflectance of each band in arcgis. Paste the following expression in the raster calculator to calculate **reflectance** values for **band 4**,

$(3.14 * [\text{Band4\_Radiance}]) / (1036 * 0.758 * (1 + 0.033 * \cos((251 * 2 * 3.14) / 365)))$   
 Rename your output as **Band4\_ Reflectance**.

Similarly, paste the following expression in the raster calculator to get **reflectance of band 3**,

$(3.14 * [\text{Band3\_Radiance}]) / (1554 * 0.758 * (1 + 0.033 * \cos((251 * 2 * 3.14) / 365)))$   
 Rename your output as **Band3\_ Reflectance**.



### Step 3. Computing NDVI using Landsat bands

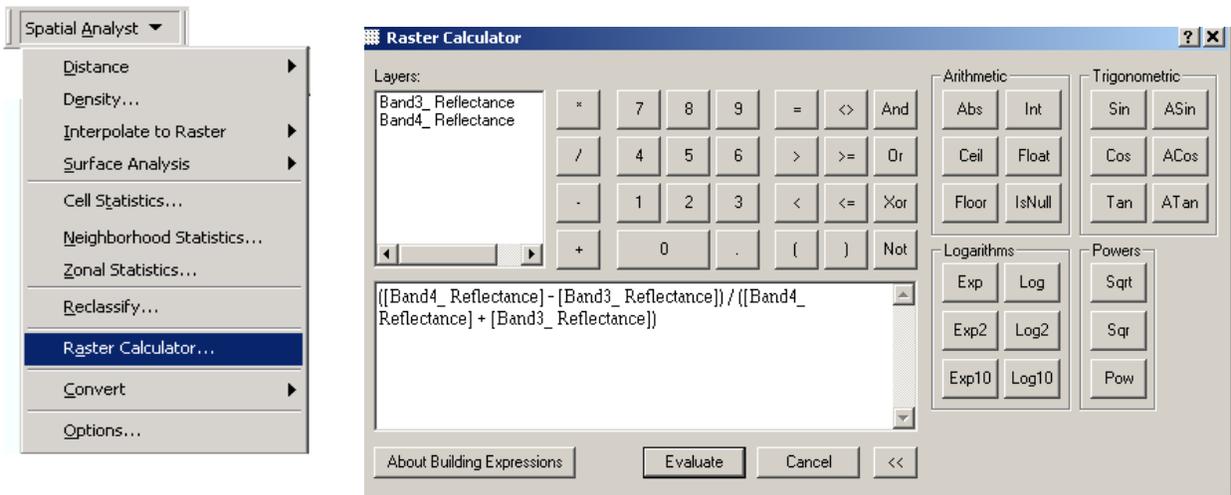
The **Normalized Difference Vegetation Index (NDVI)** is a simple numerical index to assess the presence of live green vegetation. NDVI is computed using below formula:

$$NDVI = \frac{(NIR - RED)}{(NIR + RED)}$$

RED and NIR stand for the spectral reflectance measurements acquired in the red and near-infrared regions of electromagnetic spectrum, respectively. NDVI takes the value from -1 to 1. The higher the NDVI, higher the fraction of live green vegetation present in the scene. Landsat band 4 (0.77-0.90  $\mu\text{m}$ ) measures the reflectance in NIR region and Band 3 (0.63-0.69  $\mu\text{m}$ ) measures the reflectance in Red region.

We already have the reflectances of Band 4 and Band3 calculated in step 2 in order to calculate NDVI. Now open the raster calculator. **Spatial Analyst/Raster Calculator**. Paste the following expression in the raster calculator to estimate **NDVI**.

**([Band4\_ Reflectance] - [Band3\_ Reflectance]) / ([Band4\_ Reflectance] + [Band3\_ Reflectance])**



Rename the output layer as **NDVI**.

This calculation for NDVI will be more accurate and consistent (with varying sun angle) than the NDVI computed earlier using digital numbers (DN), only. If you are curious, you can compare values between the two sets of NDVI calculations to view the differences.